



North Carolina Department of Health and Human Services  
Division of Health Service Regulation

Pat McCrory  
Governor

Richard O. Brajer  
Secretary DHHS

Mark Payne  
Assistant Secretary for Audit and  
Health Service Regulation

March 10, 2016

Catharine W. Cummer  
Duke University Health System  
3100 Tower Blvd.  
Durham, NC 27707

**Exempt from Review**

**Record #:** 1887  
**Business Name:** Duke University Health System  
**Business #:** 639  
**Project Description:** Replace existing network routers and switches and upgrade electronic health records system  
**County:** Durham

Dear Ms. Cummer:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency), determined that based on your letters of February 18 and March 1, 2016, the above referenced proposals are exempt from certificate of need review in accordance with G.S 131E-184(a)(3). Therefore, you may proceed to offer, develop or establish the above referenced projects without a certificate of need.

However, you need to contact the Agency's Acute and Home Care Licensure and Certification Section to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Agency. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,

*Bernetta Thorne-Williams*  
Bernetta Thorne-Williams  
Project Analyst

*Martha J. Frisone*  
Martha J. Frisone, Assistant Chief  
Certificate of Need

cc: Acute and Home Care Licensure and Certification Section, DHSR  
Kelli Fisk, Program Assistant, Healthcare Planning, DHSR



**Healthcare Planning and Certificate of Need Section**

www.ncdhhs.gov

Telephone: 919-855-3873 • Fax: 919-715-4413

Location: Edgerton Building • 809 Ruggles Drive • Raleigh, NC 27603

Mailing Address: 2704 Mail Service Center • Raleigh, NC 27699-2704

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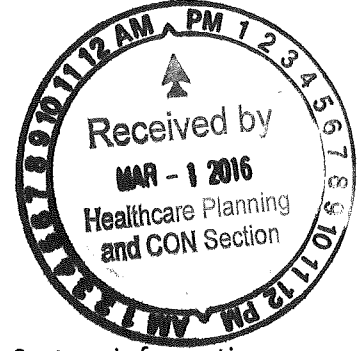


Bus# 639

**Evans, Stephanie**

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**From:** Catharine Cummer <catharine.cummer@duke.edu>  
**Sent:** Tuesday, March 01, 2016 9:03 AM  
**To:** Frisone, Martha; Williams, Bernetta  
**Cc:** Evans, Stephanie; Caroline Niblock  
**Subject:** DUHS IT Notice of Exemption



Martha and Bernetta,

I am writing to provide the CON Section with notice of a Duke University Health System information technology project at a capital cost greater than \$2 million. Duke plans to commence a project to replace existing network routers and switches supporting Duke Health network connectivity which are at the end of useful life or no longer supported. To the extent that this project would be considered a new institutional health service, it is our understanding that this project is exempt from certificate of need review as necessary for the acquisition and installation of data processing equipment. We would appreciate your confirmation of this understanding. Please let me know if you have any questions about this project. Thank you very much.

Yours,  
Catharine

Catharine W. Cummer  
Regulatory Counsel, Strategic Planning  
Duke University Health System  
3100 Tower Blvd.  
Suite 1300  
Durham, NC 27707  
(919) 668-0857 (office)  
(919) 423-6928 (cell)  
[catharine.cummer@duke.edu](mailto:catharine.cummer@duke.edu)

No Review #887

Business #689

**Evans, Stephanie**

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**From:** Catharine Cummer <catharine.cummer@duke.edu>  
**Sent:** Thursday, February 18, 2016 12:04 PM  
**To:** Frisone, Martha; Williams, Bernetta  
**Cc:** Evans, Stephanie; Caroline Niblock  
**Subject:** DUHS IT Notice of Exemption

Martha and Bernetta,

I am writing to provide the CON Section with notice of a Duke University Health System information technology project at a capital cost greater than \$2 million. Duke plans to upgrade the Duke Health Enterprise electronic health record (EHR) for all core clinical, ancillary, and revenue cycle & access management applications. To the extent that this project would be considered a new institutional health service, it is our understanding that this project is exempt from certificate of need review as necessary for the acquisition and installation of data processing equipment. We would appreciate your confirmation of this understanding. Please let me know if you have any questions about this project. Thank you very much.

Yours,  
Catharine

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