

## SUBCHAPTER 13P – EMERGENCY MEDICAL SERVICES AND TRAUMA RULES

## SECTION .0100 – DEFINITIONS

**10A NCAC 13P .0101 ABBREVIATIONS**

As used in this Subchapter, the following abbreviations mean:

- (1) ACS: American College of Surgeons;
- (2) AHA: American Heart Association;
- (3) ATLS: Advanced Trauma Life Support;
- (4) CA3: Clinical Anesthesiology Year 3;
- (5) CRNA: Certified Registered Nurse Anesthetist;
- (6) CPR: Cardiopulmonary Resuscitation;
- (7) DOA: Dead on Arrival;
- (8) ED: Emergency Department;
- (9) EMD: Emergency Medical Dispatcher;
- (10) EMDPRS: Emergency Medical Dispatch Priority Reference System;
- (11) EMS: Emergency Medical Services;
- (12) EMS-NP: EMS Nurse Practitioner;
- (13) EMS-PA: EMS Physician Assistant;
- (14) EMT: Emergency Medical Technician;
- (15) EMT-I: EMT-Intermediate;
- (16) EMT-P: EMT-Paramedic;
- (17) ENT: Ear, Nose and Throat;
- (18) FAA: Federal Aviation Administration;
- (19) FAR: Federal Aviation Regulation;
- (20) FCC: Federal Communications Commission;
- (21) GSC: Glasgow Coma Scale;
- (22) ICD: International Classification of Diseases;
- (23) ISS: Injury Severity Score;
- (24) IV: Intravenous;
- (25) LPN: Licensed Practical Nurse;
- (26) MICN: Mobile Intensive Care Nurse;
- (27) MR: Medical Responder;
- (28) NHTSA: National Highway Traffic Safety Administration;
- (29) OEMS: Office of Emergency Medical Services;
- (30) OMF: Oral maxillofacial;
- (31) OR: Operating Room;
- (32) PGY2: Post Graduate Year 2;
- (33) PGY4: Post Graduate Year 4;
- (34) PSAP: Public Safety Answering Point;
- (35) RAC: Regional Advisory Committee;
- (36) RFP: Request For Proposal;
- (37) RN: Registered Nurse;
- (38) SCTP: Specialty Care Transport Program;
- (39) SMARTT: State Medical Asset and Resource Tracking Tool;
- (40) STEMI: ST Elevation Myocardial Infarction;
- (41) TR: Trauma Registrar;
- (42) TNC: Trauma Nurse Coordinator;
- (43) TPM: Trauma Program Manager; and
- (44) US DOT: United States Department of Transportation.

*History Note:* Authority G.S. 143-508(b);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. January 1, 2009; January 1, 2004.

**10A NCAC 13P .0102 DEFINITIONS**

The following definitions apply throughout this Subchapter:

- (1) "Advanced Trauma Life Support" means the course sponsored by the American College of Surgeons.

- (2) "Affiliated EMS Provider" means the firm, corporation, agency, organization, or association identified to a specific county EMS system as a condition for EMS Provider Licensing as required by Rule .0204(a)(1) of this Subchapter.
- (3) "Affiliated Hospital" means a non-Trauma Center hospital that is owned by the Trauma Center or there exists a contract or other agreement to allow for the acceptance or transfer of the Trauma Center's patient population to the non-Trauma Center hospital.
- (4) "Affiliation" means a reciprocal agreement and association that includes active participation, collaboration and involvement in a process or system between two or more parties.
- (5) "Air Medical Ambulance" means an aircraft configured and medically equipped to transport patients by air. The patient care compartment of air medical ambulances shall be staffed by medical crew members approved for the mission by the medical director.
- (6) "Air Medical Program" means a SCTP or EMS System utilizing rotary-wing or fixed-wing aircraft configured and operated to transport patients.
- (7) "Assistant Medical Director" means a physician, EMS-PA, or EMS-NP who assists the medical director with the medical aspects of the management of an EMS System or EMS SCTP.
- (8) "Attending" means a physician who has completed medical or surgical residency and is either eligible to take boards in a specialty area or is boarded in a specialty.
- (9) "Board Certified, Board Certification, Board Eligible, Board Prepared, or Boarded" means approval by the American Board of Medical Specialties, the Advisory Board for Osteopathic Specialties, or the Royal College of Physicians and Surgeons of Canada unless a further sub-specialty such as the American Board of Surgery or Emergency Medicine is specified.
- (10) "Bypass" means the transport of an emergency medical services patient from the scene of an accident or medical emergency past an emergency medical services receiving facility for the purposes of accessing a facility with a higher level of care, or a hospital of its own volition reroutes a patient from the scene of an accident or medical emergency or referring hospital to a facility with a higher level of care.
- (11) "Contingencies" mean conditions placed on a trauma center's designation that, if unmet, can result in the loss or amendment of a hospital's designation.
- (12) "Convalescent Ambulance" means an ambulance used on a scheduled basis solely to transport patients having a known non-emergency medical condition. Convalescent ambulances shall not be used in place of any other category of ambulance defined in this Subchapter.
- (13) "Clinical Anesthesiology Year 3" means an anesthesiology resident having completed two clinical years of general anesthesiology training. A pure laboratory year shall not constitute a clinical year.
- (14) "Deficiency" means the failure to meet essential criteria for a trauma center's designation as specified in Section .0900 of this Subchapter, that can serve as the basis for a focused review or denial of a trauma center designation.
- (15) "Department" means the North Carolina Department of Health and Human Services.
- (16) "Diversion" means the hospital is unable to accept a pediatric or adult patient due to a lack of staffing or resources.
- (17) "E-Code" means a numeric identifier that defines the cause of injury, taken from the ICD.
- (18) "Educational Medical Advisor" means the physician responsible for overseeing the medical aspects of approved EMS educational programs in continuing education, basic, and advanced EMS educational institutions.
- (19) "EMS Care" means all services provided within each EMS System that relate to the dispatch, response, treatment, and disposition of any patient that would require the submission of System Data to the OEMS.
- (20) "EMS Educational Institution" means any agency credentialed by the OEMS to offer EMS educational programs.
- (21) "EMS Nontransporting Vehicle" means a motor vehicle dedicated and equipped to move medical equipment and EMS personnel functioning within the scope of practice of EMT-I or EMT-P to the scene of a request for assistance. EMS nontransporting vehicles shall not be used for the transportation of patients on the streets, highways, waterways, or airways of the state.
- (22) "EMS Peer Review Committee" means a committee as defined in G.S. 131E-155(a)(6b).
- (23) "EMS Performance Improvement Toolkits" mean one or more reports generated from the state EMS data system analyzing the EMS service delivery, personnel performance, and patient care provided by an EMS system and its associated EMS agencies and personnel. Each EMS toolkit focuses on a topic of care such as trauma, cardiac arrest, EMS response times, stroke, STEMI (heart attack), and pediatric care.
- (24) "EMS Provider" means those entities defined in G.S. 131E-155 (13a) that hold a current license issued by the Department pursuant to G.S. 131E-155.1.

- (25) "EMS System" means a coordinated arrangement of local resources under the authority of the county government (including all agencies, personnel, equipment, and facilities) organized to respond to medical emergencies and integrated with other health care providers and networks including public health, community health monitoring activities, and special needs populations.
- (26) "EMS System Peer Groups" are defined as:
  - (a) Urban EMS System means greater than 200,000 population;
  - (b) Suburban EMS System means from 75,001 to 200,000 population;
  - (c) Rural EMS System means from 25,001 to 75,000 population; and
  - (d) Wilderness EMS System means 25,000 population or less.
- (27) "Essential Criteria" means those items listed in Rules .0901, .0902, and .0903 of this Subchapter that are the minimum requirements for the respective level of trauma center designation (I, II, or III).
- (28) "Focused Review" means an evaluation by the OEMS of a trauma center's corrective actions to remove contingencies that are a result of deficiencies placed upon it following a renewal site visit.
- (29) "Ground Ambulance" means an ambulance used to transport patients with traumatic or medical conditions or patients for whom the need for specialty care or emergency or non-emergency medical care is anticipated either at the patient location or during transport.
- (30) "Hospital" means a licensed facility as defined in G.S. 131E-176.
- (31) "Immediately Available" means the physical presence of the health professional or the hospital resource within the trauma center to evaluate and care for the trauma patient without delay.
- (32) "Inclusive Trauma System" means an organized, multi-disciplinary, evidence-based approach to provide quality care and to improve measurable outcomes for all defined injured patients. EMS, hospitals, other health systems and clinicians shall participate in a structured manner through leadership, advocacy, injury prevention, education, clinical care, performance improvement and research resulting in integrated trauma care.
- (33) "Infectious Disease Control Policy" means a written policy describing how the EMS system will protect and prevent its patients and EMS professionals from exposure and illness associated with contagions and infectious disease.
- (34) "Lead RAC Agency" means the agency (comprised of one or more Level I or II trauma centers) that provides staff support and serves as the coordinating entity for trauma planning in a region.
- (35) "Level I Trauma Center" means a hospital as defined by Item (30) of this Rule that has the capability of providing leadership, research, and total care for every aspect of injury from prevention to rehabilitation.
- (36) "Level II Trauma Center" means a hospital as defined by Item (30) of this Rule that provides trauma care regardless of the severity of the injury but may not be able to provide the same comprehensive care as a Level I trauma center and does not have trauma research as a primary objective.
- (37) "Level III Trauma Center" means a hospital as defined by Item (30) of this Rule that provides prompt assessment, resuscitation, emergency operations, and stabilization, and arranges for hospital transfer as needed to a Level I or II trauma center.
- (38) "Licensed Health Care Facility" means any health care facility or hospital as defined by Item (30) of this Rule licensed by the Department of Health and Human Services, Division of Health Service Regulation.
- (39) "Medical Crew Member" means EMS personnel or other health care professionals who are licensed or registered in North Carolina and are affiliated with a SCTP.
- (40) "Medical Director" means the physician responsible for the medical aspects of the management of an EMS System, or SCTP, or Trauma Center.
- (41) "Medical Oversight" means the responsibility for the management and accountability of the medical care aspects of an EMS System or SCTP. Medical Oversight includes physician direction of the initial education and continuing education of EMS personnel or medical crew members; development and monitoring of both operational and treatment protocols; evaluation of the medical care rendered by EMS personnel or medical crew members; participation in system or program evaluation; and directing, by two-way voice communications, the medical care rendered by the EMS personnel or medical crew members.
- (42) "Mid-level Practitioner" means a nurse practitioner or physician assistant who routinely cares for trauma patients.
- (43) "Model EMS System" means an EMS System that is recognized and designated by the OEMS for meeting and mastering quality and performance indicator criteria as defined by Rule .0202 of this Subchapter.
- (44) "Off-line Medical Control" means medical supervision provided through the EMS System Medical Director or SCTP Medical Director who is responsible for the day to day medical care provided by EMS personnel. This includes EMS personnel education, protocol development, quality management, peer review activities, and EMS administrative responsibilities related to assurance of quality medical care.

- (45) "Office of Emergency Medical Services" means a section of the Division of Health Service Regulation of the North Carolina Department of Health and Human Services located at 701 Barbour Drive, Raleigh, North Carolina 27603.
- (46) "On-line Medical Control" means the medical supervision or oversight provided to EMS personnel through direct communication in person, via radio, cellular phone, or other communication device during the time the patient is under the care of an EMS professional. The source of on-line medical control is typically a designated hospital's emergency department physician, EMS nurse practitioner, or EMS physician assistant.
- (47) "Operational Protocols" means the administrative policies and procedures of an EMS System that provide guidance for the day-to-day operation of the system.
- (48) "Participating Hospital" means a hospital that supplements care within a larger trauma system by the initial evaluation and assessment of injured patients for transfer to a designated trauma center if needed.
- (49) "Physician" means a medical or osteopathic doctor licensed by the North Carolina Medical Board to practice medicine in the state of North Carolina.
- (50) "Post Graduate Year Two" means any surgery resident having completed one clinical year of general surgical training. A pure laboratory year shall not constitute a clinical year.
- (51) "Post Graduate Year Four" means any surgery resident having completed three clinical years of general surgical training. A pure laboratory year shall not constitute a clinical year.
- (52) "Promptly Available" means the physical presence of health professionals in a location in the trauma center within a short period of time, that is defined by the trauma system (director) and continuously monitored by the performance improvement program.
- (53) "Regional Advisory Committee (RAC)" means a committee comprised of a lead RAC agency and a group representing trauma care providers and the community, for the purpose of regional trauma planning, establishing, and maintaining a coordinated trauma system.
- (54) "Request for Proposal (RFP)" means a state document that must be completed by each hospital as defined by Item (30) of this Rule seeking initial or renewal trauma center designation.
- (55) "State Medical Asset and Resource Tracking Tool (SMARTT)" means the Internet web-based program used by the OEMS both daily in its operations and during times of disaster to identify, record and monitor EMS, hospital, health care and sheltering resources statewide, including facilities, personnel, vehicles, equipment, pharmaceutical and supply caches.
- (56) "Specialty Care Transport Program" means a program designed and operated for the provision of specialized medical care and transportation of critically ill or injured patients between health care facilities and for patients who are discharged from a licensed health care facility to their residence that require specialized medical care during transport which exceeds the normal capability of the local EMS System.
- (57) "Specialty Care Transport Program Continuing Education Coordinator" means a Level I EMS Instructor within a SCTP who is responsible for the coordination of EMS continuing education programs for EMS personnel within the program.
- (58) "Stroke" means an acute cerebrovascular hemorrhage or occlusion resulting in a neurologic deficit.
- (59) "System Continuing Education Coordinator" means the Level I EMS Instructor designated by the local EMS System who is responsible for the coordination of EMS continuing education programs.
- (60) "System Data" means all information required for daily electronic submission to the OEMS by all EMS Systems using the EMS data set, data dictionary, and file format as specified in "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and additions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost.
- (61) "Transfer Agreement" means a written agreement between two agencies specifying the appropriate transfer of patient populations delineating the conditions and methods of transfer.
- (62) "Trauma Center" means a hospital as defined by Item (30) of this Rule designated by the State of North Carolina and distinguished by its ability to immediately manage, on a 24-hour basis, the severely injured patient or those at risk for severe injury.
- (63) "Trauma Center Criteria" means essential criteria to define Level I, II, or III trauma centers.
- (64) "Trauma Center Designation" means a process of approval in which a hospital as defined by Item (30) of this Rule voluntarily seeks to have its trauma care capabilities and performance evaluated by experienced on-site reviewers.
- (65) "Trauma Diversion" means a trauma center of its own volition declines to accept an acutely injured pediatric or adult patient due to a lack of staffing or resources.
- (66) "Trauma Guidelines" mean standards for practice in a variety of situations within the trauma system.
- (67) "Trauma Minimum Data Set" means the basic data required of all hospitals for submission to the trauma statewide database.

- (68) "Trauma Patient" means any patient with an ICD-9-CM discharge diagnosis 800.00-959.9 excluding 905-909 (late effects of injury), 910.0-924 (blisters, contusions, abrasions, and insect bites), and 930-939 (foreign bodies).
- (69) "Trauma Program" means an administrative entity that includes the trauma service and coordinates other trauma related activities. It must also include the trauma medical director, trauma program manager/trauma coordinator, and trauma registrar. This program's reporting structure shall give it the ability to interact with at least equal authority with other departments providing patient care.
- (70) "Trauma Registry" means a disease-specific data collection composed of a file of uniform data elements that describe the injury event, demographics, pre-hospital information, diagnosis, care, outcomes, and costs of treatment for injured patients collected and electronically submitted as defined by the OEMS.
- (71) "Trauma Service" means a clinical service established by the medical staff that has oversight of and responsibility for the care of the trauma patient.
- (72) "Trauma Team" means a group of health care professionals organized to provide coordinated and timely care to the trauma patient.
- (73) "Treatment Protocols" means a document approved by the medical directors of both the local EMS System, Specialty Care Transport Program, or Trauma Center and the OEMS specifying the diagnostic procedures, treatment procedures, medication administration, and patient-care-related policies that shall be completed by EMS personnel or medical crew members based upon the assessment of a patient.
- (74) "Triage" means the assessment and categorization of a patient to determine the level of EMS and healthcare facility based care required.
- (75) "Water Ambulance" means a watercraft specifically configured and medically equipped to transport patients.

*History Note:* Authority G.S. 131E-155(a)(6b); 131E-162; 143-508(b),(d)(1),(d)(3),(d)(4),(d)(6),(d)(7),(d)(8), (d)(13); 143-518(a)(5);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. March 3, 2009 pursuant to E.O. 9, Beverly Perdue, March 3, 2009;  
 Pursuant to G.S. 150B-21.3(c), a bill was not ratified by the General Assembly to disapprove this rule.

- 10A NCAC 13P .0103 AIR MEDICAL PROGRAM**
- 10A NCAC 13P .0104 ASSISTANT MEDICAL DIRECTOR**
- 10A NCAC 13P .0105 CONVALESCENT AMBULANCE**
- 10A NCAC 13P .0106 EDUCATIONAL MEDICAL ADVISOR**
- 10A NCAC 13P .0107 EMS EDUCATIONAL INSTITUTION**

*History Note:* Authority G.S. 143-508(b); 143-508(d)(1),(d)(3),(d)(4),(d)(8);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Repealed Eff. January 1, 2009.

- 10A NCAC 13P .0108 EMS INSTRUCTOR**

*History Note:* Authority G.S. 131E-155(a)(7a); 143-508(b); 143-508(d)(3); 143-508(d)(4);  
 Temporary Adoption Eff. January 1, 2002;  
 Repealed Eff. January 1, 2004.

- 10A NCAC 13P .0109 EMS NONTRANSPORTING VEHICLE**
- 10A NCAC 13P .0110 EMS SYSTEM**
- 10A NCAC 13P .0111 GROUND AMBULANCE**
- 10A NCAC 13P .0112 MEDICAL CREW MEMBERS**
- 10A NCAC 13P .0113 MEDICAL DIRECTOR**
- 10A NCAC 13P .0114 MEDICAL OVERSIGHT**
- 10A NCAC 13P .0115 MODEL EMS SYSTEM**
- 10A NCAC 13P .0116 OFFICE OF EMERGENCY MEDICAL SERVICES**
- 10A NCAC 13P .0117 OPERATIONAL PROTOCOLS**
- 10A NCAC 13P .0118 PHYSICIAN**

<b>10A NCAC 13P .0119</b>	<b>EMS PEER REVIEW COMMITTEE</b>
<b>10A NCAC 13P .0120</b>	<b>SPECIALTY CARE TRANSPORT PROGRAM</b>
<b>10A NCAC 13P .0121</b>	<b>SPECIALTY CARE TRANSPORT PROGRAM CONTINUING EDUCATION COORDINATOR</b>
<b>10A NCAC 13P .0122</b>	<b>SYSTEM CONTINUING EDUCATION COORDINATOR</b>
<b>10A NCAC 13P .0123</b>	<b>TREATMENT PROTOCOLS</b>
<b>10A NCAC 13P .0124</b>	<b>WATER AMBULANCE</b>

*History Note:* Authority G.S. 131E-155(a)(6b); 143-508(b); 143-508(d)(1), (d)(3), (d)(6),(d)(7), (d)(8), (d)(13); 143-518(a)(5);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. January 1, 2004; April 1, 2003;  
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 Repealed Eff. January 1, 2009.

**SECTION .0200 – EMS SYSTEMS**

**10A NCAC 13P .0201 EMS SYSTEM REQUIREMENTS**

(a) County governments shall establish EMS Systems. Each EMS System shall have:

- (1) a defined geographical service area for the EMS System. The minimum service area for an EMS System shall be one county. There may be multiple EMS Provider service areas within the service area of an EMS System. The highest level of care offered within any EMS Provider service area must be available to the citizens within that service area 24 hours per day;
- (2) a defined scope of practice for all EMS personnel, functioning in the EMS System, within the parameters set forth by the North Carolina Medical Board pursuant to G.S. 143-514;
- (3) written policies and procedures describing the dispatch, coordination and oversight of all responders that provide EMS care, specialty patient care skills and procedures as defined in Rule .0301(a)(4) of this Subchapter, and ambulance transport within the system;
- (4) at least one licensed EMS Provider;
- (5) a listing of permitted ambulances to provide coverage to the service area 24 hours per day;
- (6) personnel credentialed to perform within the scope of practice of the system and to staff the ambulance vehicles as required by G.S. 131E-158. There shall be a written plan for the use of credentialed EMS personnel for all practice settings used within the system;
- (7) written policies and procedures specific to the utilization of the EMS System's EMS Care data for the daily and on-going management of all EMS System resources;
- (8) a written Infectious Disease Control Policy as defined in Rule .0102(33) of this Subchapter and written procedures which are approved by the EMS System medical director that address the cleansing and disinfecting of vehicles and equipment that are used to treat or transport patients;
- (9) a listing of facilities that will provide online medical direction for all EMS Providers operating within the EMS System;
- (10) an EMS communication system that provides for:
  - (A) public access using the emergency telephone number 9-1-1 within the public dial telephone network as the primary method for the public to request emergency assistance. This number shall be connected to the emergency communications center or PSAP with immediate assistance available such that no caller will be instructed to hang up the telephone and dial another telephone number. A person calling for emergency assistance shall not be required to speak with more than two persons to request emergency medical assistance;
  - (B) an emergency communications system operated by public safety telecommunications with training in the management of calls for medical assistance available 24 hours per day;
  - (C) dispatch of the most appropriate emergency medical response unit or units to any caller's request for assistance. The dispatch of all response vehicles shall be in accordance with a written EMS System plan for the management and deployment of response vehicles including requests for mutual aid; and
  - (D) two-way radio voice communications from within the defined service area to the emergency communications center or PSAP and to facilities where patients are routinely transported. The emergency communications system shall maintain all required FCC radio licenses or authorizations;

- (11) written policies and procedures for addressing the use of SCTP and Air Medical Programs within the system;
- (12) a written continuing education program for all credentialed EMS personnel, under the direction of a System Continuing Education Coordinator, developed and modified based on feedback from system EMS Care data, review, and evaluation of patient outcomes and quality management peer reviews, that follows the guidelines of the:
  - (A) "US DOT NHTSA First Responder Refresher: National Standard Curriculum" for MR personnel;
  - (B) "US DOT NHTSA EMT-Basic Refresher: National Standard Curriculum" for EMT personnel;
  - (C) "EMT-P and EMT-I Continuing Education National Guidelines" for EMT-I and EMT-P personnel; and
  - (D) "US DOT NHTSA Emergency Medical Dispatcher: National Standard Curriculum" for EMD personnel.

These documents are incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and additions. These documents are available from NHTSA, 400 7<sup>th</sup> Street, SW, Washington, D.C. 20590, at no cost;

- (13) written policies and procedures to address management of the EMS System that includes:
  - (A) triage and transport of all acutely ill and injured patients with time-dependent or other specialized care issues including trauma, stroke, STEMI, burn, and pediatric patients that may require the bypass of other licensed health care facilities and which are based upon the expanded clinical capabilities of the selected healthcare facilities;
  - (B) triage and transport of patients to facilities outside of the system;
  - (C) arrangements for transporting patients to appropriate facilities when diversion or bypass plans are activated;
  - (D) reporting, monitoring, and establishing standards for system response times using data provided by the OEMS;
  - (E) weekly updating of the SMARTT EMS Provider information;
  - (F) a disaster plan; and
  - (G) a mass-gathering plan;
- (14) affiliation as defined in Rule .0102(4) of this Subchapter with the trauma RAC as required by Rule .1101(b) of this Subchapter; and
- (15) medical oversight as required by Section .0400 of this Subchapter.

(b) An application to establish an EMS System shall be submitted by the county to the OEMS for review. When the system is comprised of more than one county, only one application shall be submitted. The proposal shall demonstrate that the system meets the requirements in Paragraph (a) of this Rule. System approval shall be granted for a period of six years. Systems shall apply to OEMS for reappraisal.

