



North Carolina Department of Health and Human Services  
Division of Health Service Regulation

Pat McCrory  
Governor

Aldona Z. Wos, M.D.  
Ambassador (Ret.)  
Secretary DHHS

Drexdal Pratt  
Division Director

November 21, 2014

Kenneth C. Kirkham, Chief Operating Officer  
Carillon Assisted Living  
4901 Waters Edge Drive, Suite 200  
Raleigh NC 27606

**No Review**

Facility: Carillon Assisted Living of Clemmons  
Project Description: Sale-leaseback transaction  
County: Forsyth  
FID #: 120137

Dear Mr. Kirkham:

The Certificate of Need Section (CON Section) received your letter of November 18, 2014 regarding the above referenced proposal. Based on the CON law **in effect on the date of this response to your request**, the proposal described in your correspondence is not governed by, and therefore, does not currently require a certificate of need. However, please note that if the CON law is subsequently amended such that the above referenced proposal would require a certificate of need, this determination does not authorize you to proceed to develop the above referenced proposal when the new law becomes effective.

Moreover, you need to contact the Adult Care Licensure Section of the Division of Health Service Regulation to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Certificate of Need Section. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

**Certificate of Need Section**

[www.ncdhhs.gov](http://www.ncdhhs.gov)

Telephone: 919-855-3873 • Fax: 919-733-8139

Location: Edgerton Building • 809 Ruggles Drive • Raleigh, NC 27603

Mailing Address: 2704 Mail Service Center • Raleigh, NC 27699-2704

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Mr. Kirkham  
November 21, 2014  
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Please contact the CON Section if you have any questions. Also, in all future correspondence you should reference the Facility I.D. # (FID) if the facility is licensed.

Sincerely,



Michael J. McKillop, Project Analyst



Martha J. Frisone, Interim Chief  
Certificate of Need Section

cc: Medical Facilities Planning Branch, DHR  
Adult Care Licensure Section, DHR

CARILLON  
ASSISTED LIVING

VIA E-MAIL AND HAND DELIVERY

November 18, 2014

Ms. Martha J. Frisone, Interim Chief  
NC Division of Health Service Regulation  
Certificate of Need Section  
809 Ruggles Drive  
Raleigh, NC 27603

Re: Carillon Assisted Living of North Raleigh – HAL-092-187 020206  
Carillon Assisted Living of Mooresville – HAL-049-031 120015  
Carillon Assisted Living of Wake Forest – HL-092-193 120426  
Carillon Assisted Living of Clemmons – HAL-034-099 120137

Dear Ms. Frisone:

Carillon Assisted Living, the parent company, is going to enter into a sale-leaseback transaction prior to December 15, 2014 related to the four (4) above-referenced facilities. This is a refinancing transaction solely related to the real estate associated with this property. The facilities will continue to be operated as today by Carillon as the licensed operator as identified by the license numbers reflected above.

To maintain proper compliance with DHSR Licensure Section regulations, we are requesting the issuance of a "No Review Letter" to be issued by the Certificate of Need Section to the Licensure Section so they know the above-referenced projects have been constructed in accordance and compliance with North Carolina's Certificate of Need law.

Thanks in advance for your attention to this matter. Should you have any questions, I can be reached at (919) 852-4000.

Sincerely,



Kenneth C. Kirkham  
Chief Operating Officer

KCK:sac

cc: B. Steenson, Carillon Assisted Living

# State of North Carolina

Department of Health and Human Services  
Division of Health Service Regulation

*Effective September 9, 2014, this license is issued to  
Carillon Assisted Living of Clemmons, LLC  
to operate an Adult Care Home known as  
Carillon Assisted Living of Clemmons  
located at 1165 S Peace Haven Road  
Clemmons, NC, Forsyth County.*

*This license is issued subject to the statutes of the State of North  
Carolina, is not transferable and shall expire  
March 9, 2015.*

*License Number: HAL-034-099*

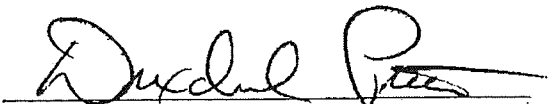
*Capacity: 96*

*Special Care Units: X Yes    No      Type: Alzheimer's/Dementia 36*

Authorized by:



Secretary, N.C. Department of Health and  
Human Services



Director, Division of Health Service Regulation