

**Reporting, Monitoring and Evaluation Requirements for Dental Single Specialty
Ambulatory Surgical Facility Demonstration Project**

Timely reporting, monitoring and evaluation enables the Division of Health Service Regulation (Agency) to determine whether facilities are meeting criteria and to take corrective action if facilities fail to do so. To ensure that the demonstration project facilities meet all three Basic Principles, each selected site shall meet the following requirements.

- A. The facility shall be required to provide annual reports to the Agency showing the facility's compliance with the criteria in the need determination in the 2016 State Medical Facilities Plan. The Agency shall specify the report components and format. Reports will be due annually to the Agency, on or before December 31, for the first three full federal fiscal years of operation,
- B. In addition to the reporting requirements set forth by G.S. 131E-177, annual reports for the Dental Single Specialty Ambulatory Surgical Center Demonstration Project shall contain all of the following:
 1. For each of the first three full federal fiscal years of operation, the facility shall provide the actual number of patients served for the following payor types, broken down by age (under 21 and 21 and older): (a) charity care; (b) Medicaid; (c) TRICARE; (d) private insurance; (e) self-pay; and (f) payment from other sources;
 2. The number of owner/employee and non-owner/non-employee dentists and oral surgeons performing procedures at the facility
 3. Documentation of hospital privileges and number of hours of emergency call taken by each affiliated dentist/oral surgeon, listing the hospital(s) in which he or she has privileges and the number of hours of call taken for the annual reporting period.
 4. For each of the first three full federal fiscal years of operation, the facility shall provide the: (a) average charge (before any discounts or contractual adjustments) per surgical case; (b) average reimbursement per surgical case; and (c) average operating cost per surgical case.
- C. The Agency will produce an annual summary of each facility's annual report, and may require corrective action up to and including seeking injunctive relief and/or revocation of the certificate of need pursuant to G.S. 131E-189 and 190. If the Agency determines that the facility does not adequately meet criteria, the State Health Coordinating Council may end the facility's participation in the demonstration project.
- D. The Agency will evaluate the demonstration project after it has been in operation for three full federal fiscal years. Depending on the results as presented by the Agency, the State Health Coordinating Council shall consider whether to permit expansion beyond the original demonstration project sites.