*History Note:* Authority G.S. 131E-155(1), (6), (8), (9), (15); 143-508(b), (d)(1), (d)(2), (d)(3), (d)(5), (d)(8), (d)(9), (d)(10), (d)(13); 143-509(1), (3), (4), (5); 143-517; 143-518;  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. August 1, 2004;  
 Amended Eff. January 1, 2009.

**10A NCAC 13P .0202 MODEL EMS SYSTEMS**

*History Note:* Authority G.S. 143-508(b); 143-508(d)(1), (d)(3), (d)(5), (d)(8), (d)(9), (d)(10), (d)(13); 143-509(1), (3), (4), (5);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. January 1, 2004;  
 Repealed Eff. March 1, 2009.

**10A NCAC 13P .0203 SPECIAL SITUATIONS**

Upon application of citizens in North Carolina, the North Carolina Medical Care Commission shall approve the furnishing and providing of programs within the scope of practice of EMD, EMT, EMT-I, or EMT-P in North Carolina by persons who have been approved to provide these services by an agency of a state adjoining North Carolina or federal jurisdiction. This approval shall be granted where the North Carolina Medical Care Commission concludes that the requirements enumerated in Rule .0201 of this Subchapter cannot be reasonably obtained by reason of lack of geographical access.

*History Note:* Authority G.S. 143-508(b);

*Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2004.*

**10A NCAC 13P .0204 EMS PROVIDER LICENSE REQUIREMENTS**

(a) Any firm, corporation, agency, organization or association that provides emergency medical services shall be licensed as an EMS Provider by meeting and continuously maintaining the following criteria:

- (1) Be affiliated as defined in Rule .0102(4) of this Subchapter with each EMS System where there is to be a physical base of operation or where the EMS Provider will provide point-to-point patient transport within the system;
- (2) Present an application for a permit for any ambulance that will be in service as required by G.S. 131E-156;
- (3) Submit a written plan detailing how the EMS Provider will furnish credentialed personnel;
- (4) Where there are franchise ordinances pursuant to G.S 153A-250 in effect that cover the proposed service areas of each EMS system of operation, show the affiliation as defined in Rule .0102(4) of this Subchapter with each EMS System, as required by Subparagraph (a)(1) of this Rule, by being granted a current franchise to operate, or present written documentation of impending receipt of a franchise, from each county. In counties where there is no franchise ordinance in effect, present a signature from each EMS System representative authorizing the EMS Provider to affiliate as defined in Rule .0102(4) of this Subchapter and as required by Paragraph (a)(1) of this Rule;
- (5) Provide systematic, periodic inspection, repair, cleaning, and routine maintenance of all EMS responding ground vehicles and maintain records available for inspection by the OEMS which verify compliance with this Subparagraph;
- (6) Collect and within 24 hours electronically submit to the OEMS EMS Care data that uses the EMS data set and data dictionary as specified in "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and additions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost.
- (7) Develop and implement written operational protocols for the management of equipment, supplies and medications and maintain records available for inspection by the OEMS which verify compliance with this Subparagraph. These protocols shall include a methodology:
  - (A) to assure that each vehicle contains the required equipment and supplies on each response;
  - (B) for cleaning and maintaining the equipment and vehicles; and
  - (C) to assure that supplies and medications are not used beyond the expiration date and stored in a temperature controlled atmosphere according to manufacturer's specifications.

(b) In addition to the general requirements detailed in Paragraph (a) of this Rule, if providing fixed-wing medical services, affiliation as defined in Rule .0102(4) of this Subchapter with a hospital as defined in Rule .0102(30) of this Subchapter is required to ensure the provision of peer review, medical director oversight and treatment protocol maintenance.

(c) In addition to the general requirements detailed in Paragraph (a) of this Rule, if providing rotary-wing air medical services, affiliation as defined in Rule .0102(4) of this Subchapter with a Level I or Level II Trauma Center as defined in Rules .0102(35) and (36) of this Subchapter designated by the OEMS is required to ensure the provision of peer review, medical director oversight and treatment protocol maintenance. Due to the geographical barriers unique to the County of Dare, the Medical Care Commission exempts the Dare County EMS System from this Paragraph.

(d) An EMS Provider may renew its license by presenting documentation to the OEMS that the Provider meets the criteria found in Paragraphs (a) through (c) of this Rule.

*History Note: Authority G.S. 131E-155.1(c); 143-508(d)(1), (d)(5);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2004;  
Amended Eff. March 3, 2009 pursuant to E.O. 9, Beverly Perdue, March 3, 2009;  
Pursuant to G.S. 150B-21(c), a bill was not ratified by the General Assembly to disapprove this rule.*

**10A NCAC 13P .0205 EMS PROVIDER LICENSE CONDITIONS**

(a) Applications for an EMS Provider License must be received by the OEMS at least 30 days prior to the date that the EMS Provider proposes to initiate service. Applications for renewal of an EMS Provider License must be received by the OEMS at least 30 days prior to the expiration date of the current license.

(b) Only one license shall be issued to each EMS Provider. The Department shall issue a license to the EMS Provider following verification of compliance with applicable laws and rules.



- (c) EMS Provider Licenses shall not be transferred.
- (d) The license shall be posted in a prominent location accessible to public view at the primary business location of the EMS Provider.
- (e) EMS Provider Licenses may not be issued by the Department to any firm, corporation, agency, or organization or association that does not intend to provide emergency medical services as part of its operation to the citizens of North Carolina.

*History Note:* Authority G.S. 131E-155.1(c);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. February 1, 2009; January 1, 2004.

**10A NCAC 13P .0206 TERM OF EMS PROVIDER LICENSE**

- (a) EMS Provider Licenses remain in effect for six years unless any of the following occurs:
  - (1) the Department imposes an administrative sanction which specifies license expiration;
  - (2) the EMS Provider closes or goes out of business;
  - (3) the EMS Provider changes name or ownership; or
  - (4) failure to continue to comply with Rule .0204 of this Section.
- (b) When the name or ownership of the EMS Provider changes, an EMS Provider License application shall be submitted to the OEMS at least 30 days prior to the effective date of the change.

*History Note:* Authority G.S. 131E-155.1(c);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.

**10A NCAC 13P .0207 GROUND AMBULANCE: VEHICLE AND EQUIPMENT REQUIREMENTS**

- (a) To be permitted as a Ground Ambulance, a vehicle shall have:
  - (1) a patient compartment that meets the following interior dimensions:
    - (A) the length, measured on the floor from the back of the driver's compartment, driver's seat or partition to the inside edge of the rear loading doors, is at least 102 inches; and
    - (B) the height is at least 48 inches over the patient area, measured from the approximate center of the floor, exclusive of cabinets or equipment;
  - (2) patient care equipment and supplies as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. The equipment and supplies shall be clean, in working order, and secured in the vehicle;
  - (3) other equipment that includes:
    - (A) one fire extinguisher mounted in a quick release bracket that is either a dry chemical or all-purpose type and has a pressure gauge; and
    - (B) the availability of one pediatric restraint device to safely transport pediatric patients and children under 40 pounds in the patient compartment of the ambulance;
  - (4) the name of the EMS Provider permanently displayed on each side of the vehicle;
  - (5) reflective tape affixed to the vehicle such that there is reflectivity on all sides of the vehicle;
  - (6) emergency warning lights and audible warning devices mounted on the vehicle as required by G.S. 20-125 in addition to those required by Federal Motor Vehicle Safety Standards. All warning devices shall function properly;
  - (7) no structural or functional defects that may adversely affect the patient, the EMS personnel, or the safe operation of the vehicle;
  - (8) an operational two-way radio that:
    - (A) is mounted to the ambulance and installed for safe operation and controlled by the ambulance driver;
    - (B) has sufficient range, radio frequencies, and capabilities to establish and maintain two-way voice radio communication from within the defined service area of the EMS System to the emergency communications center or PSAP designated to direct or dispatch the deployment of the ambulance;
    - (C) is capable of establishing two-way voice radio communication from within the defined service area to the emergency department of the hospital(s) where patients are routinely transported and to facilities that provide on-line medical direction to EMS personnel;

- (D) is equipped with a radio control device mounted in the patient compartment capable of operation by the patient attendant to receive on-line medical direction; and
  - (E) is licensed or authorized by the FCC;
  - (9) permanently installed heating and air conditioning systems; and
  - (10) a copy of the EMS System patient care treatment protocols.
- (b) Ground ambulances shall not use a radiotelephone device such as a cellular telephone as the only source of two-way radio voice communication.
- (c) Communication instruments or devices such as data radio, facsimile, computer, or telemetry radio shall be in addition to the mission dedicated dispatch radio and shall function independently from the mission dedicated radio.

*History Note: Authority G.S. 131E-157(a); 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0208 CONVALESCENT AMBULANCE: VEHICLE AND EQUIPMENT REQUIREMENTS**

- (a) To be permitted as a Convalescent Ambulance, a vehicle shall have:
- (1) a patient compartment that meets the following interior dimensions:
    - (A) the length, measured on the floor from the back of the driver's compartment, driver's seat or partition to the inside edge of the rear loading doors, is at least 102 inches; and
    - (B) the height is at least 48 inches over the patient area, measured from the approximate center of the floor, exclusive of cabinets or equipment;
  - (2) patient care equipment and supplies as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. The equipment and supplies shall be clean, in working order, and secured in the vehicle;
  - (3) other equipment including:
    - (A) one fire extinguisher mounted in a quick release bracket that is either a dry chemical or all-purpose type and has a pressure gauge; and
    - (B) the availability of one pediatric restraint device to safely transport pediatric patients and children under 40 pounds in the patient compartment of the ambulance;
  - (4) permanently installed heating and air conditioning systems; and
  - (5) a copy of the EMS System patient care treatment protocols.
- (b) Convalescent Ambulances shall:
- (1) not be equipped, permanently or temporarily, with any emergency warning devices, audible or visual, other than those required by Federal Motor Vehicle Safety Standards;
  - (2) have the name of the EMS Provider permanently displayed on each side of the vehicle;
  - (3) not have emergency medical symbols, such as the Star of Life, block design cross, or any other medical markings, symbols, or emblems, including the word "EMERGENCY," on the vehicle;
  - (4) have the words "CONVALESCENT AMBULANCE" lettered on both sides and on the rear of the vehicle body; and
  - (5) have reflective tape affixed to the vehicle such that there is reflectivity on all sides of the vehicle.
- (c) A two-way radio or radiotelephone device such as a cellular telephone shall be available to summon emergency assistance for a vehicle permitted as a convalescent ambulance.
- (d) The convalescent ambulance shall not have structural or functional defects that may adversely affect the patient, the EMS personnel, or the safe operation of the vehicle.

*History Note: Authority G.S. 131E-157(a); 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004;*

**10A NCAC 13P .0209 AIR MEDICAL AMBULANCE: VEHICLE AND EQUIPMENT REQUIREMENTS**

- To be permitted as an Air Medical Ambulance, an aircraft shall meet the following requirements:
- (1) Configuration of the aircraft patient care compartment does not compromise the ability to provide appropriate care or prevent performing in-flight emergency patient care procedures as approved by the program medical director.

- (2) The aircraft has on board patient care equipment and supplies as defined in the treatment protocols for the program. The equipment and supplies shall be clean, in working order, and secured in the aircraft.
- (3) There is installed in the aircraft an internal voice communication system to allow for communication between the medical crew and flight crew.
- (4) The medical director designates the combination of medical equipment specified in Item (2) of this Rule that is carried on a mission based on anticipated patient care needs.
- (5) The name of the EMS Provider is permanently displayed on each side of the aircraft.
- (6) The aircraft is equipped with a two-way voice radio licensed by the FCC capable of operation on any frequency required to allow communications with public safety agencies such as fire departments, police departments, ambulance and rescue units, hospitals, and local government agencies within the service area.
- (7) In addition to equipment required by applicable air worthiness certificates and Federal Aviation Regulations (FAA Part 91 or 135), any rotary-wing aircraft permitted has the following functioning equipment to help ensure the safety of patients, crew members and ground personnel, patient comfort, and medical care:
  - (a) Global Positioning System;
  - (b) an external search light that can be operated from inside the aircraft;
  - (c) survival gear appropriate for the service area and the number, age and type of patients;
  - (d) permanently installed environmental control unit (ECU) capable of both heating and cooling the patient compartment of the aircraft; and
  - (e) capability to carry at least a 220 pound patient load and transport at least 60 nautical miles or nearest Trauma Center non-stop without refueling.
- (8) The availability of one pediatric restraint device to safely transport pediatric patients and children under 40 pounds in the patient compartment of the air medical ambulance.
- (9) The aircraft has no structural or functional defects that may adversely affect the patient, or the EMS personnel.

*History Note: Authority G.S. 131E-157(a); 143-508(d)(8);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. January 1, 2004;  
 Amended Eff. March 3, 2009 pursuant to E.O. 9, Beverly Perdue, March 3, 2009;  
 Pursuant to G.S. 150B-21.3(c), a bill was not ratified by the General Assembly to disapprove this rule.*

**10A NCAC 13P .0210 WATER AMBULANCE: WATERCRAFT AND EQUIPMENT REQUIREMENTS**

To be permitted as a Water Ambulance, a watercraft shall meet the following requirements:

- (1) The watercraft shall have a patient care area that:
  - (a) provides access to the head, torso, and lower extremities of the patient while providing sufficient working space to render patient care;
  - (b) is covered to protect the patient and EMS personnel from the elements; and
  - (c) has an opening of sufficient size to permit the safe loading and unloading of a person occupying a litter.
- (2) The watercraft shall have on board patient care equipment and supplies as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. The equipment and supplies shall be clean, in working order, and secured in the vehicle.
- (3) Water ambulances shall have the name of the EMS Provider permanently displayed on each side of the watercraft.
- (4) Water ambulances shall have a 360-degree beacon warning light in addition to warning devices required in Chapter 75A, Article 1, of the North Carolina General Statutes.
- (5) Water ambulances shall be equipped with:
  - (a) two floatable rigid long backboards with proper accessories for securing infant, pediatric, and adult patients and stabilization of the head and neck;
  - (b) one floatable litter with patient restraining straps and capable of being secured to the watercraft;
  - (c) one fire extinguisher mounted in a quick release bracket that is either a dry chemical or all-purpose type and has a pressure gauge;
  - (d) lighted compass;

- (e) radio navigational aids such as ADF (automatic directional finder), Satellite Global Navigational System, navigational radar, or other comparable radio equipment suited for water navigation;
- (f) marine radio; and
- (g) the availability of one pediatric restraint device to safely transport pediatric patients under 40 pounds in the patient compartment of the ambulance;
- (6) The water ambulance shall not have structural or functional defects that may adversely affect the patient, the EMS personnel, or the safe operation of the watercraft.
- (7) Water ambulances shall have a copy of the EMS System patient care treatment protocols.

*History Note: Authority G.S. 131E-157(a); 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0211 AMBULANCE PERMIT CONDITIONS**

- (a) An EMS provider shall apply to the OEMS for the appropriate Ambulance Permit prior to placing an ambulance in service.
- (b) The Department shall issue a permit for an ambulance following verification of compliance with applicable laws and rules.
- (c) Only one Ambulance Permit shall be issued for each ambulance.
- (d) An ambulance shall be permitted in only one category.
- (e) Ambulance Permits shall not be transferred except in the case of Air Medical Ambulance replacement aircraft when the primary aircraft is out of service.
- (f) The Ambulance Permit shall be posted as designated by the OEMS inspector.

*History Note: Authority G.S. 131E-157(a); 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2004.*

**10A NCAC 13P .0212 TERM OF AMBULANCE PERMIT**

Ambulance Permits remain in effect for two years unless any of the following occurs:

- (1) The Department imposes an administrative sanction which specifies permit expiration;
- (2) The EMS Provider closes or goes out of business;
- (3) The EMS Provider changes name or ownership; or
- (4) Failure to comply with the applicable Paragraphs of Rules .0207, .0208, .0209, or .0210 of this Section.

*History Note: Authority G.S. 131E-157(a); 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0213 EMS NONTRANSPORTING VEHICLE REQUIREMENTS**

(a) To be permitted as an EMS Nontransporting Vehicle, a vehicle shall:

- (1) have patient care equipment and supplies as defined in the treatment protocols for the system. The equipment and supplies shall be clean, in working order, and secured in the vehicle.
- (2) have the name of the EMS Provider permanently displayed on each side of the vehicle.
- (3) have reflective tape affixed to the vehicle such that there is reflectivity on all sides of the vehicle.
- (4) have emergency warning lights and audible warning devices mounted on the vehicle as required by G.S. 20-125 in addition to those required by Federal Motor Vehicle Safety Standards. All warning devices shall function properly.
- (5) not have structural or functional defects that may adversely affect the EMS personnel or the safe operation of the vehicle.
- (6) have one fire extinguisher that is a dry chemical or all-purpose type with a pressure gauge, mounted in a quick-release bracket.
- (7) have an operational two-way radio that:
  - (A) is mounted to the EMS Nontransporting Vehicle and installed for safe operation and controlled by the driver;

- (B) has sufficient range, radio frequencies, and capabilities to establish and maintain two-way voice radio communication from within the defined service area of the EMS System to the emergency communications center or PSAP designated to direct or dispatch the deployment of the ambulance;
  - (C) is capable of establishing two-way voice radio communication from within the defined service area to facilities that provide on-line medical direction to EMS personnel; and
  - (D) is licensed or authorized by the FCC.
- (8) not use a radiotelephone device such as a cellular telephone as the only source of two-way radio voice communication.
- (9) have a copy of the local EMS System patient care treatment protocols.
- (b) Communication instruments or devices such as data radio, facsimile, computer, or telemetry radio shall be in addition to the mission dedicated dispatch radio and shall function independently from the mission-dedicated radio.

*History Note: Authority G.S. 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0214 EMS NONTRANSPORTING VEHICLE PERMIT CONDITIONS**

- (a) An EMS Provider shall apply to the OEMS for an EMS Nontransporting Vehicle Permit prior to placing such a vehicle in service.
- (b) The Department shall issue a permit for a vehicle following verification of compliance with applicable laws and rules.
- (c) Only one EMS Nontransporting Vehicle Permit shall be issued for each vehicle.
- (d) EMS Nontransporting Vehicle Permits shall not be transferred.
- (e) The EMS Nontransporting Vehicle Permit shall be posted as designated by the OEMS inspector.
- (f) Vehicles that are not owned or leased by the EMS Provider are ineligible for permitting.

*History Note: Authority G.S. 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0215 TERM OF EMS NONTRANSPORTING VEHICLE PERMIT**

EMS Nontransporting Vehicle Permits remain in effect for two years, unless any of the following occurs:

- (1) The Department imposes an administrative sanction that specifies permit expiration;
- (2) The EMS Provider closes or goes out of business;
- (3) The EMS Provider changes name or ownership; or
- (4) Failure to comply with Rule .0213 of this Section.

*History Note: Authority G.S. 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0216 WEAPONS AND EXPLOSIVES FORBIDDEN**

- (a) Weapons, as defined by the local county district attorney's office, and explosives shall not be worn or carried aboard an ambulance or EMS nontransporting vehicle within the State of North Carolina when the vehicle is operating in any patient treatment or transport capacity or is available for such function.
- (b) This Rule shall apply whether or not such weapons and explosives are concealed or visible.
- (c) This Rule shall not apply to duly appointed law enforcement officers.
- (d) Safety flares are authorized for use on an ambulance with the following restrictions:
  - (1) These devices are not stored inside the patient compartment of the ambulance; and
  - (2) These devices shall be packaged and stored so as to prevent accidental discharge or ignition.

*History Note: Authority G.S. 131E-157(a); 143-508(d)(8);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003.*

**10A NCAC 13P .0217 MEDICAL AMBULANCE/EVACUATION BUS: VEHICLE AND EQUIPMENT REQUIREMENTS**

- (a) A Medical Ambulance/Evacuation bus is a multiple passenger vehicle configured and medically equipped for emergency and non-emergency transport of at least three stretcher bound patients with traumatic or medical conditions.
- (b) To be permitted as a Medical Ambulance/Evacuation Bus, a vehicle shall have:
- (1) a non-light penetrating sliding curtain installed behind the driver from floor-to-ceiling and from side-to-side to keep all light from the patient compartment from reaching the driver's area during vehicle operation at night;
  - (2) patient care equipment and supplies as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," which is incorporated by reference, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. The equipment and supplies shall be clean, in working order, and secured in the vehicle;
  - (3) five pound fire extinguishers mounted in a quick release bracket located inside the patient compartment at the front and rear of the vehicle that are either a dry chemical or all-purpose type and have pressure gauges;
  - (4) monitor alarms installed inside the patient compartment at the front and rear of the vehicle to warn of unsafe buildup of carbon monoxide;
  - (5) the name of the EMS provider permanently displayed on each side of the vehicle;
  - (6) reflective tape affixed to the vehicle such that there is reflectivity on all sides of the vehicle;
  - (7) emergency warning lights and audible warning devices mounted on the vehicle as required by G.S. 20-125 in addition to those required by Federal Motor Vehicle Safety Standards. All warning devices shall function properly;
  - (8) no structural or functional defects that may adversely affect the patient, the EMS personnel, or the safe operation of the vehicle;
  - (9) an operational two-way radio that:
    - (A) is mounted to the ambulance and installed for safe operation and controlled by the ambulance driver;
    - (B) has sufficient range, radio frequencies, and capabilities to establish and maintain two-way voice radio communication from within the defined service area of the EMS System to the emergency communications center or PSAP designated to direct or dispatch the deployment of the ambulance;
    - (C) is capable of establishing two-way voice radio communication from within the defined service area to the emergency department of the hospital(s) where patients are routinely transported and to facilities that provide on-line medical direction to EMS personnel;
    - (D) is equipped with a radio control device mounted in the patient compartment capable of operation by the patient attendant to receive on-line medical direction; and
    - (E) is licensed or authorized by the FCC;
  - (10) permanently installed heating and air conditioning systems; and
  - (11) a copy of the EMS System patient care treatment protocols.
- (c) A Medical Ambulance/Evacuation Bus shall not use a radiotelephone device such as a cellular telephone as the only source of two-way radio voice communication.
- (d) Communication instruments or devices such as data radio, facsimile, computer, or telemetry radio shall be in addition to the mission dedicated dispatch radio and shall function independently from the mission dedicated radio.
- (e) The EMS System medical director shall designate the combination of medical equipment as required in Subparagraph (b)(2) of this Rule that is carried on a mission based on anticipated patient care needs.
- (f) The ambulance permit for this vehicle shall remain in effect for two years unless any of the following occurs:
- (1) The Department imposes an administrative sanction which specifies permit expiration;
  - (2) The EMS Provider closes or goes out of business;
  - (3) The EMS Provider changes name or ownership; or
  - (4) Failure to comply with the applicable Paragraphs of this Rule.

*History Note:* Authority G.S. 131E-157(a); 143-508(d)(8);  
Eff. July 1, 2011.

**10A NCAC 13P .0218 PEDIATRIC SPECIALTY CARE GROUND AMBULANCE: VEHICLE AND EQUIPMENT REQUIREMENTS**

- (a) A Pediatric Specialty Care Ground Ambulance is an ambulance used to transport only those patients 18 years old or younger with traumatic or medical conditions or for whom the need for specialty care or emergency or non-emergency medical care is anticipated during an inter-facility or discharged patient transport.

