

1 10A NCAC 13J .1202 is readopted with changes as published in 31:24 NCR 2442-2448 as follows:

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3 **10A NCAC 13J .1202 CASE REVIEW AND PLAN OF CARE**

4 (a) The plan of care shall be established in collaboration with the client and incorporated in the service record. The  
5 plan of care ~~must~~ shall be reviewed ~~at least every three months~~ [~~quarterly~~] every ninety days by the ~~appropriate agency~~  
6 ~~professional~~ health care practitioner and revised as needed based on the client's needs. If the client record is ~~thinned,~~  
7 purged, the original and updated authorization or orders for care ~~as appropriate~~ shall be maintained in the client's  
8 ~~current~~ record. All records shall be readily available to Department staff for review if requested. [~~If physician orders~~  
9 ~~are needed for the services,~~] ~~a home care health professional~~ [~~The~~] the health care practitioner shall notify the physician  
10 of any changes in the client's condition ~~which~~ that indicates the need for altering the plan of care or for terminating  
11 services. Based upon the findings of the client assessment, the plan of care shall include ~~at a minimum~~ the following:

- 12 (1) type of service(s) and care to be delivered;
- 13 (2) frequency and duration of service;
- 14 (3) activity restrictions;
- 15 (4) safety measures; and
- 16 (5) service objectives and goals.

17 (b) Where applicable, the plan of care shall ~~include, but is not limited to:~~ include:

- 18 (1) equipment required;
- 19 (2) functional limitations;
- 20 (3) rehabilitation potential;
- 21 (4) diet and nutritional needs;
- 22 (5) medications and treatments;
- 23 (6) specific therapies;
- 24 (7) pertinent diagnoses; and
- 25 (8) prognosis.

26 ~~(c) So long as ongoing hands on care is being provided to a client, a registered nurse, social worker or other~~  
27 ~~appropriate professional shall visit the client in the client's residence at least quarterly to assess the client's general~~  
28 ~~condition, progress and response to services provided. Documentation of these visits shall be maintained in the client's~~  
29 ~~service record.~~

30 ~~(d)~~ (c) If the ~~same professional~~ health care practitioner is assigned responsibility for two or more of the following,  
31 these functions may be conducted during the same home visit:

- 32 (1) ~~quarterly~~ assessment of client's ~~condition~~ condition, progress, and ~~response;~~ response every ninety  
33 days;
- 34 (2) provision of regularly scheduled professional services; or
- 35 (3) supervision of ~~in-home aide or other allied health personnel.~~ in-home [~~care provider;~~] caregiver.

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37 *History Note: Authority G.S. 131E-140;*

- 1           *Eff. July 1, 1992;*
- 2           *Amended Eff. May 1, 1993;*
- 3           *RRC Objection due to lack of statutory authority Eff. November 16, 1995;*
- 4           *Amended Eff. February 1, ~~1996.~~ 1996.*
- 5           *Readopted Eff. January 1, 2018.*