



DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION OF HEALTH SERVICE REGULATION

ROY COOPER  
GOVERNOR

MANDY COHEN, MD, MPH  
SECRETARY

MARK PAYNE  
DIRECTOR

September 22, 2017

Catharine W. Cummer  
3100 Tower Blvd, Suite 1300  
Durham, NC 27707

**Exempt from Review**

**Record #:** 2395  
**Facility Name:** Duke Regional Hospital  
**FID #:** 923142  
**Business Name:** Duke University Health System  
**Business #:** 640  
**Project Description:** Renovation planning and preparation to include schematic design work, retention pond modifications, fiber/electrical, air handling and other potential service relocations and upgrades  
**County:** Durham

Dear Ms. Cummer:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency), determined that based on your letter of September 1, 2017, the above referenced proposal is exempt from certificate of need review in accordance with N.C. Gen. Stat. §131E-184(a)(4). Therefore, you may proceed to offer, develop or establish the above referenced project without a certificate of need.

However, you need to contact the Agency's Construction and Acute and Home Care Licensure and Certification Sections to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Agency. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

If you have any questions concerning this matter, please feel free to contact this office.

**HEALTHCARE PLANNING AND CERTIFICATE OF NEED SECTION**

WWW.NCDHHS.GOV

TELEPHONE 919-855-3873

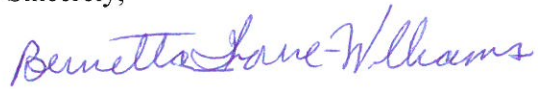
LOCATION: EDGERTON BUILDING • 809 RUGGLES DRIVE • RALEIGH, NC 27603

MAILING ADDRESS: 2704 MAIL SERVICE CENTER • RALEIGH, NC 27699-2704

AN EQUAL OPPORTUNITY/ AFFIRMATIVE ACTION EMPLOYER



Sincerely,



Bernetta Thorne-Williams  
Project Analyst



Martha J. Frisone  
Chief, Healthcare Planning and  
Certificate of Need Section

cc: Construction Section, DHSR  
Acute and Home Care Licensure and Certification Section, DHSR  
Sharetta Blackwell, Program Assistant Healthcare Planning, DHSR

Record# 2395  
Bus# 640  
FID# 923142

# Duke University Health System

**Catharine W. Cummer**  
Regulatory Counsel, Strategic Planning

September 1, 2017

Via Electronic Mail



Martha Frisone  
Chief  
Healthcare Planning and Certificate of Need Section  
Division of Health Service Regulation  
2704 Mail Service Center  
Raleigh, NC 27699-2704

Re: Renovation Planning and Preparation at Duke Regional Hospital

Dear Ms. Frisone:

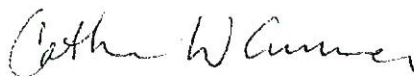
The purpose of this letter is to provide notice of a project to prepare the hospital campus for facility renovations at Duke Regional Hospital in Durham, North Carolina, and to request confirmation that this project is exempt from certificate of need review. Duke anticipates that it will spend more than \$2 million for activities including planning, schematic design work, and other preparatory work including retention pond modifications, fiber/electrical relocation, air handling unit relocating and upgrades, and other potential service relocations. This project does not create any planned change in bed capacity or the addition of any health service facility or new institutional health services other than that allowed in GS 131E-176(b). Accordingly, we understand that this project is exempt from certificate of need review pursuant to G.S. 131E-184.

The project is in preparation for a facility renovation and expansion project to accommodate existing licensed beds and services on the main campus, defined in 131E-176(14n) as "[t]he site of the main building from which a licensed health service facility provides clinical patient services and exercises financial and administrative control over the entire facility, including the buildings and grounds adjacent to that main building" and "[o]ther areas and structures that are not strictly contiguous to the main building but are located within 250 yards of the main building." All contemplated planning and potential site work deal with this defined area.

Martha Frisone  
September 1, 2017  
Page 2

We will separately submit any required notice for subsequent construction related to the facility renovation and expansion, and applications for any non-exempt new institutional health services. In the meantime, we would appreciate your confirmation that this stage of the project is exempt from further review.

Very truly yours,



Catharine W. Cummer