- (b) To be permitted as a Pediatric Specialty Care Ground Ambulance, a vehicle shall have:
- (1) a patient compartment that meets the following interior dimensions:
    - (A) the length, measured on the floor from the back of the driver's compartment, driver's seat or partition to the inside edge of the rear loading doors, is at least 102 inches; and
    - (B) the height is at least 48 inches over the patient area, measured from the center of the floor, exclusive of cabinets or equipment;
  - (2) patient care equipment and supplies as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," which is incorporated by reference, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. The equipment and supplies shall be clean, in working order, and secured in the vehicle;
  - (3) one fire extinguisher mounted in a quick release bracket that is either a dry chemical or all-purpose type and has a pressure gauge;
  - (4) the name of the EMS Provider permanently displayed on each side of the vehicle;
  - (5) reflective tape affixed to the vehicle such that there is reflectivity on all sides of the vehicle;
  - (6) emergency warning lights and audible warning devices mounted on the vehicle as required by G.S. 20-125 in addition to those required by Federal Motor Vehicle Safety Standards. All warning devices shall function properly;
  - (7) no structural or functional defects that may adversely affect the patient, the EMS personnel, or the safe operation of the vehicle;
  - (8) an operational two-way radio that:
    - (A) is mounted to the ambulance and installed for safe operation and controlled by the ambulance driver;
    - (B) has sufficient range, radio frequencies, and capabilities to establish and maintain two-way voice radio communication from within the defined service area of the EMS System to the emergency communications center or PSAP designated to direct or dispatch the deployment of the ambulance;
    - (C) is capable of establishing two-way voice radio communication from within the defined service area to the emergency department of the hospital(s) where patients are routinely transported and to facilities that provide on-line medical direction to EMS personnel;
    - (D) is equipped with a radio control device mounted in the patient compartment capable of operation by the patient attendant to receive on-line medical direction; and
    - (E) is licensed or authorized by the FCC;
  - (9) permanently installed heating and air conditioning systems; and
  - (10) a copy of the EMS System patient care treatment protocols.
- (c) Pediatric Specialty Care Ground ambulances shall not use a radiotelephone device such as a cellular telephone as the only source of two-way radio voice communication.
- (d) Communication instruments or devices such as data radio, facsimile, computer, or telemetry radio shall be in addition to the mission dedicated dispatch radio and shall function independently from the mission dedicated radio.
- (e) The Specialty Care Transport Program medical director shall designate the combination of medical equipment as required in Subparagraph (b)(2) of this Rule that is carried on a mission based on anticipated patient care needs.
- (f) The ambulance permit for this vehicle shall remain in effect for two years unless any of the following occurs:
- (1) The Department imposes an administrative sanction which specifies permit expiration;
  - (2) The EMS Provider closes or goes out of business;
  - (3) The EMS Provider changes name or ownership; or
  - (4) Failure to comply with the applicable paragraphs of this Rule.

*History Note:* Authority G.S. 131E-157(a); 143-508(d)(8);  
Eff. July 1, 2011.

**10A NCAC 13P .0219 STAFFING FOR MEDICAL AMBULANCE/EVACUATION BUS VEHICLES**

Medical Ambulance/Evacuation Bus Vehicles are exempt from the requirements of G.S. 131E-158(a). The EMS System Medical Director shall determine the combination and number of EMT, EMT-Intermediate, or EMT-Paramedic personnel that are sufficient to manage the anticipated number and severity of injury or illness of the patients transported in the Medical Ambulance/Evacuation Bus vehicle.

*History Note:* Authority G.S. 131E-158(b);  
Eff. July 1, 2011.

**10A NCAC 13P .0220 STAFFING FOR PEDIATRIC SPECIALTY CARE GROUND AMBULANCES**

Pediatric Specialty Care Ground Ambulances operated within the approved Specialty Care Transport Program dedicated for inter-facility transport of non-emergent, emergent, and critically ill or injured or discharged Neonatal and Pediatric patients are exempt from the requirements of G.S. 131E-158(a). The Specialty Care Program Medical Director shall determine the staffing that is sufficient to manage the severity of illness or injury of the patients transported in the Pediatric Specialty Care Ground Ambulance.

*History Note: Authority G.S. 131E-158(b);  
Eff. July 1, 2011.*

**10A NCAC 13P .0221 PATIENT TRANSPORTATION BETWEEN HOSPITALS**

- (a) For the purpose of this Rule, hospital means those facilities as defined in Rule .0102(30) of this Subchapter.
- (b) Every ground ambulance when transporting a patient between hospitals shall be occupied by all of the following:
  - (1) one person who holds a credential issued by the OEMS as a Medical Responder or higher who is responsible for the operation of the vehicle and rendering assistance to the patient caregiver when needed; and
  - (2) at least one of the following who is responsible for the medical aspects of the mission:
    - (A) Emergency Medical Technician;
    - (B) EMT-Intermediate;
    - (C) EMT-Paramedic;
    - (D) nurse practitioner;
    - (E) physician;
    - (F) physician assistant;
    - (G) registered nurse; or
    - (H) respiratory therapist.
- (c) Information must be provided to the OEMS by the licensed EMS provider:
  - (1) describing the intended staffing pursuant to Rule .0204(a)(3) of this Subchapter; and
  - (2) showing authorization pursuant to Rule .0204(a)(4) of this Subchapter by the county in which the EMS provider license is issued to use the staffing in Paragraph (b) of this Rule.
- (d) Ambulances used for patient transports between hospitals must contain all medical equipment, supplies, and medications approved by the medical director, based on the treatment protocols.

*History Note: Authority G.S. 131E-155.1; 131E-158(b); 143-508(d)(1),(d)(8);  
Eff. July 1, 2012.*

**SECTION .0300 – SPECIALTY CARE TRANSPORT PROGRAMS**

**10A NCAC 13P .0301 SPECIALTY CARE TRANSPORT PROGRAM CRITERIA**

- (a) EMS Providers seeking designation to provide specialty care transports shall submit an application for program approval to the OEMS at least 60 days prior to field implementation. The application shall document that the program has:
  - (1) a defined service area that identifies the specific transferring and receiving facilities in which the program is intended to service;
  - (2) written policies and procedures implemented for medical oversight meeting the requirements of Section .0400;
  - (3) service continuously available on a 24 hour per day basis;
  - (4) the capability to provide the patient care skills and procedures as specified in "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost;
  - (5) a written continuing education program for EMS personnel, under the direction of the Specialty Care Transport Program Continuing Education Coordinator, developed and modified based on feedback from program data, review and evaluation of patient outcomes, and quality management review that follows the guidelines of the:
    - (A) "US DOT NHTSA EMT-Basic Refresher: National Standard Curriculum" for EMT personnel; and
    - (B) "EMT-P and EMT-I Continuing Education National Guidelines" for EMT-I and EMT-P personnel.
 These documents are incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and additions. These documents are available from NHTSA, 400 7<sup>th</sup> Street, SW, Washington, D.C. 20590, at no cost;



- (6) a communication system that will provide two-way voice communications for transmission of patient information to medical crew members anywhere in the service area of the program. The SCTP medical director shall verify that the communications system is satisfactory for on-line medical direction;
  - (7) medical crew members that have all completed training regarding:
    - (A) operation of the EMS communications system used in the program; and
    - (B) the medical and patient safety equipment specific to the program. This training shall be conducted every six months;
  - (8) written operational protocols for the management of equipment, supplies and medications. These protocols include:
    - (A) a listing of all standard medical equipment, supplies, and medications for all vehicles used in the program based on the treatment protocols and approved by the medical director; and
    - (B) a methodology to assure that each ground vehicle and aircraft contains the required equipment, supplies and medications on each response; and
  - (9) written policies and procedures specifying how EMS Systems will dispatch and utilize the ground ambulances and aircraft operated by the program.
- (b) When transporting patients, staffing for the ground ambulance and aircraft used in the SCTP shall be approved by the SCTP medical director as medical crew members, using any of the following appropriate for the condition of the patient:
- (1) EMT-Paramedic;
  - (2) nurse practitioner;
  - (3) physician;
  - (4) physician assistant;
  - (5) registered nurse; and
  - (6) respiratory therapist.
- (c) Specialty Care Transport Programs as defined in Rule .0102(56) of this Subchapter are exempt from the staffing requirements defined in G.S. 131E-158(a).
- (d) Specialty Care Transport Program approval are valid for a period to coincide with the EMS Provider License, not to exceed six years. Programs shall apply to the OEMS for reapproval.

*History Note: Authority G.S. 131E-158; 143-508(d)(1), (d)(8), (d)(9); 143-508(d)(13);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. January 1, 2004;  
 Amended Eff. January 1, 2004;  
 Amended Eff. March 3, 2009 pursuant to E.O. 9, Beverly Perdue, March 3, 2009;  
 Pursuant to G.S. 150B-21.3(c), a bill was not ratified by the General Assembly to disapprove this rule.*

**10A NCAC 13P .0302 AIR MEDICAL SPECIALTY CARE TRANSPORT PROGRAM CRITERIA FOR LICENSED EMS PROVIDERS USING ROTARY-WING AIRCRAFT**

- (a) Air Medical Programs using rotary-wing aircraft shall document that the program has:
  - (1) Medical crew members that have all completed training regarding:
    - (A) Altitude physiology; and
    - (B) The operation of the EMS communications system used in the program;
  - (2) Written policies and procedures for transporting patients to appropriate facilities when diversion or bypass plans are activated;
  - (3) Written policies and procedures specifying how EMS Systems will dispatch and utilize aircraft operated by the program;
  - (4) Written triage protocols for trauma, stroke, STEMI, burn, and pediatric patients reviewed and approved by the OEMS medical director;
  - (5) Written policies and procedures specifying how EMS Systems will receive the Specialty Care Transport Services offered under the program when the aircraft are unavailable for service; and
  - (6) A copy of the Specialty Care Transport Program patient care treatment protocols.
- (b) All patient response, re-positioning and mission flight legs must be conducted under FAA part 135 regulations.

*History Note: Authority G.S. 143-508(d)(1), (d)(3); (d)(13);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. March 3, 2009 pursuant to E.O. 9, Beverly Perdue, March 3, 2009;  
 Pursuant to G.S. 150B-21.3(c), a bill was not ratified by the General Assembly to disapprove this rule.*

**10A NCAC 13P .0303 GROUND SPECIALTY CARE TRANSPORT PROGRAMS**  
**10A NCAC 13P .0304 HOSPITAL-AFFILIATED GROUND SPECIALTY CARE TRANSPORT PROGRAMS**  
**USED FOR INPATIENT TRANSPORTS**

*History Note:* Authority G.S. 143-508(d)(1); (d)(8); (d)(9);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. January 1, 2004;  
 Repealed Eff. January 1, 2009.

**10A NCAC 13P .0305 AIR MEDICAL SPECIALTY CARE TRANSPORT PROGRAM CRITERIA FOR**  
**LICENSED EMS PROVIDERS USING FIXED-WING AIRCRAFT**

(a) In addition to the general requirements of Specialty Care Transport Programs in Rule .0301 of this Section, Air Medical Programs using fixed-wing aircraft shall document that:

- (1) Medical crew members have all completed training regarding:
  - (A) Altitude physiology; and
  - (B) The operation of the EMS communications system used in the program;
- (2) Written policies and procedures specifying how ground ambulance services are utilized by the program for patient delivery and receipt on each end of the transport; and
- (3) There is a copy of the Specialty Care Treatment Program patient care protocols.

(b) All patient, re-positioning, and mission flight legs must be conducted under FAA part 135 regulations.

*History Note:* Authority G.S. 143-508(d)(1), (d)(3);  
 Eff. March 3, 2009 pursuant to E.O. 9, Beverly Perdue, March 3, 2009;  
 Pursuant to G.S. 150B-21.3(c), a bill was not ratified by the General Assembly to disapprove this rule.

**SECTION .0400 - MEDICAL OVERSIGHT**

**10A NCAC 13P .0401 COMPONENTS OF MEDICAL OVERSIGHT FOR EMS SYSTEMS**

Each EMS System shall have the following components in place to assure medical oversight of the system:

- (1) a medical director for adult and pediatric patients appointed, either directly or by written delegation, by the county responsible for establishing the EMS System. Systems may elect to appoint one or more assistant medical directors. The medical director and assistant medical directors shall meet the criteria defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost;
- (2) written treatment protocols for adult and pediatric patients for use by EMS personnel;
- (3) for systems providing EMD service, an EMDPRS approved by the medical director;
- (4) an EMS Peer Review Committee; and
- (5) written procedures for use by EMS personnel to obtain on-line medical direction. On-line medical direction shall:
  - (a) be restricted to medical orders that fall within the scope of practice of the EMS personnel and within the scope of approved system treatment protocols;
  - (b) be provided only by a physician, MICN, EMS-NP, or EMS-PA. Only physicians may deviate from written treatment protocols; and
  - (c) be provided by a system of two-way voice communication that can be maintained throughout the treatment and disposition of the patient.

*History Note:* Authority G.S. 143-508(b); 143-509(12);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. January 1, 2009; January 1, 2004.

**10A NCAC 13P .0402 COMPONENTS OF MEDICAL OVERSIGHT FOR SPECIALTY CARE TRANSPORT**  
**PROGRAMS**

Each Specialty Care Transport Program shall have the following components in place to assure Medical Oversight of the system:

- (1) a medical director. The administration of the SCTP shall appoint a medical director following the criteria for medical directors of Specialty Care Transport Programs as defined by the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. The program administration may elect to appoint one or more assistant medical directors;
- (2) treatment protocols for adult and pediatric patients for use by medical crew members;
- (3) an EMS Peer Review Committee; and
- (4) a written protocol for use by medical crew members to obtain on-line medical direction. On-line medical direction shall:
  - (a) be restricted to medical orders that fall within the scope of practice of the medical crew members and within the scope of approved program treatment protocols;
  - (b) be provided only by a physician, MICN, EMS-NP, or EMS-PA. Only physicians may deviate from written treatment protocols; and
  - (c) be provided by a system of two-way voice communication that can be maintained throughout the treatment and disposition of the patient.

*History Note: Authority G.S. 143-508(b); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0403 RESPONSIBILITIES OF THE MEDICAL DIRECTOR FOR EMS SYSTEMS**

- (a) The Medical Director for an EMS System is responsible for the following:
  - (1) ensuring that medical control is available 24 hours a day;
  - (2) the establishment, approval and annual updating of adult and pediatric treatment protocols;
  - (3) EMD programs, the establishment, approval, and annual updating of the EMDPRS;
  - (4) medical supervision of the selection, system orientation, continuing education and performance of all EMS personnel;
  - (5) medical supervision of a scope of practice performance evaluation for all EMS personnel in the system based on the treatment protocols for the system;
  - (6) the medical review of the care provided to patients;
  - (7) providing guidance regarding decisions about the equipment, medical supplies, and medications that will be carried on all ambulances and EMS nontransporting vehicles operating within the system;
  - (8) keeping the care provided up to date with current medical practice; and
  - (9) developing and implementing an orientation plan for all hospitals within the EMS system that use MICN, EMS-NP, or EMS-PA personnel to provide on-line medical direction to EMS personnel, which includes:
    - (A) a discussion of all EMS System treatment protocols and procedures;
    - (B) an explanation of the specific scope of practice for credentialed EMS personnel, as authorized by the approved EMS System treatment protocols as required by Rule .0405 of this Section;
    - (C) a discussion of all practice settings within the EMS System and how scope of practice may vary in each setting;
    - (D) a mechanism to assess the ability to effectively use EMS System communications equipment including hospital and prehospital devices, EMS communication protocols, and communications contingency plans as related to on-line medical direction; and
    - (E) the successful completion of a scope of practice performance evaluation which verifies competency in Parts (A) through (D) of this Subparagraph and which is administered under the direction of the medical director.
- (b) Any tasks related to Paragraph (a) of this Rule may be completed, through written delegation, by assisting physicians, physician assistants, nurse practitioners, registered nurses, EMD's, or EMT-P's.
- (c) The Medical Director may suspend temporarily, pending due process review, any EMS personnel from further participation in the EMS System when it is determined the activities or medical care rendered by such personnel are detrimental to the care of the patient, constitute unprofessional conduct, or result in non-compliance with credentialing requirements.

*History Note: Authority G.S. 143-508(b); 143-508(d)(3),(d)(7); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0404      RESPONSIBILITIES OF THE MEDICAL DIRECTOR FOR SPECIALTY CARE  
TRANSPORT PROGRAMS**

- (a) The medical director for a Specialty Care Transport Program is responsible for the following:
- (1) The establishment, approval, and updating of adult and pediatric treatment protocols;
  - (2) Medical supervision of the selection, program orientation, continuing education, and performance of medical crew members;
  - (3) Medical supervision of a scope of practice performance evaluation for all medical crew members in the program based on the treatment protocols for the program;
  - (4) The medical review of the care provided to patients;
  - (5) Keeping the care provided up to date with current medical practice; and
  - (6) In air medical programs, determination and specification of the medical equipment required in Item (2) of Rule .0209 of this Subchapter that is carried on a mission based on anticipated patient care needs.
- (b) Any tasks related to Paragraph (a) of this Rule may be completed, through written delegation, by assisting physicians, physician assistants, nurse practitioners, registered nurses, or medical crew members.
- (c) The medical director may suspend temporarily, pending due process review, any medical crew members from further participation in the Specialty Care Transport Program when it is determined the activities or medical care rendered by such personnel may be detrimental to the care of the patient, constitute unprofessional conduct, or result in non-compliance with credentialing requirements.

*History Note:*      *Authority G.S. 143-508(b); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0405      REQUIREMENTS FOR ADULT AND PEDIATRIC TREATMENT PROTOCOLS FOR  
EMS SYSTEMS**

- (a) Treatment Protocols used in EMS Systems shall:
- (1) Be adopted in their original form from the standard adult and pediatric treatment protocols as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost; and
  - (2) Not contain medical procedures, medications, or intravenous fluids that exceed the scope of practice defined by the North Carolina Medical Board pursuant to G.S. 143-514 for the level of care offered in the EMS System and any other applicable health care licensing board.
- (b) Individual adult and pediatric treatment protocols may be modified locally by EMS Systems if there is a change in a specific protocol which will optimize care within the local community which adds additional medications or medical procedures, or rearranges the order of care provided in the protocol contained within the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection" as described in Paragraph (a) of this Rule. Additional written Treatment Protocols may be developed by any EMS System in addition to the required protocols contained within the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection" as required by the EMS System. All North Carolina College of Emergency Physicians Policies and Procedures must be included and may be modified at the local level. All EMS System Treatment Protocols which have been added or changed by the EMS System shall be submitted to the OEMS Medical Director for review and approval at least 30 days prior to the implementation of the change.

*History Note:*      *Authority G.S. 143-508(b); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0406      REQUIREMENTS FOR ADULT AND PEDIATRIC TREATMENT PROTOCOLS FOR  
SPECIALTY CARE TRANSPORT PROGRAMS**

- (a) Adult and pediatric treatment protocols used by medical crew members within a Specialty Care Transport Program shall:
- (1) be approved by the OEMS Medical Director and incorporate all skills, medications, equipment, and supplies for Specialty Care Transport Programs as defined by the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance

with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost; and

- (2) not contain medical procedures, medications, or intravenous fluids that exceed the scope of practice of the medical crew members.

(b) All adult and pediatric treatment protocols shall be reviewed annually, and any change in the treatment protocols shall be submitted to the OEMS Medical Director for review and approval at least 30 days prior to the implementation of the change.

*History Note: Authority G.S. 143-508(b); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0407 REQUIREMENTS FOR EMERGENCY MEDICAL DISPATCH PRIORITY REFERENCE SYSTEM**

(a) EMDPRS used by an EMD within an approved EMD program shall:

- (1) be approved by the OEMS Medical Director and meet or exceed the statewide standard for EMDPRS as defined by the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost; and
- (2) not exceed the EMD scope of practice defined by the North Carolina Medical Board pursuant to G.S. 143-514.

(b) An EMDPRS developed locally shall be reviewed and updated annually and submitted to the OEMS Medical Director for approval. Any change in the EMDPRS shall be submitted to the OEMS Medical Director for review and approval at least 30 days prior to the implementation of the change.

*History Note: Authority G.S. 143-508(b); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2004.*

**10A NCAC 13P .0408 EMS PEER REVIEW COMMITTEE FOR EMS SYSTEMS**

The EMS Peer Review Committee for an EMS System shall:

- (1) be composed of membership as defined in G.S. 131E-155(6b).
- (2) appoint a physician as chairperson;
- (3) meet at least quarterly;
- (4) use information gained from the analysis of system data submitted to the OEMS to evaluate the ongoing quality of patient care and medical direction within the system;
- (5) use information gained from the analysis of system data submitted to the OEMS to make recommendations regarding the content of continuing education programs for all EMS personnel functioning within the EMS system;
- (6) review adult and pediatric treatment protocols of the EMS System and make recommendations to the medical director for changes;
- (7) establish and implement a written procedure to guarantee due process reviews for EMS personnel temporarily suspended by the medical director;
- (8) record and maintain minutes of committee meetings throughout the approval period of the EMS System;
- (9) establish and implement EMS system performance improvement guidelines that meet or exceed the statewide standard as defined by the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost; and
- (10) adopt written guidelines that address:
  - (a) structure of committee membership;
  - (b) appointment of committee officers;
  - (c) appointment of committee members;
  - (d) length of terms of committee members;
  - (e) frequency of attendance of committee members;
  - (f) establishment of a quorum for conducting business; and

- (g) confidentiality of medical records and personnel issues.

*History Note: Authority G.S. 143-508(b); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0409 EMS PEER REVIEW COMMITTEE FOR SPECIALTY CARE TRANSPORT PROGRAMS**

The EMS Peer Review Committee for a Specialty Care Transport Program shall:

- (1) be composed of membership as defined in G.S. 131E-155(6b);
- (2) appoint a physician as chairperson;
- (3) meet at least quarterly;
- (4) analyze program data to evaluate the ongoing quality of patient care and medical direction within the program;
- (5) use information gained from program data analysis to make recommendations regarding the content of continuing education programs for medical crew members;
- (6) review adult and pediatric treatment protocols of the Specialty Care Transport Programs and make recommendations to the medical director for changes;
- (7) establish and implement a written procedure to guarantee due process reviews for medical crew members temporarily suspended by the medical director;
- (8) record and maintain minutes of committee meetings throughout the approval period of the Specialty Care Transport Program;
- (9) establish and implement EMS system performance improvement guidelines that meet or exceed the statewide standard as defined by the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost; and
- (10) adopt written guidelines that address:
  - (a) structure of committee membership;
  - (b) appointment of committee officers;
  - (c) appointment of committee members;
  - (d) length of terms of committee members;
  - (e) frequency of attendance of committee members;
  - (f) establishment of a quorum for conducting business; and
  - (g) confidentiality of medical records and personnel issues.

*History Note: Authority G.S. 143-508(b); 143-509(12);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2004;  
Amended Eff. March 3, 2009 pursuant to E.O. 9, Beverly Perdue, March 3, 2009;  
Pursuant to G.S. 150B-21.3(c), a bill was not ratified by the General Assembly to disapprove this rule.*

**SECTION .0500 – EMS PERSONNEL**

**10A NCAC 13P .0501 EDUCATIONAL PROGRAMS**

- (a) An educational program approved by the OEMS to qualify credentialed EMS personnel to perform within their scope of practice shall be offered by an EMS educational institution.
- (b) Educational programs approved to qualify EMS personnel for credentialing shall meet the educational objectives of the:
  - (1) "US DOT NHTSA First Responder: National Standard Curriculum" for MR personnel;
  - (2) "US DOT NHTSA EMT-Basic: National Standard Curriculum" for EMT personnel;
  - (3) "US DOT NHTSA EMT-Paramedic: National Standard Curriculum" for EMT-I and EMT-P personnel. For EMT-I personnel, the educational objectives shall be limited to the following:
    - (A) Module 1: Preparatory

SECTION	TITLE	LESSON OBJECTIVES
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1-1	EMS Systems / Roles & Responsibilities	1-1.1 – 1-1.46
1-2	The Well Being of the Paramedic	1-2.1 – 1-2.46
1-4	Medical / Legal Issues	1-4.1 – 1-4.35
1-5	Ethics	1-5.1 – 1-5.11
1-6	General Principles of Pathophysiology	1-6.3; 1-6.5 – 1-6.9; 1-6.13 – 1-6.16; 1-6.19 – 1-6.25; 1-6.27 – 1-6.31
1-7	Pharmacology	1-7.1 – 1-7.31
1-8	Venous Access / Medication Administration	1-8.1 – 1-8.8; 1-8.10 – 1-8.17; 1-8.19 – 1-8.34; 1-8.36 – 1-8.38; 1-8.40 – 1-8.43
1-9	Therapeutic Communications	1-9.1 – 1-9.21

(B) Module 2: Airway

SECTION	TITLE	LESSON OBJECTIVES
2-1	Airway Management & Ventilation	2-1.1 – 2-1.10; 2-1.12 – 2-1.40; 2-1.42 – 2-1.64; 2-1.69; 2-1.73 – 2-1.89; 2-1.93 – 2-1.103; 2-1.104a-d; 2-1.105 – 2-1.106; 2-1.108

(C) Module 3: Patient Assessment

SECTION	TITLE	LESSON OBJECTIVES
3-2	Techniques of Physical Examination	3-2.1 – 3-2.88

(D) Module 4: Trauma

SECTION	TITLE	LESSON OBJECTIVES
4-2	Hemorrhage and Shock	4-2.1 – 4-2.54
4-4	Burns	4-4.25 – 4-4.30; 4-4.80 – 4-4.81

(E) Module 5: Medical

SECTION	TITLE	LESSON OBJECTIVES
5-1	Pulmonary	5-1.2 – 5-1.7; 5-1.10bcdefjk – 5-1.14
5-2	Cardiology	5-2.1 – 5-2.5; 5-2.8; 5-2.11 – 5-2.12; 5-2.14; 5-2.29 – 5-2.30; 5-2.53;

		5-2.65 – 5-2.68; 5-2.70; 5-2.72 – 5-2.73; 5-2.75 – 5-2.77; 5-2.79 – 5-2.81; 5-2.84 – 5-2.89; 5-2.91 – 5-2.95; 5-2.121 – 5-2.125; 5-2.128 – 5-2.133; 5-2.150; 5-2.159; 5-2.162; 5-2.165; 5-2.168; 5-2.179 – 5-2.180; 5-2.184; 5-2.193 – 5-2.194; 5-2.201; 5-2.205ab; 5-2.206 – 5-2.207
5-3	Neurology	5-3.11 – 5-3.17; 5-3.82 – 5-3.83
5-4	Endocrinology	5-4.8 – 5-4.48
5-5	Allergies and Anaphylaxis	5-5.1 – 5-5.19
5-8	Toxicology	5-8.40 – 5-8.56; 5-8.62

(F) Module 7: Assessment Based Management

SECTION	TITLE	LESSON OBJECTIVES
7-1	Assessment Based Management	7-1.1 – 7-1.19 (objectives 7-1.12 and 7-1.19 include only abefhkl)

- (4) "US DOT NHTSA Emergency Medical Dispatcher: National Standard Curriculum" for EMD personnel; and
- (5) "National Guidelines for Educating EMS Instructors" for EMS Instructors.

These documents are incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and additions. These documents are available from NHTSA, 400 7<sup>th</sup> Street, SW, Washington, D.C. 20590, at no cost.

(c) Educational programs approved to qualify EMS personnel for renewal of credentials shall follow the guidelines of the:

- (1) "US DOT NHTSA First Responder Refresher: National Standard Curriculum" for MR personnel;
- (2) "US DOT NHTSA EMT-Basic Refresher: National Standard Curriculum" for EMT personnel;
- (3) "EMT-P and EMT-I Continuing Education National Guidelines" for EMT-I and EMT-P personnel;
- (4) "US DOT NHTSA Emergency Medical Dispatcher: National Standard Curriculum" for EMD personnel;
- (5) "US DOT NHTSA EMT-Intermediate Refresher: National Standard Curriculum" for EMT-I personnel; and
- (6) "US DOT NHTSA EMT-Paramedic Refresher: National Standard Curriculum" for EMT-P personnel.

These documents are incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and additions. These documents are available from NHTSA, 400 7<sup>th</sup> Street, SW, Washington, D.C. 20590, at no cost.

*History Note:* Authority G.S. 143-508(d)(3), (d)(4); 143-514;  
Temporary Adoption Eff. January 1, 2002;  
Eff. January 1, 2004;  
Amended Eff. January 1, 2009.

**10A NCAC 13P .0502 INITIAL CREDENTIALING REQUIREMENTS FOR MR, EMT, EMT-I, EMT-P, AND EMD**

- (a) In order to be credentialed as an MR, EMT, EMT-I, EMT-P, or EMD, individuals shall:
  - (1) Be at least 18 years of age.



- (2) Successfully complete an approved educational program for their level of application. If the educational program was completed over one year prior to application, applicants shall submit evidence of completion of continuing education during the past year. This continuing education shall be based on the educational objectives in Rule .0501(c) of this Section consistent with their level of application and approved by the OEMS.
- (3) Successfully complete a scope of practice performance evaluation which uses performance measures based on the cognitive, psychomotor, and affective educational objectives in Rule .0501(b) of this Section and which are consistent with their level of application and approved by the OEMS. This evaluation shall be conducted under the direction of the educational medical advisor or a Level II EMS Instructor credentialed at or above the level of application and designated by the educational medical advisor, and may be included within the educational program or conducted separately. If the evaluation was completed over one year prior to application, applicants must repeat the evaluation and submit evidence of successful completion during the previous year.
- (4) Successfully complete a written examination administered by the OEMS or a written examination approved by OEMS as equivalent to the examination administered by OEMS.

(b) EMD applicants shall successfully complete, within one year prior to application, an AHA CPR course or a course determined by the OEMS to be equivalent to the AHA CPR course, including infant, child, and adult CPR.

*History Note:* Authority G.S. 131E-159(a)(b); 143-508(d)(3);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. February 1, 2004;  
 Amended Eff. January 1, 2009.

**10A NCAC 13P .0503 TERM OF CREDENTIALS FOR EMS PERSONNEL**

Credentials for EMS Personnel shall be valid for a period of four years.

*History Note:* Authority G.S. 131E-159 (a);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003.

**10A NCAC 13P .0504 RENEWAL OF CREDENTIALS FOR MR, EMT, EMT-I, EMT-P, AND EMD**

MR, EMT, EMT-I, EMT-P, and EMD applicants shall renew credentials by presenting documentation to the OEMS that they have successfully completed an approved educational program as described in Rule .0501(c) of this Section.

*History Note:* Authority G.S. 131E-159(a); 143-508(d)(3);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. February 1, 2004;  
 Amended Eff. January 1, 2009.

**10A NCAC 13P .0505 SCOPE OF PRACTICE FOR EMS PERSONNEL**

EMS Personnel educated in approved programs, credentialed by the OEMS, and affiliated with an approved EMS System may perform acts and administer intravenous fluids and medications as allowed by the North Carolina Medical Board pursuant to G.S. 143-514.

*History Note:* Authority G.S. 143-508(d)(6); 143-514;  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003.

**10A NCAC 13P .0506 PRACTICE SETTINGS FOR EMS PERSONNEL**

Credentialed EMS Personnel may function in the following practice settings in accordance with the protocols approved by the medical director of the EMS System or Specialty Care Transport Program with which they are affiliated, and by the OEMS:

- (1) at the location of a physiological or psychological illness or injury including transportation to an appropriate treatment facility if required;
- (2) at public or community health facilities in conjunction with public and community health initiatives;
- (3) in hospitals and clinics;
- (4) in residences, facilities, or other locations as part of wellness or injury prevention initiatives within the community and the public health system; and
- (5) at mass gatherings or special events.

*History Note: Authority G.S. 143-508(d)(7);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2004.*

**10A NCAC 13P .0507 CREDENTIALING REQUIREMENTS FOR LEVEL I EMS INSTRUCTORS**

- (a) Applicants for credentialing as a Level I EMS Instructor shall:
- (1) be currently credentialed by the OEMS as an EMT, EMT-I, EMT-P, or EMD;
  - (2) have three years experience at the scope of practice for the level of application;
  - (3) within one year prior to application, successfully complete an evaluation which demonstrates the applicant's ability to provide didactic and clinical instruction based on the cognitive, psychomotor, and affective educational objectives in Rule .0501(b) of this Section consistent with their level of application and approved by the OEMS:
    - (A) For a credential to teach at the EMT level, this evaluation shall be conducted under the direction of a Level II EMS Instructor credentialed at or above the level of application;
    - (B) For a credential to teach at the EMT-I or EMT-P levels, this evaluation shall be conducted under the direction of the educational medical advisor, or a Level II EMS Instructor credentialed at or above the level of application and designated by the educational medical advisor; and
    - (C) For a credential to teach at the EMD level, this evaluation shall be conducted under the direction of the educational medical advisor or a Level I EMS Instructor credentialed at the EMD level designated by the educational medical advisor;
  - (4) have 100 hours of teaching experience in an approved EMS educational program or an EMS educational program approved by OEMS as equivalent to an approved program;
  - (5) successfully complete an educational program as described in Rule .0501(b)(5) of this Section;
  - (6) within one year prior to application, attend an OEMS Instructor workshop sponsored by the OEMS; and
  - (7) have a high school diploma or General Education Development certificate.
- (b) The credential of a Level I EMS Instructor shall be valid for four years, unless any of the following occurs:
- (1) the OEMS imposes an administrative action against the instructor credential; or
  - (2) the instructor fails to maintain a current EMT, EMT-I, EMT-P, or EMD credential at the highest level that the instructor is approved to teach.

*History Note: Authority G.S. 143-508(d)(3);  
Temporary Adoption Eff. January 1, 2002;  
Eff. February 1, 2004;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0508 CREDENTIALING REQUIREMENTS FOR LEVEL II EMS INSTRUCTORS**

- (a) Applicants for credentialing as a Level II EMS Instructor shall:
- (1) be credentialed by the OEMS as an EMT, EMT-I, EMT-P, or EMD;
  - (2) have completed post-secondary level education equal to or exceeding an Associate Degree;
  - (3) within one year prior to application, successfully complete an evaluation which demonstrates the applicant's ability to provide didactic and clinical instruction based on the cognitive, psychomotor, and affective educational objectives in Rule .0501(b) of this Section consistent with their level of application and approved by the OEMS:
    - (A) For a credential to teach at the EMT level, this evaluation shall be conducted under the direction of a Level II EMS Instructor credentialed at or above the level of application; and
    - (B) For a credential to teach at the EMT-I or EMT-P level, this evaluation shall be conducted under the direction of the educational medical advisor, or a Level II EMS Instructor credentialed at or above the level of application and designated by the educational medical advisor;
    - (C) For a credential to teach at the EMD level, this evaluation shall be conducted under the direction of the educational medical advisor or a Level I EMS Instructor credentialed at the EMD level designated by the educational medical advisor;
  - (4) have two years teaching experience as a Level I EMS Instructor or a teaching experience approved as equivalent by the OEMS;
  - (5) successfully complete the "EMS Education Administration Course" conducted by a North Carolina Community College; and
  - (6) attend an OEMS Instructor workshop sponsored by the OEMS;

- (b) The credential of a Level II EMS Instructor is valid for four years, unless any of the following occurs:
- (1) The OEMS imposes an administrative action against the instructor credential; or
  - (2) The instructor fails to maintain a current EMT, EMT-I, EMT-P, or EMD credential at the highest level that the instructor is approved to teach.

*History Note: Authority G.S. 143-508(d)(3);  
Temporary Adoption Eff. January 1, 2002;  
Eff. February 1, 2004;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0509 CREDENTIALING OF INDIVIDUALS TO ADMINISTER LIFESAVING TREATMENT TO PERSONS SUFFERING AN ADVERSE REACTION TO AGENTS THAT MIGHT CAUSE ANAPHYLAXIS**

(a) To become credentialed by the North Carolina Medical Care Commission to administer epinephrine to persons who suffer adverse reactions to agents that might cause anaphylaxis, a person shall meet the following:

- (1) Be 18 years of age or older; and
- (2) successfully complete an educational program taught by a physician licensed to practice medicine in North Carolina or designee of the physician. The educational program shall instruct individuals in the appropriate use of procedures for the administration of epinephrine to pediatric and adult victims who suffer adverse reactions to agents that might cause anaphylaxis and shall include the following:
  - (A) definition of anaphylaxis;
  - (B) agents that might cause anaphylaxis and the distinction between them, including drugs, insects, foods, and inhalants;
  - (C) recognition of symptoms of anaphylaxis for both pediatric and adult victims;
  - (D) appropriate emergency treatment of anaphylaxis as a result of agents that might cause anaphylaxis;
  - (E) availability and design of packages containing equipment for administering epinephrine to victims suffering from anaphylaxis as a result of agents that might cause anaphylaxis;
  - (F) pharmacology of epinephrine including indications, contraindications, and side effects;
  - (G) discussion of legal implications of rendering aid; and
  - (H) instruction that treatment is to be utilized only in the absence of the availability of physicians or other practitioners who are authorized to administer the treatment.

(b) A credential to administer epinephrine to persons who suffer adverse reactions to agents that might cause anaphylaxis shall be issued by the North Carolina Medical Care Commission upon receipt of a completed application signed by the applicant and the physician who taught or was responsible for the educational program. Applications may be obtained from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707. All credentials shall be valid for a period of four years.

(c) This Rule enables only those individuals who do not hold a North Carolina EMS credential and are not associated or affiliated with an EMS system, EMS agency, or emergency response provider to provide care pending arrival of the emergency responders dispatched through a 911 center to an EMS event involving a person suffering an anaphylactic reaction.

*History Note: Authority G.S. 143-508(d)(11); 143-509(9);  
Temporary Adoption Eff. January 1, 2003; January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; February 1, 2004.*

**10A NCAC 13P .0510 RENEWAL OF CREDENTIALS FOR LEVEL I AND LEVEL II EMS INSTRUCTORS**

(a) Level I and Level II EMS Instructor applicants shall renew credentials by presenting documentation to the OEMS that they:

- (1) are credentialed by the OEMS as an EMT, EMT-I, or EMT-P, or EMD;
- (2) successfully completed, within one year prior to application, a scope of practice performance evaluation which use performance measures based on the cognitive, psychomotor, and affective educational objectives in Rule .0501(b) of this Subchapter consistent with their level of application and approved by the OEMS:
  - (A) To renew a credential to teach at the EMT level, this evaluation shall be conducted under the direction of a Level II EMS Instructor credentialed at or above the level of application;
  - (B) To renew a credential to teach at the EMT-I or EMT-P level, this evaluation shall be conducted under the direction of the educational medical advisor, or a Level II EMS Instructor credentialed at or above the level of application and designated by the educational medical advisor; and
  - (C) To renew a credential to teach at the EMD level, this evaluation shall be conducted under the direction of the educational medical advisor or a Level I EMS Instructor credentialed at the EMD level designated by the educational medical advisor.

- (3) completed 96 hours of EMS instruction at the level of application; and
- (4) completed 40 hours of educational professional development as defined by the educational institution.
- (b) The credential of a Level I or Level II EMS Instructor is valid for four years, unless any of the following occurs:
  - (1) the OEMS imposes an administrative action against the instructor credential; or
  - (2) the instructor fails to maintain a current EMT, EMT-I, EMT-P, or EMD credential at the highest level that the instructor is approved to teach.

*History Note:* Authority G.S. 131E-159(a)(b); 143-508(d)(3);  
 Eff. February 1, 2004;  
 Amended Eff. February 1, 2009.

#### **10A NCAC 13P .0511 CRIMINAL HISTORIES**

- (a) The criminal background histories for all individuals who apply for EMS credentials, seek to renew EMS credentials, or hold EMS credentials shall be reviewed pursuant to G.S. 131E-159(g).
- (b) In addition to Paragraph (a) of this Rule, the OEMS shall carry out the following for all EMS Personnel whose primary residence is outside North Carolina, individuals who have resided in North Carolina for 60 months or less, and individuals under investigation who may be subject to administrative enforcement action by the Department under the provisions of Rule .1507 of this Subchapter:
  - (1) obtain a signed consent form for a criminal history check;
  - (2) obtain fingerprints on an SBI identification card or live scan electronic fingerprinting system at an agency approved by the North Carolina Department of Justice, State Bureau of Investigation;
  - (3) obtain the criminal history from the Department of Justice; and
  - (4) collect any processing fees from the individual identified in Paragraph (a) or (b) as required by the Department of Justice pursuant to G.S. 114-19.21 prior to conducting the criminal history background check.
- (c) An individual is not eligible for initial or renewal of EMS credentials if the applicant refuses to consent to any criminal history check as required by G.S. 131E-159(g). Since payment is required before the fingerprints may be processed by the State Bureau of Investigation, failure of the applicant or credentialed EMS personnel to pay the required fee in advance shall be considered a refusal to consent for the purposes of issuance or retention of an EMS credential.

*History Note:* Authority G.S. 114-19.21; 131E-159(g); 143-508(d)(3),(10);  
 Eff. January 1, 2009;  
 Amended Eff. January 1, 2013.

#### **SECTION .0600 – EMS EDUCATIONAL INSTITUTIONS**

##### **10A NCAC 13P .0601 CONTINUING EDUCATION EMS EDUCATIONAL INSTITUTION REQUIREMENTS**

- (a) Continuing Education EMS Educational Institutions shall be credentialed by the OEMS to provide EMS continuing education programs.
- (b) Continuing Education EMS Educational Institutions shall have:
  - (1) at least a Level I EMS Instructor as program coordinator. The program coordinator shall hold a Level I EMS Instructor credential at a level equal to or greater than the highest level of continuing education program offered in the EMS System or Specialty Care Transport Program;
  - (2) a continuing education program consistent with the EMS System or Specialty Care Transport Program continuing education plan for EMS personnel:
    - (A) In an EMS System, the continuing education programs for EMD, EMT-I, and EMT-P shall be reviewed and approved by the medical director of the EMS System.
    - (B) In a Model EMS System, the continuing education program shall be reviewed and approved by the system continuing education coordinator and medical director.
    - (C) In a Specialty Care Transport Program, the continuing education program shall be reviewed and approved by Specialty Care Transport Program Continuing Education Coordinator and the medical director;
  - (3) access to instructional supplies and equipment necessary for students to complete educational programs as defined in Rule .0501(c) of this Subchapter;
  - (4) educational programs offered in accordance with Rule .0501(c) of this Subchapter;
  - (5) an Educational Medical Advisor if offering educational programs that have not been reviewed and approved by a medical director of an EMS System or Specialty Care Transport Program. The Educational Medical Advisor shall meet the criteria as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S.

- 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost; and
- (6) written educational policies and procedures describing the delivery of educational programs, the record-keeping system detailing student attendance and performance, and the selection and monitoring of EMS instructors.
- (c) An application for credentialing as a Continuing Education EMS Educational Institution shall be submitted to the OEMS for review. The application shall demonstrate that the applicant meets the requirements in Paragraph (b) of this Rule.
- (d) Continuing Education EMS Educational Institution credentials are valid for a period of four years.

*History Note: Authority G.S. 143-508(d)(4), (13);  
Temporary Adoption Eff. January 1, 2002;  
Eff. January 1, 2004;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0602 BASIC EMS EDUCATIONAL INSTITUTION REQUIREMENTS**

- (a) Basic EMS Educational Institutions may offer MR, EMT, and EMD courses for which they have been credentialed by the OEMS.
- (b) For initial courses, Basic EMS Educational Institutions shall have:
  - (1) at least a Level I EMS Instructor as lead course instructor for MR and EMT courses. The lead course instructor must be credentialed at a level equal to or higher than the course offered;
  - (2) at least a Level I EMS Instructor credentialed at the EMD level as lead course instructor for EMD courses;
  - (3) a lead EMS educational program coordinator. This individual may be either a Level II EMS Instructor credentialed at or above the highest level of course offered by the institution, or a combination of staff who cumulatively meet the requirements of the Level II EMS Instructor referenced in this Subparagraph. These individuals may share the responsibilities of the lead EMS educational coordinator. The details of this option shall be defined in the educational plan required in Subparagraph (b)(5) of this Rule. Basic EMS Educational Institutions offering only EMD courses may meet this requirement with a Level I EMS Instructor credentialed at the EMD level;
  - (4) an Educational Medical Advisor that meets the criteria as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection" incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost;
  - (5) written educational policies and procedures describing the delivery of educational programs, the record-keeping system detailing student attendance and performance; and the selection and monitoring of EMS instructors; and
  - (6) access to instructional supplies and equipment necessary for students to complete educational programs as defined in Rule .0501(b) of this Subchapter.
- (c) For EMS continuing education programs, Basic EMS Educational Institutions shall meet the requirements defined in Paragraphs (a) and (b) of Rule .0601 of this Section.
- (d) An application for credentialing as a Basic EMS Educational Institution shall be submitted to the OEMS for review. The proposal shall demonstrate that the applicant meets the requirements in Paragraphs (b) and (c) of this Rule.
- (e) Basic EMS Educational Institution credentials are valid for a period of four years.

*History Note: Authority G.S. 143-508(d)(4), (13);  
Temporary Adoption Eff. January 1, 2002;  
Eff. January 1, 2004;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0603 ADVANCED EMS EDUCATIONAL INSTITUTION REQUIREMENTS**

- (a) Advanced EMS Educational Institutions may offer all EMS educational programs for which they have been credentialed by the OEMS.
- (b) For initial courses, Advanced EMS Educational Institutions shall have:
  - (1) at least a Level I EMS Instructor as lead course instructor for MR and EMT courses. The lead course instructor must be credentialed at a level equal to or higher than the course offered;
  - (2) at least a Level I EMS Instructor credentialed at the EMD level as lead course instructor for EMD courses;
  - (3) a Level II EMS Instructor as lead instructor for EMT-I and EMT-P courses. The lead course instructor must be credentialed at a level equal to or higher than the course offered;

- (4) a lead EMS educational program coordinator. This individual may be either a Level II EMS Instructor credentialed at or above the highest level of course offered by the institution, or a combination of staff who cumulatively meet the requirements of the Level II EMS Instructor referenced in this Subparagraph. These individuals may share the responsibilities of the lead EMS educational coordinator. The details of this option shall be defined in the educational plan required in Subparagraph (b)(6) of this Rule;
- (5) an Educational Medical Advisor that meets the criteria as defined in the "North Carolina College of Emergency Physicians: Standards for Medical Oversight and Data Collection," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost;
- (6) written educational policies and procedures describing the delivery of educational programs, the record-keeping system detailing student attendance and performance; and the selection and monitoring of EMS instructors; and
- (7) access to instructional supplies and equipment necessary for students to complete educational programs as defined in Rule .0501(b) of this Subchapter.

(c) For EMS continuing education programs, Advanced EMS Educational Institutions shall meet the requirements defined in Paragraphs (a) and (b) of Rule .0601 of this Section.

(d) An application for credentialing as an Advanced EMS Educational Institution shall be submitted to the OEMS for review. The application shall demonstrate that the applicant meets the requirements in Paragraphs (b) and (c) of this Rule.

(e) Advanced Educational Institution credentials are valid for a period of four years.

*History Note:* Authority G.S. 143-508(d)(4), (13);  
Temporary Adoption Eff. January 1, 2002;  
Eff. February 1, 2004;  
Amended Eff. January 1, 2009.

**10A NCAC 13P .0604 TRANSITION FOR APPROVED TEACHING INSTITUTIONS**

*History Note:* Authority G.S. 143-508(b);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Repealed Eff. January 1, 2004.

**SECTION .0700 - ENFORCEMENT**

**10A NCAC 13P .0701 DENIAL, SUSPENSION, AMENDMENT OR REVOCATION**

*History Note:* Authority G.S. 131E-155.1(d); 131E-157(c); 131E-159(a),(f); 131E-162; 143-508(d)(10);  
Temporary Adoption Eff. January 1, 2002;  
Eff. January 1, 2004;  
Amended Eff. January 1, 2009;  
Repealed Eff. January 1, 2013.

**10A NCAC 13P .0702 PROCEDURES FOR DENIAL, SUSPENSION, AMENDMENT, OR REVOCATION**

*History Note:* Authority G.S. 143-508(d)(10);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Repealed Eff. January 1, 2013.

**SECTION .0800 – TRAUMA SYSTEM DEFINITIONS**

**10A NCAC 13P .0801 TRAUMA SYSTEM DEFINITIONS**

*History Note:* Authority G.S. 131E-162;  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Repealed Eff. January 1, 2009.

## SECTION .0900 – TRAUMA CENTER STANDARDS AND APPROVAL

**10A NCAC 13P .0901 LEVEL I TRAUMA CENTER CRITERIA**

To receive designation as a Level I Trauma Center, a hospital shall have the following:

- (1) A trauma program and a trauma service that have been operational for at least 12 months prior to application for designation;
- (2) Membership in and inclusion of all trauma patient records in the North Carolina Trauma Registry for at least 12 months prior to submitting a Request for Proposal;
- (3) A trauma medical director who is a board-certified general surgeon. The trauma medical director must:
  - (a) Have a minimum of three years clinical experience on a trauma service or trauma fellowship training;
  - (b) Serve on the center's trauma service;
  - (c) Participate in providing care to patients with life-threatening or urgent injuries;
  - (d) Participate in the North Carolina Chapter of the ACS Committee on Trauma as well as other regional and national trauma organizations;
  - (e) Remain a provider in the ACS' ATLS Course and in the provision of trauma-related instruction to other health care personnel; and
  - (f) Be involved with trauma research and the publication of results and presentations;
- (4) A full-time TNC/TPM who is a registered nurse, licensed by the North Carolina Board of Nursing;
- (5) A full-time TR who has a working knowledge of medical terminology, is able to operate a personal computer, and has the ability to extract data from the medical record;
- (6) A hospital department/division/section for general surgery, neurological surgery, emergency medicine, anesthesiology, and orthopaedic surgery, with designated chair or physician liaison to the trauma program for each;
- (7) Clinical capabilities in general surgery with separate posted call schedules. One shall be for trauma, one for general surgery and one back-up call schedule for trauma. In those instances where a physician may simultaneously be listed on more than one schedule, there must be a defined back-up surgeon listed on the schedule to allow the trauma surgeon to provide care for the trauma patient. If a trauma surgeon is simultaneously on call at more than one hospital, there shall be a defined, posted trauma surgery back-up call schedule composed of surgeons credentialed to serve on the trauma panel;
- (8) A trauma team to provide evaluation and treatment of a trauma patient 24 hours per day that includes:
  - (a) An in-house trauma attending or PGY4 or senior general surgical resident. The trauma attending participates in therapeutic decisions and is present at all operative procedures.
  - (b) An emergency physician who is present in the Emergency Department 24 hours per day who is either board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine). Emergency physicians caring only for pediatric patients may, as an alternative, be boarded or prepared in pediatric emergency medicine. Emergency physicians must be board-certified within five years after successful completion of a residency in emergency medicine and serve as a designated member of the trauma team to ensure immediate care for the injured patient until the arrival of the trauma surgeon;
  - (c) Neurosurgery specialists who are never simultaneously on-call at another Level II or higher trauma center, who are promptly available, if requested by the trauma team leader, unless there is either an in-house attending neurosurgeon, a PGY2 or higher in-house neurosurgery resident or an in-house trauma surgeon or emergency physician as long as the institution can document management guidelines and annual continuing medical education for neurosurgical emergencies. There must be a specified back-up on the call schedule whenever the neurosurgeon is simultaneously on-call at a hospital other than the trauma center;
  - (d) Orthopaedic surgery specialists who are never simultaneously on-call at another Level II or higher trauma center, who are promptly available, if requested by the trauma team leader, unless there is either an in-house attending orthopaedic surgeon, a PGY2 or higher in-house orthopaedic surgery resident or an in-house trauma surgeon or emergency physician as long as the institution can document management guidelines and annual continuing medical education for orthopaedic emergencies. There must be a specified written back-up on the call schedule whenever the orthopaedist is simultaneously on-call at a hospital other than the trauma center;
  - (e) An in-house anesthesiologist or a CA3 resident as long as an anesthesiologist on-call is advised and promptly available if requested by the trauma team leader; and
  - (f) Registered nursing personnel trained in the care of trauma patients;

- (9) A written credentialing process established by the Department of Surgery to approve mid-level practitioners and attending general surgeons covering the trauma service. The surgeons must have board certification in general surgery within five years of completing residency;
- (10) Neurosurgeons and orthopaedists serving the trauma service who are board certified or eligible. Those who are eligible must be board certified within five years after successful completion of the residency;
- (11) Written protocols relating to trauma management formulated and updated to remain current;
- (12) Criteria to ensure team activation prior to arrival, and trauma attending arrival within 15 minutes of the arrival of trauma and burn patients that include the following conditions:
  - (a) Shock;
  - (b) Respiratory distress;
  - (c) Airway compromise;
  - (d) Unresponsiveness (GSC less than nine) with potential for multiple injuries;
  - (e) Gunshot wound to neck, chest or abdomen;
  - (f) Patients receiving blood to maintain vital signs; and
  - (g) ED physician's decision to activate;
- (13) Surgical evaluation, based upon the following criteria, by the trauma attending surgeon who is promptly available:
  - (a) Proximal amputations;
  - (b) Burns meeting institutional transfer criteria;
  - (c) Vascular compromise;
  - (d) Crush to chest or pelvis;
  - (e) Two or more proximal long bone fractures; and
  - (f) Spinal cord injury.

A PGY4 or higher surgical resident, a PGY3 or higher emergency medicine resident, a nurse practitioner or physician's assistant, who is a member of the designated surgical response team, may initiate the evaluation;
- (14) Surgical consults for patients with traumatic injuries, at the request of the ED physician, will be conducted by a member of the trauma surgical team. Criteria for the consults include:
  - (a) Falls greater than 20 feet;
  - (b) Pedestrian struck by motor vehicle;
  - (c) Motor vehicle crash with:
    - (i) Ejection (includes motorcycle);
    - (ii) Rollover;
    - (iii) Speed greater than 40 mph; or
    - (iv) Death of another individual in the same vehicle; and
  - (d) Extremes of age, less than five or greater than 70 years.

A senior surgical resident may initiate the evaluation;
- (15) Clinical capabilities (promptly available if requested by the trauma team leader, with a posted on-call schedule), that include individuals credentialed in the following:
  - (a) Cardiac surgery;
  - (b) Critical care;
  - (c) Hand surgery;
  - (d) Microvascular/replant surgery, or if service is not available, a transfer agreement must exist;
  - (e) Neurosurgery (The neurosurgeon must be dedicated to one hospital or a back-up call schedule must be available. If fewer than 25 emergency neurosurgical trauma operations are done in a year, and the neurosurgeon is dedicated only to that hospital, then a published back-up call list is not necessary);
  - (f) Obstetrics/gynecologic surgery;
  - (g) Ophthalmic surgery;
  - (h) Oral maxillofacial surgery;
  - (i) Orthopaedics (dedicated to one hospital or a back-up call schedule must be available);
  - (j) Pediatric surgery;
  - (k) Plastic surgery;
  - (l) Radiology;
  - (m) Thoracic surgery; and
  - (n) Urologic surgery;
- (16) An Emergency Department that has:



- (a) A designated physician director who is board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine);
- (b) 24-hour-per-day staffing by physicians physically present in the ED such that:
  - (i) At least one physician on every shift in the ED is either board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine) to serve as the designated member of the trauma team to ensure immediate care until the arrival of the trauma surgeon. Emergency physicians caring only for pediatric patients may, as an alternative, be boarded in pediatric emergency medicine. All emergency physicians must be board-certified within five years after successful completion of the residency;
  - (ii) All remaining emergency physicians, if not board-certified or prepared in emergency medicine as outlined in Subitem (16)(b)(i) of this Rule, are board-certified, or eligible by the American Board of Surgery, American Board of Family Practice, or American Board of Internal Medicine, with each being board-certified within five years after successful completion of a residency; and
  - (iii) All emergency physicians practice emergency medicine as their primary specialty.
- (c) Nursing personnel with experience in trauma care who continually monitor the trauma patient from hospital arrival to disposition to an intensive care unit, operating room, or patient care unit;
- (d) Equipment for patients of all ages to include:
  - (i) Airway control and ventilation equipment (laryngoscopes, endotracheal tubes, bag-mask resuscitators, pocket masks, and oxygen);
  - (ii) Pulse oximetry;
  - (iii) End-tidal carbon dioxide determination equipment;
  - (iv) Suction devices;
  - (v) Electrocardiograph-oscilloscope-defibrillator with internal paddles;
  - (vi) Apparatus to establish central venous pressure monitoring;
  - (vii) Intravenous fluids and administration devices that include large bore catheters and intraosseous infusion devices;
  - (viii) Sterile surgical sets for airway control/cricothyrotomy, thoracotomy, vascular access, thoracostomy, peritoneal lavage, and central line insertion;
  - (ix) Apparatus for gastric decompression;
  - (x) 24-hour-per-day x-ray capability;
  - (xi) Two-way communication equipment for communication with the emergency transport system;
  - (xii) Skeletal traction devices, including capability for cervical traction;
  - (xiii) Arterial catheters;
  - (xiv) Thermal control equipment for patients;
  - (xv) Thermal control equipment for blood and fluids;
  - (xvi) A rapid infuser system;
  - (xvii) A dosing reference and measurement system to ensure appropriate age related medical care;
  - (xviii) Sonography; and
  - (xix) A doppler;
- (17) An operating suite that is immediately available 24 hours per day and has:
  - (a) 24-hour-per-day immediate availability of in-house staffing;
  - (b) Equipment for patients of all ages that includes:
    - (i) Cardiopulmonary bypass capability;
    - (ii) Thermal control equipment for patients;
    - (iii) Thermal control equipment for blood and fluids;
    - (iv) 24-hour-per-day x-ray capability including c-arm image intensifier;
    - (v) Endoscopes and bronchoscopes;
    - (vi) Craniotomy instruments;
    - (vii) The capability of fixation of long-bone and pelvic fractures; and
    - (viii) A rapid infuser system;
- (18) A postanesthetic recovery room or surgical intensive care unit that has:
  - (a) 24-hour-per-day in-house staffing by registered nurses;
  - (b) Equipment for patients of all ages that includes:

- (i) The capability for resuscitation and continuous monitoring of temperature, hemodynamics, and gas exchange;
  - (ii) The capability for continuous monitoring of intracranial pressure;
  - (iii) Pulse oximetry;
  - (iv) End-tidal carbon dioxide determination capability;
  - (v) Thermal control equipment for patients; and
  - (vi) Thermal control equipment for blood and fluids;
- (19) An intensive care unit for trauma patients that has:
- (a) A designated surgical director for trauma patients;
  - (b) A physician on duty in the intensive care unit 24 hours per day or immediately available from within the hospital as long as this physician is not the sole physician on-call for the Emergency Department;
  - (c) Ratio of one nurse per two patients on each shift;
  - (d) Equipment for patients of all ages that includes:
    - (i) Airway control and ventilation equipment (laryngoscopes, endotracheal tubes, bag-mask resuscitators, and pocket masks);
    - (ii) An oxygen source with concentration controls;
    - (iii) A cardiac emergency cart;
    - (iv) A temporary transvenous pacemaker;
    - (v) Electrocardiograph-oscilloscope-defibrillator;
    - (vi) Cardiac output monitoring capability;
    - (vii) Electronic pressure monitoring capability;
    - (viii) A mechanical ventilator;
    - (ix) Patient weighing devices;
    - (x) Pulmonary function measuring devices;
    - (xi) Temperature control devices; and
    - (xii) Intracranial pressure monitoring devices.
  - (e) Within 30 minutes of request, the ability to perform blood gas measurements, hematocrit level, and chest x-ray studies;
- (20) Acute hemodialysis capability;
- (21) Physician-directed burn center staffed by nursing personnel trained in burn care or a transfer agreement with a burn center;
- (22) Acute spinal cord management capability or transfer agreement with a hospital capable of caring for a spinal cord injured patient;
- (23) Radiological capabilities that include:
- (a) 24-hour-per-day in-house radiology technologist;
  - (b) 24-hour-per-day in-house computerized tomography technologist;
  - (c) Sonography;
  - (d) Computed tomography;
  - (e) Angiography;
  - (f) Magnetic resonance imaging; and
  - (g) Resuscitation equipment that includes airway management and IV therapy;
- (24) Respiratory therapy services available in-house 24 hours per day;
- (25) 24-hour-per-day clinical laboratory service that must include:
- (a) Analysis of blood, urine, and other body fluids, including micro-sampling when appropriate;
  - (b) Blood-typing and cross-matching;
  - (c) Coagulation studies;
  - (d) Comprehensive blood bank or access to community central blood bank with storage facilities;
  - (e) Blood gases and pH determination; and
  - (f) Microbiology;
- (26) A rehabilitation service that provides:
- (a) A staff trained in rehabilitation care of critically injured patients;
  - (b) Functional assessment and recommendations regarding short- and long-term rehabilitation needs within one week of the patient's admission to the hospital or as soon as hemodynamically stable;
  - (c) In-house rehabilitation service or a transfer agreement with a rehabilitation facility accredited by the Commission on Accreditation of Rehabilitation Facilities;
  - (d) Physical, occupational, speech therapies, and social services; and
  - (e) Substance abuse evaluation and counseling capability;

- (27) A performance improvement program, as outlined in the North Carolina Chapter of the American College of Surgeons Committee on Trauma document "Performance Improvement Guidelines for North Carolina Trauma Centers," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. This performance improvement program must include:
- (a) The state Trauma Registry whose data is submitted to the OEMS at least weekly and includes all the center's trauma patients as defined in Rule .0102(68) of this Subchapter who are either diverted to an affiliated hospital, admitted to the trauma center for greater than 24 hours from an ED or hospital, die in the ED, are DOA or are transferred from the ED to the OR, ICU, or another hospital (including transfer to any affiliated hospital);
  - (b) Morbidity and mortality reviews including all trauma deaths;
  - (c) Trauma performance committee that meets at least quarterly and includes physicians, nurses, pre-hospital personnel, and a variety of other healthcare providers, and reviews policies, procedures, and system issues and whose members or designee attends at least 50 percent of the regular meetings;
  - (d) Multidisciplinary peer review committee that meets at least quarterly and includes physicians from trauma, neurosurgery, orthopaedics, emergency medicine, anesthesiology, and other specialty physicians, as needed, specific to the case, and the trauma nurse coordinator/program manager and whose members or designee attends at least 50 percent of the regular meetings;
  - (e) Identification of discretionary and non-discretionary audit filters;
  - (f) Documentation and review of times and reasons for trauma-related diversion of patients from the scene or referring hospital;
  - (g) Documentation and review of response times for trauma surgeons, neurosurgeons, anesthesiologists or airway managers, and orthopaedists. All must demonstrate 80 percent compliance.
  - (h) Monitoring of trauma team notification times;
  - (i) Review of pre-hospital trauma care that includes dead-on-arrivals; and
  - (j) Review of times and reasons for transfer of injured patients;
- (28) An outreach program that includes:
- (a) Transfer agreements to address the transfer and receipt of trauma patients;
  - (b) Programs for physicians within the community and within the referral area (that include telephone and on-site consultations) about how to access the trauma center resources and refer patients within the system;
  - (c) Development of a Regional Advisory Committee as specified in Rule .1102 of this Subchapter;
  - (d) Development of regional criteria for coordination of trauma care;
  - (e) Assessment of trauma system operations at the regional level; and
  - (f) ATLS;
- (29) A program of injury prevention and public education that includes:
- (a) Epidemiology research that includes studies in injury control, collaboration with other institutions on research, monitoring progress of prevention programs, and consultation with researchers on evaluation measures;
  - (b) Surveillance methods that includes trauma registry data, special Emergency Department and field collection projects;
  - (c) Designation of a injury prevention coordinator; and
  - (d) Outreach activities, program development, information resources, and collaboration with existing national, regional, and state trauma programs.
- (30) A trauma research program designed to produce new knowledge applicable to the care of injured patients that includes:
- (a) An identifiable institutional review board process;
  - (b) Educational presentations that must include 12 education/outreach presentations offered outside the trauma center over a three-year period; and
  - (c) 10 peer-reviewed publications over a three-year period that could come from any aspect of the trauma program; and
- (31) A written continuing education program for staff physicians, nurses, allied health personnel, and community physicians that includes:
- (a) A general surgery residency program;
  - (b) 20 hours of Category I or II trauma-related continuing medical education (as approved by the Accreditation Council for Continuing Medical Education) every two years for all attending general

- surgeons on the trauma service, orthopedists, and neurosurgeons, with at least 50 percent of this being external education including conferences and meetings outside of the trauma center. Continuing education based on the reading of content such as journals or other continuing medical education documents is not considered education outside of the trauma center;
- (c) 20 hours of Category I or II trauma-related continuing medical education (as approved by the Accreditation Council for Continuing Medical Education) every two years for all emergency physicians, with at least 50 percent of this being external education including conferences and meetings outside of the trauma center or visiting lecturers or speakers from outside the trauma center. Continuing education based on the reading of content such as journals or other continuing medical education documents is not considered education outside of the trauma center;
  - (d) ATLS completion for general surgeons on the trauma service and emergency physicians. Emergency physicians, if not boarded in emergency medicine, must be current in ATLS;
  - (e) 20 contact hours of trauma-related continuing education (beyond in-house in-services) every two years for the TNC/TPM;
  - (f) 16 hours of trauma-registry-related or trauma-related continuing education every two years, as deemed appropriate by the trauma nurse coordinator/program manager for the trauma registrar;
  - (g) At least an 80 percent compliance rate for 16 hours of trauma-related continuing education (as approved by the TNC/TPM) every two years related to trauma care for RN's and LPN's in transport programs, Emergency Departments, primary intensive care units, primary trauma floors, and other areas deemed appropriate by the TNC/TPM; and
  - (h) 16 hours of trauma-related continuing education every two years for mid-level practitioners routinely caring for trauma patients.

*History Note:* Authority G.S. 131E-162;  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. January 1, 2009; January 1, 2004.

#### **10A NCAC 13P .0902 LEVEL II TRAUMA CENTER CRITERIA**

To receive designation as a Level II Trauma Center, a hospital shall have the following:

- (1) A trauma program and a trauma service that have been operational for at least 12 months prior to application for designation;
- (2) Membership in and inclusion of all trauma patient records in the North Carolina Trauma Registry for at least 12 months prior to submitting a Request for Proposal;
- (3) A trauma medical director who is a board-certified general surgeon. The trauma medical director must:
  - (a) Have at least three years clinical experience on a trauma service or trauma fellowship training;
  - (b) Serve on the center's trauma service;
  - (c) Participate in providing care to patients with life-threatening urgent injuries;
  - (d) Participate in the North Carolina Chapter of the ACS' Committee on Trauma as well as other regional and national trauma organizations; and
  - (e) Remain a provider in the ACS' ATLS and in the provision of trauma-related instruction to other health care personnel;
- (4) A full-time trauma nurse coordinator TNC/TPM who is a registered nurse, licensed by the North Carolina Board of Nursing;
- (5) A full-time TR who has a working knowledge of medical terminology, is able to operate a personal computer, and has the ability to extract data from the medical record;
- (6) A hospital department/division/section for general surgery, neurological surgery, emergency medicine, anesthesiology, and orthopedic surgery, with designated chair or physician liaison to the trauma program for each;
- (7) Clinical capabilities in general surgery with separate posted call schedules. One shall be for trauma, one for general surgery and one back-up call schedule for trauma. In those instances where a physician may simultaneously be listed on more than one schedule, there must be a defined back-up surgeon listed on the schedule to allow the trauma surgeon to provide care for the trauma patient. If a trauma surgeon is simultaneously on call at more than one hospital, there shall be a defined, posted trauma surgery back-up call schedule composed of surgeons credentialed to serve on the trauma panel;
- (8) A trauma team to provide evaluation and treatment of a trauma patient 24 hours per day that includes:
  - (a) A trauma attending or PGY4 or senior general surgical resident. The trauma attending participates in therapeutic decisions and is present at all operative procedures.

- (b) An emergency physician who is present in the Emergency Department 24 hours per day who is either board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine) or board-certified or eligible by the American Board of Surgery, American Board of Family Practice, or American Board of Internal Medicine and practices emergency medicine as his primary specialty. This emergency physician if prepared or eligible must be board-certified within five years after successful completion of the residency and serves as a designated member of the trauma team to ensure immediate care for the injured patient until the arrival of the trauma surgeon;
- (c) Neurosurgery specialists who are never simultaneously on-call at another Level II or higher trauma center, who are promptly available, if requested by the trauma team leader, as long as there is either an in-house attending neurosurgeon; a PGY2 or higher in-house neurosurgery resident; or in-house emergency physician or the on-call trauma surgeon as long as the institution can document management guidelines and annual continuing medical education for neurosurgical emergencies. There must be a specified back-up on the call schedule whenever the neurosurgeon is simultaneously on-call at a hospital other than the trauma center;
- (d) Orthopaedic surgery specialists who are never simultaneously on-call at another Level II or higher trauma center, who are promptly available, if requested by the trauma team leader, as long as there is either an in-house attending orthopaedic surgeon; a PGY2 or higher in-house orthopaedic surgery resident; or in-house emergency physician or the on-call trauma surgeon as long as the institution can document management guidelines and annual continuing medical education for orthopaedic emergencies. There must be a specified back-up on the call schedule whenever the orthopaedic surgeon is simultaneously on-call at a hospital other than the trauma center; and
- (e) An in-house anesthesiologist or a CA3 resident unless an anesthesiologist on-call is advised and promptly available after notification or an in-house CRNA under physician supervision, practicing in accordance with G.S. 90-171.20(7)e, pending the arrival of the anesthesiologist;
- (9) A credentialing process established by the Department of Surgery to approve mid-level practitioners and attending general surgeons covering the trauma service. The surgeons must have board certification in general surgery within five years of completing residency;
- (10) Neurosurgeons and orthopaedists serving the trauma service who are board certified or eligible. Those who are eligible must be board certified within five years after successful completion of the residency;
- (11) Written protocols relating to trauma care management formulated and updated to remain current;
- (12) Criteria to ensure team activation prior to arrival, and attending arrival within 20 minutes of the arrival of trauma and burn patients that include the following conditions:
  - (a) Shock;
  - (b) Respiratory distress;
  - (c) Airway compromise;
  - (d) Unresponsiveness (GCS less than nine with potential for multiple injuries);
  - (e) Gunshot wound to neck, chest or abdomen;
  - (f) Patients receiving blood to maintain vital signs; and
  - (g) ED physician's decision to activate;
- (13) Surgical evaluation, based upon the following criteria, by the health professional who is promptly available:
  - (a) Proximal amputations;
  - (b) Burns meeting institutional transfer criteria;
  - (c) Vascular compromise;
  - (d) Crush to chest or pelvis;
  - (e) Two or more proximal long bone fractures; and
  - (f) Spinal cord injury;
- (14) Surgical consults, based upon the following criteria, by the health professional who is promptly available:
  - (a) Falls greater than 20 feet;
  - (b) Pedestrian struck by motor vehicle;
  - (c) Motor vehicle crash with:
    - (i) Ejection (includes motorcycle);
    - (ii) Rollover;
    - (iii) Speed greater than 40 mph; or
    - (iv) Death of another individual in the same vehicle; or
  - (d) Extremes of age, less than five or greater than 70 years;
- (15) Clinical capabilities (promptly available if requested by the trauma team leader, with a posted on-call schedule), that include individuals credentialed in the following:

- (a) Critical care;
  - (b) Hand surgery;
  - (c) Neurosurgery (The neurosurgeon must be dedicated to one hospital or a back-up call schedule must be available. If fewer than 25 emergency neurosurgical trauma operations are done in a year, and the neurosurgeon is dedicated only to that hospital, then a published back-up call list is not necessary.);
  - (d) Obstetrics/gynecologic surgery;
  - (e) Ophthalmic surgery;
  - (f) Oral maxillofacial surgery;
  - (g) Orthopaedics (dedicated to one hospital or a back-up call schedule must be available);
  - (h) Plastic surgery;
  - (i) Radiology;
  - (j) Thoracic surgery; and
  - (k) Urologic surgery;
- (16) An Emergency Department that has:
- (a) A physician director who is board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine);
  - (b) 24-hour-per-day staffing by physicians physically present in the Emergency Department who:
    - (i) Are either board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine or board-certified or eligible by the American Board of Surgery, American Board of Family Practice, or American Board of Internal Medicine). These emergency physicians must be board-certified within five years after successful completion of a residency;
    - (ii) Are hospital designated members of the trauma team; and
    - (iii) Practice emergency medicine as their primary specialty;
  - (c) Nursing personnel with experience in trauma care who continually monitor the trauma patient from hospital arrival to disposition to an intensive care unit, operating room, or patient care unit;
  - (d) Equipment for patients of all ages that includes:
    - (i) Airway control and ventilation equipment (laryngoscopes, endotracheal tubes, bag-mask resuscitators, pocket masks, and oxygen);
    - (ii) Pulse oximetry;
    - (iii) End-tidal carbon dioxide determination equipment;
    - (iv) Suction devices;
    - (v) An electrocardiograph-oscilloscope-defibrillator with internal paddles;
    - (vi) An apparatus to establish central venous pressure monitoring;
    - (vii) Intravenous fluids and administration devices that include large bore catheters and intraosseous infusion devices;
    - (viii) Sterile surgical sets for airway control/cricothyrotomy, thoracotomy, vascular access, thoracostomy, peritoneal lavage, and central line insertion;
    - (ix) An apparatus for gastric decompression;
    - (x) 24-hour-per-day x-ray capability;
    - (xi) Two-way communication equipment for communication with the emergency transport system;
    - (xii) Skeletal traction devices, including capability for cervical traction;
    - (xiii) Arterial catheters;
    - (xiv) Thermal control equipment for patients;
    - (xv) Thermal control equipment for blood and fluids;
    - (xvi) A rapid infuser system;
    - (xvii) A dosing reference and measurement system to ensure appropriate age related medical care;
    - (xviii) Sonography; and
    - (xix) A Doppler;
- (17) An operating suite that is immediately available 24 hours per day and has:
- (a) 24-hour-per-day immediate availability of in-house staffing;
  - (b) Equipment for patients of all ages that includes:
    - (i) Thermal control equipment for patients;
    - (ii) Thermal control equipment for blood and fluids;
    - (iii) 24-hour-per-day x-ray capability, including c-arm image intensifier;

- (iv) Endoscopes and bronchoscopes;
  - (v) Craniotomy instruments;
  - (vi) The capability of fixation of long-bone and pelvic fractures; and
  - (vii) A rapid infuser system;
- (18) A postanesthetic recovery room or surgical intensive care unit that has:
- (a) 24-hour-per-day in-house staffing by registered nurses;
  - (b) Equipment for patients of all ages to include:
    - (i) Capability for resuscitation and continuous monitoring of temperature, hemodynamics, and gas exchange;
    - (ii) Capability for continuous monitoring of intracranial pressure;
    - (iii) Pulse oximetry;
    - (iv) End-tidal carbon dioxide determination capability;
    - (v) Thermal control equipment for patients; and
    - (vi) Thermal control equipment for blood and fluids;
- (19) An intensive care unit for trauma patients that has:
- (a) A hospital designated surgical director of trauma patients;
  - (b) A physician on duty in the intensive care unit 24 hours per day or immediately available from within the hospital as long as this physician is not the sole physician on-call for the Emergency Department;
  - (c) Ratio of one nurse per two patients on each shift;
  - (d) Equipment for patients of all ages that includes:
    - (i) Airway control and ventilation equipment (laryngoscopes, endotracheal tubes, bag-mask resuscitators, and pocket masks);
    - (ii) An oxygen source with concentration controls;
    - (iii) A cardiac emergency cart;
    - (iv) A temporary transvenous pacemaker;
    - (v) Electrocardiograph-oscilloscope-defibrillator;
    - (vi) Cardiac output monitoring capability;
    - (vii) Electronic pressure monitoring capability;
    - (viii) A mechanical ventilator;
    - (ix) Patient weighing devices;
    - (x) Pulmonary function measuring devices;
    - (xi) Temperature control devices; and
    - (xii) Intracranial pressure monitoring devices; and
  - (e) Within 30 minutes of request, the ability to perform blood gas measurements, hematocrit level, and chest x-ray studies;
- (20) Acute hemodialysis capability or utilization of a transfer agreement;
- (21) Physician-directed burn center staffed by nursing personnel trained in burn care or a transfer agreement with a burn center;
- (22) Acute spinal cord management capability or transfer agreement with a hospital capable of caring for a spinal cord injured patient;
- (23) Radiological capabilities that include:
- (a) 24-hour-per-day in-house radiology technologist;
  - (b) 24-hour-per-day in-house computerized tomography technologist;
  - (c) Sonography;
  - (d) Computed tomography;
  - (e) Angiography; and
  - (f) Resuscitation equipment that includes airway management and IV therapy;
- (24) Respiratory therapy services available in-house 24 hours per day;
- (25) 24-hour-per-day clinical laboratory service that must include:
- (a) Analysis of blood, urine, and other body fluids, including micro-sampling when appropriate;
  - (b) Blood-typing and cross-matching;
  - (c) Coagulation studies;
  - (d) Comprehensive blood bank or access to a community central blood bank with storage facilities;
  - (e) Blood gases and pH determination; and
  - (f) Microbiology;
- (26) A rehabilitation service that provides:
- (a) A staff trained in rehabilitation care of critically injured patients;

- (b) For trauma patients, functional assessment and recommendation regarding short- and long-term rehabilitation needs within one week of the patient's admission to the hospital or as soon as hemodynamically stable;
  - (c) In-house rehabilitation service or a transfer agreement with a rehabilitation facility accredited by the Commission on Accreditation of Rehabilitation Facilities;
  - (d) Physical, occupational, speech therapies, and social services; and
  - (e) Substance abuse evaluation and counseling capability;
- (27) A performance improvement program, as outlined in the North Carolina Chapter of the American College of Surgeons Committee on Trauma document "Performance Improvement Guidelines for North Carolina Trauma Centers," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. This performance improvement program must include:
- (a) The state Trauma Registry whose data is submitted to the OEMS at least weekly and includes all the center's trauma patients as defined in Rule .0102(68) of this Subchapter who are either diverted to an affiliated hospital, admitted to the trauma center for greater than 24 hours from an ED or hospital, die in the ED, are DOA or are transferred from the ED to the OR, ICU, or another hospital (including transfer to any affiliated hospital);
  - (b) Morbidity and mortality reviews that include all trauma deaths;
  - (c) Trauma performance committee that meets at least quarterly and includes physicians, nurses, pre-hospital personnel, and a variety of other healthcare providers, and reviews policies, procedures, and system issues and whose members or designee attends at least 50 percent of the regular meetings;
  - (d) Multidisciplinary peer review committee that meets at least quarterly and includes physicians from trauma, neurosurgery, orthopaedics, emergency medicine, anesthesiology, and other specialty physicians, as needed, specific to the case, and the TNC/TPM and whose members or designee attends at least 50 percent of the regular meetings;
  - (e) Identification of discretionary and non-discretionary audit filters;
  - (f) Documentation and review of times and reasons for trauma-related diversion of patients from the scene or referring hospital;
  - (g) Documentation and review of response times for trauma surgeons, neurosurgeons, anesthesiologists or airway managers, and orthopaedists. All must demonstrate 80 percent compliance;
  - (h) Monitoring of trauma team notification times;
  - (i) Review of pre-hospital trauma care to include dead-on-arrivals; and
  - (j) Review of times and reasons for transfer of injured patients;
- (28) An outreach program that includes:
- (a) Transfer agreements to address the transfer and receipt of trauma patients;
  - (b) Programs for physicians within the community and within the referral area (that include telephone and on-site consultations) about how to access the trauma center resources and refer patients within the system;
  - (c) Development of a Regional Advisory Committee as specified in Rule .1102 of this Subchapter;
  - (d) Development of regional criteria for coordination of trauma care; and
  - (e) Assessment of trauma system operations at the regional level;
- (29) A program of injury prevention and public education that includes:
- (a) Designation of an injury prevention coordinator; and
  - (b) Outreach activities, program development, information resources, and collaboration with existing national, regional, and state trauma programs; and
- (30) A written continuing education program for staff physicians, nurses, allied health personnel, and community physicians that includes:
- (a) 20 hours of Category I or II trauma-related continuing medical education (as approved by the Accreditation Council for Continuing Medical Education) every two years for all attending general surgeons on the trauma service, orthopaedics, and neurosurgeons, with at least 50 percent of this being external education including conferences and meetings outside of the trauma center or visiting lecturers or speakers from outside the trauma center. Continuing education based on the reading of content such as journals or other continuing medical education documents is not considered education outside of the trauma center;
  - (b) 20 hours of Category I or II trauma-related continuing medical education (as approved by the Accreditation Council for Continuing Medical Education) every two years for all emergency



physicians, with at least 50 percent of this being external education including conferences and meetings outside of the trauma center or visiting lecturers or speakers from outside the trauma center. Continuing education based on the reading of content such as journals or other continuing medical education documents is not considered education outside of the trauma center;

- (c) ATLS completion for general surgeons on the trauma service and emergency physicians. Emergency physicians, if not boarded in emergency medicine, must be current in ATLS.
- (d) 20 contact hours of trauma-related continuing education (beyond in-house in-services) every two years for the TNC/TPM;
- (e) 16 hours of trauma-registry-related or trauma-related continuing education every two years, as deemed appropriate by the TNC/TPM, for the trauma registrar;
- (f) at least 80 percent compliance rate for 16 hours of trauma-related continuing education (as approved by the TNC/TPM) every two years related to trauma care for RN's and LPN's in transport programs, Emergency Departments, primary intensive care units, primary trauma floors, and other areas deemed appropriate by the trauma nurse coordinator/program manager; and
- (g) 16 contact hours of trauma-related continuing education every two years for mid-level practitioners routinely caring for trauma patients.

*History Note: Authority G.S. 131E-162;  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009; January 1, 2004.*

#### **10A NCAC 13P .0903 LEVEL III TRAUMA CENTER CRITERIA**

To receive designation as a Level III Trauma Center, a hospital shall have the following:

- (1) A trauma program and a trauma service that have been operational for at least 12 months prior to application for designation;
- (2) Membership in and inclusion of all trauma patient records in the North Carolina Trauma Registry for at least 12 months prior to submitting a Request for Proposal application;
- (3) A trauma medical director who is a board-certified general surgeon. The trauma medical director must:
  - (a) Serve on the center's trauma service;
  - (b) Participate in providing care to patients with life-threatening or urgent injuries;
  - (c) Participate in the North Carolina Chapter of the ACS' Committee on Trauma; and
  - (d) Remain a provider in the ACS' ATLS Course in the provision of trauma-related instruction to other health care personnel;
- (4) A hospital designated trauma nurse coordinator TNC/TPM who is a registered nurse, licensed by the North Carolina Board of Nursing;
- (5) A TR who has a working knowledge of medical terminology, is able to operate a personal computer, and has the ability to extract data from the medical record;
- (6) A hospital department/division/section for general surgery, emergency medicine, anesthesiology, and orthopaedic surgery, with designated chair or physician liaison to the trauma program for each;
- (7) Clinical capabilities in general surgery with a written posted call schedule that indicates who is on call for both trauma and general surgery. If a trauma surgeon is simultaneously on call at more than one hospital, there must be a defined, posted trauma surgery back-up call schedule composed of surgeons credentialed to serve on the trauma panel. The trauma service director shall specify, in writing, the specific credentials that each back-up surgeon must have. These must state that the back-up surgeon has surgical privileges at the trauma center and is boarded or eligible in general surgery (with board certification in general surgery within five years of completing residency);
- (8) Response of a trauma team to provide evaluation and treatment of a trauma patient 24 hours per day that includes:
  - (a) A trauma attending whose presence at the patient's bedside within 30 minutes of notification is documented and who participates in therapeutic decisions and is present at all operative procedures;
  - (b) An emergency physician who is present in the ED 24 hours per day who is either board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine) or board-certified or eligible by the American Board of Surgery, American Board of Family Practice, or American Board of Internal Medicine and practices emergency medicine as his primary specialty. This emergency physician if prepared or eligible must be board-certified within five years after successful completion of the

- residency and serve as a hospital designated member of the trauma team to ensure immediate care for the trauma patient until the arrival of the trauma surgeon; and
- (c) An anesthesiologist who is on-call and promptly available after notification by the trauma team leader or an in-house CRNA under physician supervision, practicing in accordance with G.S. 90-171.20(7)e, pending the arrival of the anesthesiologist within 30 minutes of notification;
- (9) A credentialing process established by the Department of Surgery to approve mid-level practitioners and attending general surgeons covering the trauma service. The surgeons must have board certification in general surgery within five years of completing residency;
- (10) Board certification or eligibility of orthopaedists and neurosurgeons (if participating), with board certification within five years after successful completion of residency;
- (11) Written protocols relating to trauma care management formulated and updated. Activation guidelines shall reflect criteria that ensures patients receive timely and appropriate treatment including stabilization, intervention and transfer. Documentation of effectiveness of variances from activation criteria addressed in Items (12), (13), and (14) of this Rule must be available for review;
- (12) Criteria to ensure team activation prior to arrival of trauma and burn patients that include the following conditions:
- (a) Shock;
  - (b) Respiratory distress;
  - (c) Airway compromise;
  - (d) Unresponsiveness (GSC less than nine) with evidence for multiple injuries;
  - (e) Gunshot wound to neck, or torso; or
  - (f) ED physician's decision to activate;
- (13) Trauma Treatment Guidelines based on facility capabilities that ensure surgical evaluation or appropriate transfer, based upon the following criteria, by the health professional who is promptly available:
- (a) Proximal amputations;
  - (b) Burns meeting institutional transfer criteria;
  - (c) Vascular compromise;
  - (d) Crush to chest or pelvis;
  - (e) Two or more proximal long bone fractures;
  - (f) Spinal cord injury; and
  - (g) Gunshot wound to the head;
- (14) Surgical consults or appropriate transfers determined by Trauma Treatment Guidelines based on facility capabilities, based upon the following criteria, by the health professional who is promptly available:
- (a) Falls greater than 20 feet;
  - (b) Pedestrian struck by motor vehicle;
  - (c) Motor vehicle crash with:
    - (i) Ejection (includes motorcycle);
    - (ii) Rollover;
    - (iii) Speed greater than 40 mph; or
    - (iv) Death of another individual in the same vehicle; and
  - (d) Extremes of age, less than five or greater than 70 years;
- (15) Clinical capabilities (promptly available if requested by the trauma team leader, with a posted on-call schedule) that include individuals credentialed in the following:
- (a) Orthopaedics;
  - (b) Radiology; and
  - (c) Neurosurgery, if actively participating in the acute resuscitation and operative management of patients managed by the trauma team;
- (16) An Emergency Department that has:
- (a) A physician director who is board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine);
  - (b) 24-hour-per-day staffing by physicians physically present in the Emergency Department who:
    - (i) Are either board-certified or prepared in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine) or board-certified or eligible by the American Board of Surgery, American Board of Family Practice, or American Board of Internal Medicine. These emergency physicians must be board-certified within five years after successful completion of a residency;
    - (ii) Are designated members of the trauma team to ensure immediate care to the trauma patient; and

- (iii) Practice emergency medicine as their primary specialty;
- (c) Nursing personnel with experience in trauma care who continually monitor the trauma patient from hospital arrival to disposition to an intensive care unit, operating room, or patient care unit;
- (d) Resuscitation equipment for patients of all ages that includes:
  - (i) Airway control and ventilation equipment (laryngoscopes, endotracheal tubes, bag-mask resuscitators, pocket masks, and oxygen);
  - (ii) Pulse oximetry;
  - (iii) End-tidal carbon dioxide determination equipment;
  - (iv) Suction devices;
  - (v) An Electrocardiograph-oscilloscope-defibrillator with internal paddles;
  - (vi) Apparatus to establish central venous pressure monitoring;
  - (vii) Intravenous fluids and administration devices that include large bore catheters and intraosseous infusion devices;
  - (viii) Sterile surgical sets for airway control/cricothyrotomy, thoracotomy, vascular access, thoracostomy, peritoneal lavage, and central line insertion;
  - (ix) Apparatus for gastric decompression;
  - (x) 24-hour-per-day x-ray capability;
  - (xi) Two-way communication equipment for communication with the emergency transport system;
  - (xii) Skeletal traction devices;
  - (xiii) Thermal control equipment for patients;
  - (xiv) Thermal control equipment for blood and fluids;
  - (xv) A rapid infuser system;
  - (xvi) A dosing reference and measurement system to ensure appropriate age related medical care; and
  - (xvii) A Doppler;
- (17) An operating suite that has:
  - (a) Personnel available 24 hours a day, on-call, and available within 30 minutes of notification unless in-house;
  - (b) Age-specific equipment that includes:
    - (i) Thermal control equipment for patients;
    - (ii) Thermal control equipment for blood and fluids;
    - (iii) 24-hour-per-day x-ray capability, including c-arm image intensifier;
    - (iv) Endoscopes and bronchoscopes;
    - (v) Equipment for long bone and pelvic fracture fixation; and
    - (vi) A rapid infuser system;
- (18) A postanesthetic recovery room or surgical intensive care unit that has:
  - (a) 24-hour-per-day availability of registered nurses within 30 minutes from inside or outside the hospital;
  - (b) Equipment for patients of all ages that includes:
    - (i) The capability for resuscitation and continuous monitoring of temperature, hemodynamics, and gas exchange;
    - (ii) Pulse oximetry;
    - (iii) End-tidal carbon dioxide determination;
    - (iv) Thermal control equipment for patients; and
    - (v) Thermal control equipment for blood and fluids;
- (19) An intensive care unit for trauma patients that has:
  - (a) A trauma surgeon who actively participates in the committee overseeing the ICU;
  - (b) A physician on duty in the intensive care unit 24-hours-per-day or immediately available from within the hospital (which may be a physician who is the sole physician on-call for the ED);
  - (c) Equipment for patients of all ages that includes:
    - (i) Airway control and ventilation equipment (laryngoscopes, endotracheal tubes, bag-mask resuscitators and pocket masks);
    - (ii) An oxygen source with concentration controls;
    - (iii) A cardiac emergency cart;
    - (iv) A temporary transvenous pacemaker;
    - (v) An electrocardiograph-oscilloscope-defibrillator;
    - (vi) Cardiac output monitoring capability;

- (vii) Electronic pressure monitoring capability;
- (viii) A mechanical ventilator;
- (ix) Patient weighing devices;
- (x) Pulmonary function measuring devices; and
- (xi) Temperature control devices; and
- (d) Within 30 minutes of request, the ability to perform blood gas measurements, hematocrit level, and chest x-ray studies;
- (20) Acute hemodialysis capability or utilization of a written transfer agreement;
- (21) Physician-directed burn center staffed by nursing personnel trained in burn care or a written transfer agreement with a burn center;
- (22) Acute spinal cord management capability or transfer agreement with a hospital capable of caring for a spinal cord injured patient;
- (23) Acute head injury management capability or transfer agreement with a hospital capable of caring for a head injury;
- (24) Radiological capabilities that include:
  - (a) Radiology technologist and computer tomography technologist available within 30 minutes of notification or documentation that procedures are available within 30 minutes;
  - (b) Computed Tomography;
  - (c) Sonography; and
  - (d) Resuscitation equipment that includes airway management and IV therapy;
- (25) Respiratory therapy services on-call 24 hours per day;
- (26) 24-hour-per-day clinical laboratory service that must include:
  - (a) Analysis of blood, urine, and other body fluids, including micro-sampling when appropriate;
  - (b) Blood-typing and cross-matching;
  - (c) Coagulation studies;
  - (d) Comprehensive blood bank or access to a community central blood bank with storage facilities;
  - (e) Blood gases and pH determination; and
  - (f) Microbiology;
- (27) In-house rehabilitation service or transfer agreement with a rehabilitation facility accredited by the Commission on Accreditation of Rehabilitation Facilities;
- (28) Physical therapy and social services;
- (29) A performance improvement program, as outlined in the North Carolina Chapter of the American College of Surgeons Committee on Trauma document "Performance Improvement Guidelines for North Carolina Trauma Centers," incorporated by reference in accordance with G.S. 150B-21.6, including subsequent amendments and editions. This document is available from the OEMS, 2707 Mail Service Center, Raleigh, North Carolina 27699-2707, at no cost. This performance improvement program must include:
  - (a) The state Trauma Registry whose data is submitted to the OEMS at least weekly and includes all the center's trauma patients as defined in Rule .0102(68) of this Subchapter who are either diverted to an affiliated hospital, admitted to the trauma center for greater than 24 hours from an ED or hospital, die in the ED, are DOA or are transferred from the ED to the OR, ICU, or another hospital (including transfer to any affiliated hospital);
  - (b) Morbidity and mortality reviews including all trauma deaths;
  - (c) Trauma performance committee that meets at least quarterly and includes physicians, orthopaedics and neurosurgery if participating in trauma service, nurses, pre-hospital personnel, and a variety of other healthcare providers, and reviews policies, procedures, and system issues and whose members or designee attends at least 50 percent of the regular meetings;
  - (d) Multidisciplinary peer review committee that meets at least quarterly and includes physicians from trauma, emergency medicine, and other specialty physicians as needed specific to the case, and the trauma nurse coordinator/program manager and whose members or designee attends at least 50 percent of the regular meetings;
  - (e) Identification of discretionary and non-discretionary audit filters;
  - (f) Documentation and review of times and reasons for trauma-related diversion of patients from the scene or referring hospital;
  - (g) Documentation and review of response times for trauma surgeons, airway managers, and orthopaedists. All must demonstrate 80 percent compliance;
  - (h) Monitoring of trauma team notification times;
  - (i) Documentation (unless in-house) and review of Emergency Department response times for anesthesiologists or airway managers and computerized tomography technologist;

- (j) Documentation of availability of the surgeon on-call for trauma, such that compliance is 90 percent or greater where there is no trauma surgeon back-up call schedule;
- (k) Trauma performance and multidisciplinary peer review committees may be incorporated together or included in other staff meetings as appropriate for the facility performance improvement rules;
- (l) Review of pre-hospital trauma care including dead-on-arrivals; and
- (m) Review of times and reasons for transfer of injured patients;
- (30) An outreach program that includes:
  - (a) Transfer agreements to address the transfer and receipt of trauma patients; and
  - (b) Participation in a RAC;
- (31) Coordination or participation in community prevention activities; and
- (32) A written continuing education program for staff physicians, nurses, allied health personnel, and community physicians that includes:
  - (a) 20 hours of Category I or II trauma-related continuing medical education (as approved by the Accreditation Council for Continuing Medical Education) every two years for all attending general surgeons on the trauma service, orthopaedists, and neurosurgeons if participating in trauma service, with at least 50 percent of this being external education including conferences and meetings outside of the trauma center or visiting lecturers or speakers from outside the trauma center. Continuing education based on the reading of content such as journals or other continuing medical education documents is not considered education outside of the trauma center;
  - (b) 20 hours of Category I or II trauma-related continuing medical education (as approved by the Accreditation Council for Continuing Medical Education) every two years for all emergency physicians, with at least 50 percent of this being external education including conferences and meetings outside of the trauma center or visiting lecturers or speakers from outside the trauma center. Continuing education based on the reading of content such as journals or other continuing medical education documents is not considered education outside of the trauma center;
  - (c) ATLS completion for general surgeons on the trauma service and emergency physicians. Emergency physicians, if not boarded in emergency medicine, must be current in ATLS;
  - (d) 20 contact hours of trauma-related continuing education (beyond in-house in-services) every two years for the TNC/TPM;
  - (e) 16 hours of trauma-registry-related or trauma-related continuing education every two years, as deemed appropriate by the TNC/TPM, for the trauma registrar;
  - (f) At least an 80 percent compliance rate for 16 hours of trauma-related continuing education (as approved by the trauma nurse coordinator/program manager) every two years related to trauma care for RN's and LPN's in transport programs, Emergency Departments, primary intensive care units, primary trauma floors, and other areas deemed appropriate by the trauma nurse coordinator/program manager; and
  - (g) 16 hours of trauma-related continuing education every two years for mid-level practitioners routinely caring for trauma patients.

*History Note: Authority G.S. 131E-162;  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. January 1, 2009; January 1, 2004.*

**10A NCAC 13P .0904 INITIAL DESIGNATION PROCESS**

- (a) For initial Trauma Center designation, the hospital shall request a consult visit by OEMS and have the consult within one year prior to submission of the RFP.
- (b) A hospital interested in pursuing Trauma Center designation shall submit a letter of intent 180 days prior to the submission of an RFP to the OEMS. The letter shall define the hospital's primary trauma catchment area. Simultaneously, Level I or II applicants shall also demonstrate the need for the Trauma Center designation by submitting one original and three copies of documents that include:
  - (1) The population to be served and the extent to which the population is underserved for trauma care with the methodology used to reach this conclusion;
  - (2) Geographic considerations to include trauma primary and secondary catchment area and distance from other Trauma Centers; and
  - (3) Evidence the Trauma Center will admit at least 1200 trauma patients yearly or show that its trauma service will be taking care of at least 240 trauma patients with an Injury Severity Score (ISS) greater than or equal to 15 yearly. This criteria shall be met without compromising the quality of care or cost effectiveness of any

other designated Level I or II Trauma Center sharing all or part of its catchment area or by jeopardizing the existing Trauma Center's ability to meet this same 240-patient minimum.

- (c) The hospital must be actively participating in the state Trauma Registry and submit data to the OEMS at least weekly and include all the Trauma Center's trauma patients as defined in Rule .0102(68) of this Subchapter who are either diverted to an affiliated hospital, admitted to the Trauma Center for greater than 24 hours from an ED or hospital, die in the ED, are DOA or are transferred from the ED to the OR, ICU, or another hospital (including transfer to any affiliated hospital) a minimum of 12 months prior to application.
- (d) OEMS shall review the regional Trauma Registry data, from both the applicant and the existing trauma center(s), and ascertain the applicant's ability to satisfy the justification of need information required in Subparagraphs (b)(1) through (3) of this Rule. Simultaneously, the applicant's primary RAC shall be notified by the OEMS of the application and be provided the regional data as required in Subparagraphs (b)(1) through (3) of this Rule submitted by the applicant for review and comment. The RAC shall be given a minimum of 30 days to submit any concerns in writing for OEMS' consideration. If no comments are received, OEMS shall proceed.
- (e) OEMS shall notify the hospital in writing of its decision to allow submission of an RFP. The RAC shall also be notified by the OEMS so that any necessary changes in protocols can be considered.
- (f) OEMS shall notify the respective Board of County Commissioners in the applicant's trauma primary catchment area of the request for initial designation to allow for comment.
- (g) Hospitals desiring to be considered for initial trauma center designation shall complete and submit one paper copy with signatures and an electronic copy of the RFP to the OEMS at least 90 days prior to the proposed site visit date.
- (h) For Level I, II, and III applicants, the RFP shall demonstrate that the hospital meets the standards for the designation level applied for as found in Rules .0901, .0902, or .0903 of this Section.
- (i) If OEMS does not recommend a site visit based upon failure to comply with Rules .0901, .0902, or .0903, the reasons shall be forwarded to the hospital in writing within 30 days of the decision. The hospital may reapply for designation within six months following the submission of an updated RFP. If the hospital fails to respond within six months, the hospital shall reapply following the process outlined in Paragraphs (a) through (h) of this Rule.
- (j) If the OEMS recommends the hospital for a site visit, the OEMS shall notify the hospital within 30 days and the site visit shall be conducted within six months of the recommendation. The site visit date shall be mutually agreeable to the hospital and the OEMS.
- (k) Any in-state reviewer for a Level I or II visit (except the OEMS representatives) shall be from outside the planning region in which the hospital is located. The composition of a Level I or II state site survey team shall be as follows:
- (1) One out-of-state Fellow of the ACS, experienced as a site surveyor, who shall be designated the primary reviewer;
  - (2) One emergency physician who works in a trauma center, is a member of the American College of Emergency Physicians, and is boarded in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine);
  - (3) One in-state trauma surgeon who is a member of the North Carolina Committee on Trauma;
  - (4) One out-of-state trauma nurse coordinator/program manager and one in-state trauma nurse coordinator/program manager; and
  - (5) OEMS Staff.
- (l) All site team members for a Level III visit shall be from in-state, and all (except for the OEMS representatives) shall be from outside the planning region in which the hospital is located. The composition of a Level III state site survey team shall be as follows:
- (1) One Fellow of the ACS, who is a member of the North Carolina Committee on Trauma and shall be designated the primary reviewer;
  - (2) One emergency physician who currently works in a designated trauma center, is a member of the North Carolina College of Emergency Physicians, and is boarded in emergency medicine (by the American Board of Emergency Medicine or the American Osteopathic Board of Emergency Medicine);
  - (3) A trauma nurse coordinator/program manager; and
  - (4) OEMS Staff.
- (m) On the day of the site visit the hospital shall make available all requested patient medical charts.
- (n) The lead researcher of the site review team shall give a verbal post-conference report representing a consensus of the site review team at the summary conference. A written consensus report shall be completed, to include a peer review report, by the primary reviewer and submitted to OEMS within 30 days of the site visit.
- (o) The report of the site survey team and the staff recommendations shall be reviewed by the State Emergency Medical Services Advisory Council at its next regularly scheduled meeting which is more than 45 days following the site visit. Based upon the site visit report and the staff recommendation, the State Emergency Medical Services Advisory Council shall recommend to the OEMS that the request for Trauma Center designation be approved or denied.

- (p) All criteria defined in Rule .0901, .0902, or .0903 of this Section shall be met for initial designation at the level requested. Initial designation shall not be granted if deficiencies exist.
- (q) Hospitals with a deficiency(ies) shall be given up to 12 months to demonstrate compliance. Satisfaction of deficiency(ies) may require an additional site visit. If compliance is not demonstrated within the time period, to be defined by OEMS, the hospital shall submit a new application and updated RFP and follow the process outlined in Paragraphs (a) through (h) of this Rule.
- (r) The final decision regarding Trauma Center designation shall be rendered by the OEMS.
- (s) The OEMS shall notify the hospital in writing, of the State Emergency Medical Services Advisory Council's and OEMS' final recommendation within 30 days of the Advisory Council meeting.
- (t) If a trauma center changes its trauma program administrative structure (such that the trauma service, trauma medical director, trauma nurse coordinator/program manager or trauma registrar are relocated on the hospital's organizational chart) at any time, it shall notify OEMS of this change in writing within 30 days of the occurrence.
- (u) Initial designation as a trauma center is valid for a period of three years.

*History Note: Authority G.S. 131E-162; 143-509(3);  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .0905 RENEWAL DESIGNATION PROCESS**

- (a) Hospitals may utilize one of two options to achieve Trauma Center renewal:
  - (1) Undergo a site visit conducted by OEMS to obtain a four-year renewal designation; or
  - (2) Undergo a verification visit arranged by the ACS, in conjunction with OEMS, to obtain a four-year renewal designation.
- (b) For hospitals choosing Subparagraph (a)(1) of this Rule:
  - (1) Prior to the end of the designation period, the OEMS shall forward to the hospital an RFP for completion. The hospital shall, within 10 days of receipt of the RFP, define for OEMS the Trauma Center's trauma primary catchment area. Upon this notification, OEMS shall notify the respective Board of County Commissioners in the applicant's trauma primary catchment area of the request for renewal to allow for comment.
  - (2) Hospitals shall complete and submit one paper copy and an electronic copy of the RFP to the OEMS and the specified site surveyors at least 30 days prior to the site visit. The RFP shall include information that supports compliance with the criteria contained in Rule .0901, .0902, or .0903 of this Section as it relates to the Trauma Center's level of designation.
  - (3) All criteria defined in Rule .0901, .0902, or .0903 of this Section, as relates to the Trauma Center's level of designation, shall be met for renewal designation.
  - (4) A site visit shall be conducted within 120 days prior to the end of the designation period. The site visit shall be scheduled on a date mutually agreeable to the hospital and the OEMS.
  - (5) The composition of a Level I or II site survey team shall be the same as that specified in Rule .0904(k) of this Section.
  - (6) The composition of a Level III site survey team shall be the same as that specified in Rule .0904(l) of this Section.
  - (7) On the day of the site visit the hospital shall make available all requested patient medical charts.
  - (8) The primary reviewer of the site review team shall give a verbal post-conference report representing a consensus of the site review team at the summary conference. A written consensus report shall be completed, to include a peer review report, by the primary reviewer and submitted to OEMS within 30 days of the site visit.
  - (9) The report of the site survey team and a staff recommendation shall be reviewed by the State Emergency Medical Services Advisory Council at its next regularly scheduled meeting which is more than 30 days following the site visit. Based upon the site visit report and the staff recommendation, the State Emergency Medical Services Advisory Council shall recommend to the OEMS that the request for Trauma Center renewal be approved; approved with a contingency(ies) due to a deficiency(ies) requiring a focused review; approved with a contingency(ies) not due to a deficiency(ies) requiring a consultative visit; or denied.
  - (10) Hospitals with a deficiency(ies) have up to 10 working days prior to the State EMS Advisory Council meeting to provide documentation to demonstrate compliance. If the hospital has a deficiency that cannot be corrected in this period prior to the State EMS Advisory Council meeting, the hospital, instead of a four-year renewal, shall be given 12 months by the OEMS to demonstrate compliance and undergo a focused review, that may require an additional site visit. The hospital shall retain its Trauma Center designation

during the focused review period. If compliance is demonstrated within the prescribed time period, the hospital shall be granted its designation for the four-year period from the previous designation's expiration date. If compliance is not demonstrated within the time period, as specified by OEMS, the Trauma Center designation shall not be renewed. To become redesignated, the hospital shall submit an updated RFP and follow the initial applicant process outlined in Rule .0904 of this Section.

- (11) The final decision regarding trauma center renewal shall be rendered by the OEMS.
  - (12) The OEMS shall notify the hospital of the State Emergency Medical Services Advisory Council's and OEMS' final recommendation within 30 days of the Advisory Council meeting.
  - (13) The four-year renewal date that may be eventually granted shall not be extended due to the focused review period.
- (c) For hospitals choosing Subparagraph (a)(2) of this Rule:
- (1) At least six months prior to the end of the Trauma Center's designation period, the trauma center must notify the OEMS of its intent to undergo an ACS verification visit. It must simultaneously define in writing to the OEMS its trauma primary catchment area. Trauma Centers choosing this option must then comply with all the ACS' verification procedures, as well as any additional state criteria as outlined in Rule .0901, .0902, or .0903, as apply to their level of designation.
  - (2) When completing the ACS' documentation for verification, the Trauma Center must ensure access to the ACS on-line PRQ (pre-review questionnaire) to OEMS. The Trauma Center must simultaneously complete documents supplied by OEMS to verify compliance with additional North Carolina criteria (i.e., criteria that exceed the ACS criteria) and forward these to OEMS and the ACS.
  - (3) The OEMS shall notify the Board of County Commissioners within the trauma center's trauma primary catchment area of the Trauma Center's request for renewal to allow for comments.
  - (4) The Trauma Center must make sure the site visit is scheduled to ensure that the ACS' final written report, accompanying medical record reviews and cover letter are received by OEMS at least 30 days prior to a regularly scheduled State Emergency Medical Services Advisory Council meeting to ensure that the Trauma Center's state designation period does not terminate without consideration by the State Emergency Medical Services Advisory Council.
  - (5) The composition of the Level I or Level II site team must be as specified in Rule .0904(k) of this Section, except that both the required trauma surgeons and the emergency physician may be from out-of-state. Neither North Carolina Committee on Trauma nor North Carolina College of Emergency Physician membership is required of the surgeons or emergency physician, respectively, if from out-of-state. The date, time, and all proposed site team members of the site visit team must be submitted to the OEMS for review at least 45 days prior to the site visit. The OEMS shall approve the site visit schedule if the schedule does not conflict with the ability of attendance by required OEMS staff. The OEMS shall approve the proposed site team members if the OEMS determines there is no conflict of interest, such as previous employment, by any site team member associated with the site visit.
  - (6) The composition of the Level III site team must be as specified in Rule .0904(l) of this Section, except that the trauma surgeon, emergency physician, and trauma nurse coordinator/program manager may be from out-of-state. Neither North Carolina Committee on Trauma nor North Carolina College of Emergency Physician membership is required of the surgeon or emergency physician, respectively, if from out-of-state. The date, time, and all proposed site team members of the site visit team must be submitted to the OEMS for review at least 45 days prior to the site visit. The OEMS shall approve the site visit schedule if the schedule does not conflict with the ability of attendance by required OEMS staff. The OEMS shall approve the proposed site team members if the OEMS determines there is no conflict of interest, such as previous employment, by any site team member associated with the site visit.
  - (7) All state Trauma Center criteria must be met as defined in Rules .0901, .0902, and .0903 of this Section, for renewal of state designation. An ACS' verification is not required for state designation. An ACS' verification does not ensure a state designation.
  - (8) ACS reviewers shall complete the state designation preliminary reporting form immediately prior to the post conference meeting. This document and the ACS final written report and supporting documentation described in Subparagraph (c)(4) of this Rule shall be used to generate a staff summary of findings report following the post conference meeting for presentation to the NC EMS Advisory Council for redesignation.
  - (9) The final written report issued by the ACS' verification review committee, the accompanying medical record reviews (from which all identifiers may be removed), and cover letter must be forwarded to OEMS within 10 working days of its receipt by the Trauma Center seeking renewal.
  - (10) The OEMS shall present its summary of findings report to the State Emergency Medical Services Advisory Council at its next regularly scheduled meeting. The State EMS Advisory Council shall recommend to the Chief of the OEMS that the request for Trauma Center renewal be approved; approved with a



contingency(ies) due to a deficiency(ies) requiring a focused review; approved with a contingency(ies) not due to a deficiency(ies); or denied.

- (11) The OEMS shall notify the hospital in writing of the State Emergency Medical Services Advisory Council's and OEMS' final recommendation within 30 days of the Advisory Council meeting.
- (12) Hospitals with contingencies, as the result of a deficiency(ies), as determined by OEMS, have up to 10 working days prior to the State EMS Advisory Council meeting to provide documentation to demonstrate compliance. If the hospital has a deficiency that cannot be corrected in this time period prior to the State EMS Advisory Council meeting, the hospital, instead of a four-year renewal, may undergo a focused review (to be conducted by the OEMS) whereby the Trauma Center is given 12 months by the OEMS to demonstrate compliance. Satisfaction of contingency(ies) may require an additional site visit. The hospital shall retain its Trauma Center designation during the focused review period. If compliance is demonstrated within the prescribed time period, the hospital shall be granted its designation for the four-year period from the previous designation's expiration date. If compliance is not demonstrated within the time period, as specified by OEMS, the Trauma Center designation shall not be renewed. To become redesignated, the hospital shall submit a new RFP and follow the initial applicant process outlined in Rule .0904 of this Section.

(d) If a Trauma Center currently using the ACS' verification process chooses not to renew using this process, it must notify the OEMS at least six months prior to the end of its state trauma center designation period of its intention to exercise the option in Subparagraph (a)(1) of this Rule.

*History Note:* Authority G.S. 131E-162; 143-509(3);  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. April 1, 2009; January 1, 2009; January 1, 2004.

**SECTION .1000 – TRAUMA CENTER DESIGNATION ENFORCEMENT**

**10A NCAC 13P .1001 DENIAL, FOCUSED REVIEW, VOLUNTARY WITHDRAWAL, OR REVOCATION OF TRAUMA CENTER DESIGNATION**

**10A NCAC 13P .1002 PROCEDURES FOR APPEAL OF DENIAL, FOCUSED REVIEW, OR REVOCATION**

*History Note:* Authority G.S. 131E-162;  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Repealed Eff. January 1, 2009.

**10A NCAC 13P .1003 MISREPRESENTATION OF DESIGNATION**

(a) Hospitals shall not represent themselves as trauma centers unless they are currently designated by the Department pursuant to Section .0900 of this Subchapter.

(b) Designation applies only to the hospital that submitted the RFP and underwent the formal site survey and does not extend to its satellite facilities or affiliates.

*History Note:* Authority G.S. 131E-162;  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003.

**SECTION .1100 – TRAUMA SYSTEM DESIGN**

**10A NCAC 13P .1101 STATE TRAUMA SYSTEM**

(a) The state trauma system consists of regional plans, policies, guidelines and performance improvement initiatives by the RACs to create an Inclusive Trauma System monitored by the OEMS.

(b) Each hospital and EMS System shall affiliate as defined in Rule .0102(4) of this Subchapter and participate with the RAC that includes the Level I or II Trauma Center in which the majority of trauma patient referrals and transports occur. Each hospital and EMS System shall submit to the OEMS patient transfer patterns from data sources that support the choice of their primary RAC affiliation. Each RAC shall include at least one Level I or II Trauma Center.

(c) The OEMS shall notify each RAC of its hospital and EMS System membership.

(d) Each hospital and each EMS System must update and submit its RAC affiliation information to the OEMS no later than July 1 of each year. RAC affiliation may only be changed during this annual update and only if supported by a change in transfer patterns. Documentation detailing these new transfer patterns must be included in the request to change affiliation.

*History Note: Authority G.S. 131E-162;  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .1102 REGIONAL TRAUMA SYSTEM PLAN**

- (a) A Level I or II Trauma Center shall facilitate development of and provide RAC staff support that includes the following:
  - (1) The trauma medical director(s) from the lead RAC agency;
  - (2) Trauma nurse coordinator(s) or program manager(s) from the lead RAC agency; and
  - (3) An individual to coordinate RAC activities.
- (b) The RAC membership shall include the following:
  - (1) The trauma medical director(s) and the trauma nurse coordinator(s) or program manager(s) from the lead RAC agency;
  - (2) If on staff, an outreach coordinator(s), injury prevention coordinator(s) or designee(s), as well as a RAC registrar or designee(s) from the lead RAC agency;
  - (3) A senior level hospital administrator;
  - (4) An emergency physician;
  - (5) A representative from each EMS system participating in the RAC;
  - (6) A representative from each hospital participating in the RAC;
  - (7) Community representatives; and
  - (8) An EMS System physician involved in medical oversight.
- (c) The RAC shall develop and submit a plan within one year of notification of the RAC membership, or for existing RACs within six months of the implementation date of this rule, to the OEMS containing:
  - (1) Organizational structure to include the roles of the members of the system;
  - (2) Goals and objectives to include the orientation of the providers to the regional system;
  - (3) RAC membership list, rules of order, terms of office, meeting schedule (held at a minimum of two times per year);
  - (4) Copies of documents and information required by the OEMS as defined in Rule .1103 of this Section;
  - (5) System evaluation tools to be utilized;
  - (6) Written documentation of regional support for the plan; and
  - (7) Performance improvement activities to include utilization of patient care data.
- (d) The RAC shall submit to the OEMS an annual progress report no later than July 1 of each year that assesses compliance with the regional trauma system plan and specifies any updates to the plan.
- (e) Upon OEMS' receipt of a letter of intent for initial Level I or II Trauma Center designation pursuant to Rule .0904(b) of this Subchapter, the applicant's RAC shall be provided the applicant's data from OEMS to review and comment.
- (f) The RAC has 30 days to comment on the request for initial designation.
- (g) The OEMS shall notify the RAC of the OEMS approval to submit an RFP so that necessary changes in protocols can be considered.

*History Note: Authority G.S. 131E-162;  
Temporary Adoption Eff. January 1, 2002;  
Eff. April 1, 2003;  
Amended Eff. January 1, 2009.*

**10A NCAC 13P .1103 REGIONAL TRAUMA SYSTEM POLICY DEVELOPMENT**

The RAC shall oversee the development, implementation, and evaluation of the regional trauma system that includes:

- (1) A public information and education program to include system access and injury prevention;
- (2) Written trauma system guidelines addressing the following:
  - (a) Regional communications;
  - (b) Triage;
  - (c) Treatment at the accident scene, and in the pre-hospital, inter-hospital, and Emergency Department to include guidelines to facilitate the rapid assessment and initial resuscitation of the severely injured patient. Criteria addressing management during transport shall include continued

- assessment and management of airway, cervical spine, breathing, circulation, neurologic and secondary parameters, communication, and documentation;
- (d) Transport to determine the appropriate mode of transport and level of care required to transport, considering patient condition, requirement for trauma center resources, family requests, and capability of transferring entity;
- (e) Bypass procedures that define:
  - (i) circumstances and criteria for bypass decisions;
  - (ii) time and distance criteria; and
  - (iii) mode of transport which bypasses closer facilities; and
- (f) Accident scene and inter-hospital diversion procedures that include delineation of specific factors such as hospital census or acuity, physician availability, staffing issues, disaster status, or transportation which would require routing of a patient to another hospital or Trauma Center;
- (3) Transfer agreements (including those with other hospitals, as well as specialty care facilities such as burn, pediatrics, spinal cord, and rehabilitation) which shall outline mutual understandings between facilities to transfer/accept certain patients. These shall specify responsible parties, documentation requirements, and minimum care requirements; and
- (4) A performance improvement plan that includes:
  - (a) A regional trauma peer review committee of the RAC:
    - (i) whose membership and responsibilities are defined in G.S. 131E-162; and
    - (ii) that continuously evaluates the regional trauma system through structured review of process of care and outcomes; and
  - (b) Utilization of patient care data.

*History Note:* Authority G.S. 131E-162;  
 Temporary Adoption Eff. January 1, 2002;  
 Eff. April 1, 2003;  
 Amended Eff. January 1, 2009; January 1, 2004.

**SECTION .1200 - TRAUMA SYSTEM DESIGN**

- 10A NCAC 13P .1201 STATE TRAUMA SYSTEM PLAN**
- 10A NCAC 13P .1202 REGIONAL TRAUMA SYSTEM PLAN**
- 10A NCAC 13P .1203 REGIONAL TRAUMA SYSTEM POLICY DEVELOPMENT**

*History Note:* Authority G.S. 131E-162;  
 Eff. August 1, 1998;  
 Repealed Eff. January 1, 2004.

**SECTION .1300 - FORMS**

- 10A NCAC 13P .1301 SOURCE OF FORMS AND DOCUMENTS**

*History Note:* Authority G.S. 131E-162;  
 Eff. August 1, 1998;  
 Repealed Eff. January 1, 2004.

**SECTION 1400 – RECOVERY AND REHABILITATION OF CHEMICALLY DEPENDENT EMS PERSONNEL**

- 10A NCAC 13P .1401 CHEMICAL ADDICTION OR ABUSE TREATMENT PROGRAM REQUIREMENTS**

- (a) The OEMS shall provide a treatment program for aiding in the recovery and rehabilitation of EMS personnel subject to disciplinary action for being unable to perform as credentialed EMS personnel with reasonable skill and safety to patients and the public by reason of use of alcohol, drugs, chemicals, or any other type of material and who are recommended by the EMS Disciplinary Committee pursuant to G.S. 143-519.
- (b) This program requires:
  - (1) an initial assessment by a healthcare professional specialized in chemical dependency affiliated with the treatment program;
  - (2) a treatment plan developed for the individual using the findings of the initial assessment;
  - (3) random body fluid screenings;

- (4) the individual attend three self-help recovery meetings each week for the first year of participation, and two each week for the remainder of participation in the treatment program;
- (5) monitoring of the individual for compliance with the treatment program; and
- (6) written progress reports available for review by the EMS Disciplinary Committee:
  - (A) upon completion of the initial assessment by the treatment program;
  - (B) upon request by the EMS Disciplinary Committee throughout the individual's participation in the treatment program;
  - (C) upon completion of the treatment program;
  - (D) of all body fluid screenings showing chain of custody;
  - (E) by the therapist or counselor assigned to the individual during the course of the treatment program; and
  - (F) listing attendance at self-help recovery meetings.

*History Note:* Authority G.S. 131E-159(f); 143-508(d)(10); 143-509(13); 143-519; Eff. October 1, 2010.

**10A NCAC 13P .1402 PROVISIONS FOR PARTICIPATION IN THE CHEMICAL ADDICTION OR ABUSE TREATMENT PROGRAM**

Individuals recommended by the EMS Disciplinary Committee to enter the Treatment Program defined in Rule .1401 of this Section may participate if:

- (1) the individual acknowledges, in writing, the actions which violated the performance requirements found in this Subchapter;
- (2) the individual has not been charged or convicted of diverting chemicals for the purpose of sale or distribution or dealing or selling illicit drugs;
- (3) the individual is not under investigation or subject to pending criminal charges by law enforcement;
- (4) the individual ceases in the direct delivery of any patient care and surrenders all EMS credentials until either the individual is eligible for issuance of an encumbered EMS credential pursuant to Rule .1403 of this Section, or has successfully completed the treatment program established in Rule .1401 of this Section; and
- (5) the individual agrees to accept responsibility for all costs including assessment, treatment, monitoring, and body fluid screening.

*History Note:* Authority G.S. 131E-159(f); 143-508(d)(10); 143-509(13); 143-519; Eff. October 1, 2010.

**10A NCAC 13P .1403 CONDITIONS FOR RESTRICTED PRACTICE WITH LIMITED PRIVILEGES**

(a) Individuals who have surrendered their EMS credential as a condition of entry into the treatment program may be reviewed by the EMS Disciplinary Committee to determine if a recommendation to the OEMS for issuance of an encumbered EMS credential is warranted.

(b) In order to obtain an encumbered credential with limited privileges, an individual must:

- (1) be compliant for a minimum of 90 consecutive days with the treatment program described in Paragraph (b) of Rule .1402 of this Section;
- (2) be recommended in writing for review by the individual's treatment counselor;
- (3) be interviewed by the EMS Disciplinary Committee; and
- (4) be recommended in writing by the EMS Disciplinary Committee for issuance of an encumbered EMS credential. The EMS Disciplinary Committee shall detail in their recommendation to the OEMS all restrictions and limitations to the individual's practice privileges.

(c) The individual must agree to sign a consent agreement with the OEMS which details the practice restrictions and privilege limitations of the encumbered EMS credential, and which contains the consequences of failure to abide by the terms of this agreement.

(d) The individual shall be issued the encumbered credential within 10 business days following execution of the consent agreement described in Paragraph (c).

*History Note:* Authority G.S. 131E-159(f); 143-508(d)(10); 143-509(13); 143-519; Eff. October 1, 2010.

**10A NCAC 13P .1404 REINSTATEMENT OF AN UNENCUMBERED EMS CREDENTIAL**

Reinstatement of an unencumbered EMS credential is dependant upon the individual successfully completing all requirements of the treatment program as defined in this Section.

*History Note:* Authority G.S. 131E-159(f); 143-508(d)(10); 143-509(13);  
Eff. October 1, 2010.

**10A NCAC 13P .1405 FAILURE TO COMPLETE THE CHEMICAL ADDICTION OR ABUSE TREATMENT PROGRAM**

Individuals who fail to complete the treatment program, upon review and recommendation by the North Carolina EMS Disciplinary Committee to the OEMS, are subject to revocation of their EMS credential.

*History Note:* Authority G.S. 131E-159(f); 143-508(d)(10); 143-519;  
Eff. October 1, 2010.

**SECTION .1500 - DENIAL, SUSPENSION, AMENDMENT, OR REVOCATION**

**10A NCAC 13P .1501 ENFORCEMENT DEFINITIONS**

Notwithstanding Section .0100 of this Subchapter, for the purpose of this Section, the following definitions apply to Rules .1502, .1503, .1504, and .1506 for EMS Systems, Licensed EMS Providers, Specialty Care Transport Programs, and EMS Educational Institutions:

- (1) "Contingencies" mean conditions placed on an initial or renewal designation, approval or license that, if unmet, can result in the loss or amendment of the designation, approval, or license.
- (2) "Deficiency" means the failure to meet essential criteria for credentialing, approval, or licensing as specified in Sections .0200, .0300 or .0600 of this Subchapter that can serve as the basis for a focused review or denial of a designation, approval or license.
- (3) "Essential Criteria" means those items listed in Sections .0200, .0300 or .0600 of this Subchapter that are the minimum requirements for the respective application for initial or renewal designation, approval, or licensing.
- (4) "Focused Review" means an evaluation by the OEMS of a regulated entity's corrective actions to remove contingencies that are a result of deficiencies placed upon it following review of an application for renewal.

*History Note:* Authority G.S. 131E-155(13a); 143-508(b),(d)(1),(d)(4),(d)(13);  
Eff. January 1, 2013.

**10A NCAC 13P .1502 LICENSED EMS PROVIDERS**

(a) The Department shall amend any EMS Provider license by reducing it from a full license to a provisional license whenever the Department finds that:

- (1) the licensee failed to comply with the provisions of G.S. 131E, Article 7, and the rules adopted under that article;
- (2) there is a reasonable probability that the licensee can remedy the licensure deficiencies within a reasonable length of time; and
- (3) there is a reasonable probability that the licensee will be able thereafter to remain in compliance with the licensure rules for the foreseeable future.

(b) The Department shall give the licensee written notice of the amendment of the EMS Provider license. This notice shall be given personally or by certified mail and shall set forth:

- (1) the length of the provisional EMS Provider license;
- (2) the factual allegations;
- (3) the statutes or rules alleged to be violated; and
- (4) notice of the EMS provider's right to a contested case hearing on the amendment of the EMS Provider license.

(c) The provisional EMS Provider license is effective immediately upon its receipt by the licensee and shall be posted in a location at the primary business location of the EMS Provider, accessible to public view, in lieu of the full license. The provisional license remains in effect until the Department:

- (1) restores the licensee to full licensure status; or
- (2) revokes the licensee's license.

(d) The Department shall revoke or suspend an EMS Provider license whenever the Department finds that the licensee:

- (1) failed to comply with the provisions of G.S. 131E, Article 7, and the rules adopted under that article and it is not reasonably probable that the licensee can remedy the licensure deficiencies within 12 months or less;

- (2) failed to comply with the provisions of G.S. 131E, Article 7, and the rules adopted under that Article and, although the licensee may be able to remedy the deficiencies, it is not reasonably probable that the licensee will be able to remain in compliance with licensure rules for the foreseeable future;
- (3) failed to comply with the provision of G.S. 131E, Article 7, and the rules adopted under that article that endanger the health, safety or welfare of the patients cared for or transported by the licensee;
- (4) obtained or attempted to obtain an ambulance permit, EMS nontransporting vehicle permit, or EMS Provider license through fraud or misrepresentation;
- (5) repeated deficiencies placed on the EMS Provider License in previous compliance site visits;
- (6) failed to provide emergency medical care within the defined EMS service area in a timely manner as determined by the EMS System;
- (7) altered, destroyed, attempted to destroy, withheld or delayed release of evidence, records, or documents needed for a complaint investigation; or
- (8) continues to operate within an EMS System after a Board of County Commissioners has terminated its affiliation with the licensee.

(e) The issuance of a provisional EMS Provider license is not a procedural prerequisite to the revocation or suspension of a license pursuant to Paragraph (d) of this Rule.

*History Note: Authority G.S. 131E-155.1(d); 143-508(d)(10); Eff. January 1, 2013.*

**10A NCAC 13P .1503 SPECIALTY CARE TRANSPORT PROGRAMS**

(a) The Department shall deny the initial or renewal approval, without first allowing a focused review, of a SCTP for any of the following reasons:

- (1) failure to comply with the provisions of G.S.131E, Article 7 and the rules adopted under that Article;
- (2) obtaining or attempting to obtain approval through fraud or misrepresentation;
- (3) endangerment to the health, safety, or welfare of patients cared for by the SCTP; or
- (4) repeated deficiencies placed on the program in previous site visits.

(b) When an SCTP is required to have a focused review, it must demonstrate compliance with the provisions of G.S. 131E, Article 7 and the rules adopted under that Article within 12 months or less.

(c) The Department shall revoke an SCTP approval at any time or deny a request for renewal of approval whenever the Department finds that the SCTP failed to comply with the provisions of G.S.131E, Article 7 and the rules adopted under that Article; and

- (1) it is not probable that the SCTP can remedy the deficiencies within 12 months or less;
- (2) although the SCTP may be able to remedy the deficiencies, it is not probable that the SCTP shall be able to remain in compliance with designation rules for the foreseeable future;
- (3) the SCTP fails to meet the requirements of a focused review;
- (4) endangerment to the health, safety, or welfare of patients cared for or transported by the SCTP;
- (5) fails to provide SCTP services within the defined service area in a timely manner as determined by the Department;
- (6) continues to operate within an EMS System after a Board of County Commissioners has terminated its affiliation with the SCTP; or
- (7) alters, destroys or attempts to destroy evidence needed for a complaint investigation.

(d) The Department shall give the SCTP written notice of revocation. This notice shall be given personally or by certified mail and shall set forth:

- (1) the factual allegations;
- (2) the statutes or rules alleged to be violated; and
- (3) notice of the program's right to a contested case hearing on the revocation of the approval.

(e) Focused review is not a procedural prerequisite to the revocation of an approval pursuant to Paragraph (c) of this Rule.

*History Note: Authority 143-508(d)(10), (d)(13); Eff. January 1, 2013.*

**10A NCAC 13P .1504 TRAUMA CENTERS**

(a) The Department shall deny the initial or renewal designation, without first allowing a focused review, of a trauma center for any of the following reasons:

- (1) failure to comply with G.S. 131E-162 and the rules adopted under that Statute;
- (2) obtaining or attempting to obtain a trauma center designation through fraud or misrepresentation;
- (3) endangerment to the health, safety, or welfare of patients cared for in the hospital; or

- (4) repeated deficiencies placed on the trauma center in previous site visits.
- (b) When a trauma center is required to have a focused review, it must demonstrate compliance with the provisions of G.S. 131E-162 and the rules adopted under that Statute within 12 months or less.
- (c) The Department shall revoke a trauma center designation at any time or deny a request for renewal of designation, whenever the Department finds that the trauma center has failed to comply with the provisions of G.S. 131E-162 and the rules adopted under that Statute; and
  - (1) it is not probable that the trauma center can remedy the deficiencies within 12 months or less;
  - (2) although the trauma center may be able to remedy the deficiencies it is not probable that the trauma center shall be able to remain in compliance with designation rules for the foreseeable future;
  - (3) the trauma center failed to meet the requirements of a focused review;
  - (4) failure to comply endangers the health, safety, or welfare of patients cared for in the trauma center; or
  - (5) the trauma center altered, destroyed or attempted to destroy evidence needed for a complaint investigation.
- (d) The Department shall give the trauma center written notice of revocation. This notice shall be given personally or by certified mail and shall set forth:
  - (1) the factual allegations;
  - (2) the statutes or rules alleged to be violated; and
  - (3) notice of the hospital's right to a contested case hearing on the revocation of the designation.
- (e) Focused review is not a procedural prerequisite to the revocation of a designation pursuant to Paragraph (c) of this Rule.
- (f) A trauma center may voluntarily withdraw its designation for a maximum of one year by submitting a written request to the Department. This request shall include the reasons for withdrawal and a plan for resolution of the issues. To reactivate the designation, the facility shall provide to the Department written documentation of compliance. Voluntary withdrawal does not affect the original expiration date of the trauma center's designation.
- (g) If the trauma center fails to resolve the issues which resulted in a voluntary withdrawal within one year, the Department shall revoke the trauma center designation.
- (h) In the event of a revocation or voluntary withdrawal, the Department shall provide written notification to all hospitals and emergency medical services providers within the trauma center's defined trauma primary catchment area. The Department shall provide written notification to all hospitals and emergency medical services providers within the trauma center's defined trauma primary catchment area if, and when, the voluntary withdrawal reactivates to full designation.

*History Note: Authority G.S. 131E-162; 143-508(d)(10); Eff. January 1, 2013.*

**10A NCAC 13P .1505 EMS EDUCATIONAL INSTITUTIONS**

- (a) The Department shall deny the initial or renewal credential, without first allowing a focused review, of an EMS Educational Institution for any of the following reasons:
  - (1) failure to comply with the provisions of Section .0600 of this Subchapter;
  - (2) attempting to obtain an EMS Educational Institution designation through fraud or misrepresentation;
  - (3) endangerment to the health, safety, or welfare of patients cared by students of the EMS Educational Institution; or
  - (4) repetition of deficiencies placed on the EMS Educational Institution in previous compliance site visits.
- (b) When an EMS Educational Institution is required to have a focused review, it must demonstrate compliance with the provisions of Section .0600 of this Subchapter within 12 months or less.
- (c) The Department will revoke an EMS Educational Institution credential at any time or deny a request for renewal of credential, whenever the Department finds that the EMS Educational Institution has failed to comply with the provisions of Section .0600 of this Subchapter; and:
  - (1) it is not probable that the EMS Educational Institution can remedy the deficiencies within 12 months or less;
  - (2) although the EMS Educational Institution may be able to remedy the deficiencies, it is not probable that the EMS Educational Institution shall be able to remain in compliance with credentialing rules for the foreseeable future;
  - (3) the EMS Educational Institution failed to meet the requirements of a focused review;
  - (4) the failure to comply endangered the health, safety, or welfare of patients cared for as part of an EMS educational program; or
  - (5) the EMS Educational Institution altered, destroyed or attempted to destroy evidence needed for a complaint investigation.
- (d) The Department shall give the EMS Educational Institution written notice of revocation. This notice shall be given personally or by certified mail and shall set forth:
  - (1) the factual allegations;
  - (2) the statutes or rules alleged to be violated; and

- (3) notice of the EMS Educational Institution's right to a contested case hearing on the revocation of the credential.
- (e) Focused review is not a procedural prerequisite to the revocation of a credential pursuant to Paragraph (c) of this Rule.
- (f) An EMS Educational Institution may voluntarily withdraw its credential for a maximum of one year by submitting a written request. This request shall include the reasons for withdrawal and a plan for resolution of the deficiencies. To reactivate the credential, the institution shall provide to the Department written documentation of compliance. Voluntary withdrawal does not affect the original expiration date of the EMS Educational Institution's credential.
- (g) If the institution fails to resolve the issues which resulted in a voluntary withdrawal within one year, the Department shall revoke the EMS Educational Institution credential.
- (h) In the event of a revocation or voluntary withdrawal, the Department shall provide written notification to all EMS Systems within the EMS Educational Institution's defined service area. The Department shall provide written notification to all EMS Systems within the EMS Educational Institution's defined service area if, and when, the voluntary withdrawal reactivates to full credential.

*History Note: Authority G.S. 143-508(d)(4),(d)(10);  
Eff. January 1, 2013.*

**10A NCAC 13P .1506 EMS VEHICLE PERMITS**

- (a) The Department shall deny, suspend, or revoke the permit of an ambulance or EMS nontransporting vehicle if the EMS Provider:
  - (1) failed to comply with the provisions of G.S. 131E, Article 7, and the rules adopted under that Article;
  - (2) obtained or attempted to obtain a permit through fraud or misrepresentation;
  - (3) has continued deficiencies identified as repeated from previous compliance site visits;
  - (4) failed to provide emergency medical care within the defined EMS service area in a timely manner as determined by the EMS System;
  - (5) continued to operate the ambulance or nontransporting vehicle in a county after written notification by a Board of Commissioners to cease operations in that county;
  - (6) altered, destroyed or attempted to destroy evidence needed for a complaint investigation; or
  - (7) does not possess a valid EMS Provider License.
- (b) In lieu of suspension or revocation, the Department shall issue a temporary permit for an ambulance or EMS nontransporting vehicle whenever the Department finds that:
  - (1) the EMS Provider to which that vehicle is assigned has failed to comply with the provisions of G.S. 131E, Article 7, and the rules adopted under that Article;
  - (2) there is a reasonable probability that the EMS Provider can remedy the permit deficiencies within a length of time determined by the Department; and
  - (3) there is a reasonable probability that the EMS Provider will be willing and able to remain in compliance with the rules regarding vehicle permits for the foreseeable future.
- (c) The Department shall give the EMS Provider written notice of the temporary permit. This notice shall be given personally or by certified mail and shall set forth:
  - (1) the duration of the temporary permit not to exceed 60 days;
  - (2) a copy of the vehicle inspection form;
  - (3) the statutes or rules alleged to be violated; and
  - (4) notice of the EMS Provider's right to a contested case hearing on the temporary permit.
- (d) The temporary permit is effective immediately upon its receipt by the EMS Provider and remains in effect until the earlier of the expiration date of the permit or until the Department:
  - (1) restores the vehicle to full permitted status; or
  - (2) suspends or revokes the vehicle permit.

*History Note: Authority G.S. 131E-156(c),(d); 131E-157(c);  
Eff. January 1, 2013.*

**10A NCAC 13P .1507 EMS PERSONNEL CREDENTIALS**

- (a) An EMS credential which has been forfeited under G.S.15A-1331A may not be reinstated until the person has successfully complied with the court's requirements, has petitioned the Department for reinstatement, has appeared before the EMS Disciplinary Committee, and has had reinstatement approved.
- (b) The Department shall amend, deny, suspend, or revoke the credentials of EMS personnel for any of the following reasons:
  - (1) failure to comply with the applicable performance and credentialing requirements as found in this Subchapter;



- (2) making false statements or representations to the Department or willfully concealing information in connection with an application for credentials;
- (3) making false statements or representations, willfully concealing information, or failing to respond within a reasonable period of time and in a reasonable manner to inquiries from the Department during a complaint investigation;
- (4) tampering with or falsifying any record used in the process of obtaining an initial EMS credential or in the renewal of an EMS credential;
- (5) in any manner or using any medium, engaging in the stealing, manipulating, copying, reproducing or reconstructing of any written EMS credentialing examination questions or scenarios;
- (6) cheating or assisting others to cheat while preparing to take or when taking a written EMS credentialing examination;
- (7) altering an EMS credential, using an EMS credential that has been altered or permitting or allowing another person to use his or her EMS credential for the purpose of alteration. Altering includes changing the name, expiration date or any other information appearing on the EMS credential;
- (8) unprofessional conduct, including a failure to comply with the rules relating to the proper function of credentialed EMS personnel contained in this Subchapter or the performance of or attempt to perform a procedure that is detrimental to the health and safety of any person or that is beyond the scope of practice of credentialed EMS personnel or EMS instructors;
- (9) being unable to perform as credentialed EMS personnel with reasonable skill and safety to patients and the public by reason of illness; use of alcohol, drugs, chemicals, or any other type of material; or any physical or mental abnormality;
- (10) conviction in any court of a crime involving moral turpitude, a conviction of a felony, or conviction of a crime involving the scope of practice of credentialed EMS personnel;
- (11) by false representations obtaining or attempting to obtain money or anything of value from a patient;
- (12) adjudication of mental incompetence;
- (13) lack of competence to practice with a reasonable degree of skill and safety for patients including a failure to perform a prescribed procedure, failure to perform a prescribed procedure competently or performance of a procedure that is not within the scope of practice of credentialed EMS personnel or EMS instructors;
- (14) performing as an EMT-I, EMT-P, or EMD in any EMS System in which the individual is not affiliated and authorized to function;
- (15) testing positive for any substance, legal or illegal, that has impaired the physical or psychological ability of the credentialed EMS personnel to perform all required or expected functions while on duty;
- (16) failure to comply with G.S. 143-518 regarding the use or disclosure of records or data associated with EMS Systems, Specialty Care Transport Programs, or patients;
- (17) refusing to consent to any criminal history check required by G.S. 131E-159;
- (18) abandoning or neglecting a patient who is in need of care, without making reasonable arrangements for the continuation of such care;
- (19) falsifying a patient's record or any controlled substance records;
- (20) harassing, abusing, or intimidating a patient either physically or verbally;
- (21) engaging in any activities of a sexual nature with a patient including kissing, fondling or touching while responsible for the care of that individual;
- (22) any criminal arrests that involve charges which have been determined by the Department to indicate a necessity to seek action in order to further protect the public pending adjudication by a court;
- (23) altering, destroying or attempting to destroy evidence needed for a complaint investigation;
- (24) as a condition to the issuance of an encumbered EMS credential with limited and restricted practices for persons in the chemical addiction or abuse treatment program; or
- (25) representing or allowing others to represent that the credentialed EMS personnel has a credential that the credentialed EMS personnel does not in fact have.

(c) Pursuant to the provisions of S.L. 2011-37, any person listed on the North Carolina Department of Justice Sex Offender and Public Protection Registry shall be denied initial or renewal EMS credentials.

(d) When a person who is credentialed to practice as an EMS professional is also credentialed in another jurisdiction and that other jurisdiction takes disciplinary action against the person, the Department shall summarily impose the same or lesser disciplinary action upon receipt of the other jurisdiction's action. The EMS professional may request a hearing before the EMS Disciplinary Committee. At the hearing the issues shall be limited to:

- (1) whether the person against whom action was taken by the other jurisdiction and the Department are the same person;
- (2) whether the conduct found by the other jurisdiction also violates the rules of the Medical Care Commission; and

- (3) whether the sanction imposed by the other jurisdiction is lawful under North Carolina law.

*History Note:* Authority G.S. 131E-159(f),(g); 143-508(d)(10); S.L. 2011-37;  
Eff. January 1, 2013.

**10A NCAC 13P .1508 SUMMARY SUSPENSION**

In accordance with G.S. 150B-3(c) an EMS Provider License, EMS Vehicle Permit, or EMS credential may be summarily suspended if the public health, safety, or welfare requires emergency action. This determination is delegated to the Chief of the OEMS. For EMS credentials, this determination shall be made following review by the EMS Disciplinary Committee pursuant to G.S. 131E-159(f). Such a finding shall be incorporated with the order of the Department and the order is effective on the date specified in the order or on service of the certified copy of the order at the last known address of the affected party, whichever is later, and continues to be effective during the proceedings. Failure to receive the order because of refusal of service or unknown address does not invalidate the order.

*History Note:* Authority G.S. 131E-159(f); 150B-3(c);  
Eff. January 1, 2013.

**10A NCAC 13P .1509 PROCEDURES FOR DENIAL, SUSPENSION, AMENDMENT, OR REVOCATION**

The procedures for contested cases in G.S. 150B, Article 3, apply to the denial, suspension, amendment or revocation of credentials, licenses, permits, approvals, or designations.

*History Note:* Authority G.S. 143-508(d)(10);  
Eff. January 1, 2013.